

# ORANGE COUNTY BOARD OF SUPERVISORS

## A g e n d a R e v i s i o n s a n d S u p p l e m e n t a l s

Note: *This supplemental agenda is updated daily showing items that have been added, continued, deleted or modified. No new supplemental items will be added to the agenda following close of business on Friday immediately prior to a Board meeting.*

May 9, 2023

### PRESENTATION (9:00 A.M.)

Chairman Wagner will be presenting a resolution proclaiming May 2023 as “Mental Health Awareness Month”

### CONSENT

2. Deleted

### DISCUSSION

11. Continued to 5/23/23, 9:30 A.M.
31. Revised Title to read:  
**County Executive Office** - Approve grant applications/awards submitted by *Sheriff-Coroner, Health Care Agency and OC Community Resources* in 5/9/23 grant report and other actions as recommended; *adopt resolutions approving standard agreements DC-2223-22 for Digital Connections program, 2/1/23 - 12/31/23 (\$675,978) and AP-2324-22 for Older Americans Act and Older Californians Act programs, 7/1/23 - 6/30/24 (\$17,976,921) with California Department of Aging; and authorizing OCCR Director or designee to execute agreements and related documents* - All Districts

**THE FOLLOWING AGENDA ITEMS HAVE HAD CHANGES TO THEIR RECOMMENDED ACTIONS SINCE RELEASE OF THE AGENDA TO THE PUBLIC:**

Items: 8 and 31

## S u p p l e m e n t a l I t e m ( s )

- S38A. **Vice Chairman Do** - Orange County Senior Citizens Advisory Council - Appoint Mariann J. Klinger, Seal Beach, for term concurrent with 1<sup>st</sup> District Supervisor’s term of office
- S38B. **County Executive Office - Acting as the Orange County In-Home Supportive Services Public Authority** - Approve and adopt 2022-2024 Memorandum of Understanding (MOU) with United Domestic Workers of America/AFSCME Local 3930 for In-Home Supportive Services provider unit, 7/1/22 - 12/31/24; and authorize County Executive Officer or designee to execute MOU - All Districts
- S38C. **Chairman Wagner** - Children and Families Commission of Orange County, also known as First 5 Orange County - Approve selection of one individual to the Education Category (annual alternating category); one individual to the Health Category and one individual to the Education Category, for terms ending 3/31/25 - All Districts

**REVISIONS AND SUPPLEMENTALS TO MAY 9, 2023 AGENDA - PAGE 1 OF 3**

# ORANGE COUNTY BOARD OF SUPERVISORS

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- S38D. **Supervisor Foley** - Orange County Audit Oversight Committee - Appoint Yvonne Rowden, Costa Mesa, for term concurrent with 5<sup>nd</sup> District Supervisor's term of office
- S38E. **Supervisor Sarmiento** - Approve use of \$194,000 from second District's discretionary American Rescue Plan Act (ARPA) funding allocation to support an ongoing effort to identify murderer(s) of Victoria Barrios through non-profit Justice for Victoria Barrios, K-12 school and school district capital improvement projects and programs, institutions addressing food insecurity and homelessness, senior center programs and equipment, support Dolores Huerta Foundation and fellowship program to support formerly incarcerated individuals; make related findings per Government Code Section 26227; and direct County Executive Officer or designee to negotiate and enter into agreements as necessary
- S38F. **Supervisor Sarmiento** - Approve additions of May Day Reception and Youth Leadership Summit to County Events Calendar; and make related findings per Government Code Section 26227
- SCS2. **County Counsel** - CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION - Pursuant to Government Code Section 54956.9(d)(1):  
Name of Case: Latoya Reinhold et. al v. County of Orange, USDC Case No. 8:20-cv-02369
- SCS3. **County Counsel** - CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION - Pursuant to Government Code Section 54956.9(d)(1):  
Name of Case: Orange County Flood Control District v. HRB Properties, LLC, San Bernardino Superior Court Case No. CIVDS1406175
- SCS4. **County Counsel** - CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION - Pursuant to Government Code Section 54956.9(d)(4):  
Number of Cases: One Case
- SCS5. **County Counsel** - CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION - Pursuant to Government Code Section 54956.9(d)(1):  
Name of Case: Nicholas Wray v. County of Orange, WCAB Case: ADJ16135184
- SCS6. **County Counsel** - CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION - Pursuant to Government Code Section 54956.9(d)(1):  
Name of Case: Talega Maintenance Corporation v. Standard Pacific Corporation, Talega Associates, LLC, and the County of Orange, Orange County Superior Court Case No. 30-2012-00601360-CU-CD-CXC

# ORANGE COUNTY BOARD OF SUPERVISORS

## **A g e n d a   R e v i s i o n s   a n d   S u p p l e m e n t a l s**


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**SCS7. County Counsel - CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION - Pursuant to Government Code Section 54956.9(d)(1):**

Name of Case: Clarisse Magtoto v. County of Orange, Orange County Superior Court Case No. 30-2022-01241335, Amy Tallakson v. County of Orange, Orange County Superior Court Case No. 30-2022-01241346, Shabnum Azizi v. County of Orange, Orange County Superior Court Case No. 30-2022-01232544, Rebecca Garcia v. County of Orange, Orange County Superior Court Case No. 30-2022-01241348, Mallory Miller v. County of Orange, Orange County Superior Court Case No. 30-2022-01252436, Barbara Nicholson v. County of Orange, Orange County Superior Court Case No. 30-2022-01252445, Bethel Cope-Vega v. County of Orange, Orange County Superior Court Case No. 30-2022-01252452, Mohammad Abuershaid v. County of Orange, Orange County Superior Court Case No. 30-2022-01243989, and Tracy Miller v. County of Orange et al., Orange County Superior Court Case No. 30-2022-01262015



# Continuation or Deletion Request

**Date:** 5/2/23  
**To:** Clerk of the Board of Supervisors  
**From:** Dylan Wright, OC Community Resources Director   
**Re:** ASR Control #: 23-000216, Meeting Date 5/9/23 Agenda Item No. # 2  
**Subject:** **Approve Amended Orange County Human Relations Commission  
Bylaws**

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Request to continue Agenda Item No. # \_\_\_\_\_ to the \_\_\_\_\_ Board Meeting.

Comments:

Request deletion of Agenda Item No. # 2

Comments: OC Community Resources will bring revised bylaws to the Board at a future date.



# Revision to ASR and/or Attachments

**Date:** May 1, 2023  
**To:** Clerk of the Board of Supervisors  
**CC:** County Executive Office  
**From:** Clayton Chau, Agency Director, Health Care Agency  
**Re:** ASR Control #: 23-000122, Meeting Date 5/9/23, Item No. # 8  
**Subject:** Contract for Correctional Health Registry Staffing Services

Digitally signed by Michelle Aguirre  
 DN: cn=Michelle Aguirre, ou=CEO, ou=County of Orange,  
 email=Michelle.Aguirre@ocgov.co, c=US  
 Date: 2023.05.02 08:30:33 -0700

*Clayton Chau MD*

RECEIVED  
 CLERK OF THE BOARD OF SUPERVISORS  
 COUNTY OF ORANGE  
 2023 MAY -4 AM 10:50

**Explanation:**

The Health Care Agency would like to add an additional Recommended Action and an additional paragraph above the last paragraph.

Revised Recommended Action(s)

7.	Authorized the County Procurement Officer or Deputized designee to execute individual Contracts with various providers as needed through the term of the Master Agreement.
7. 8.	Authorize the County Procurement Officer or Deputized designee to execute the Master Agreement and individual contracts with AB Staffing Solutions LLC, Marquee Staffing, Orbit Health, A Professional Corporation and Star Nursing Inc. as referenced in the Recommended Actions above.

Make modifications to the:

- Subject   
  Background Information   
  Summary   
  Financial Impact

HCA requests the authority to add new providers without returning to the Board for approval as referenced in the Recommended Action to ensure timely health services to inmates.

Revised Attachments (attach revised attachment(s) and redlined copy(s))



# Continuation or Deletion Request

**Date:** April 27, 2023  
**To:** Clerk of the Board of Supervisors  
**From:** Clayton Chau, Agency Director, Health Care Agency *Clayton Chau MB*  
**Re:** ASR Control #: 22-000982, Meeting Date 5/9 Agenda Item No. # 11  
**Subject:** **Contract for Multi-Service Centers for Homeless Adults with Mental Disorders**

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Request to continue Agenda Item No. # 11 to the 5/23/23 Board Meeting.

Comments:

Request deletion of Agenda Item No. # \_\_\_\_\_

Comments:



# AGENDA STAFF REPORT

Agenda Item

# 31

ASR Control 23-000061

**MEETING DATE:** 05/09/23  
**LEGAL ENTITY TAKING ACTION:** Board of Supervisors  
**BOARD OF SUPERVISORS DISTRICT(S):** All Districts  
**SUBMITTING AGENCY/DEPARTMENT:** County Executive Office (Approved)  
**DEPARTMENT CONTACT PERSON(S):** Peter DeMarco (714) 834-5777  
 Julie Bechtol (714) 834-2009

**SUBJECT:** Grant Applications/Awards Report

**CEO CONCUR**  
 Concur

**COUNTY COUNSEL REVIEW**  
 Approved Resolution to Form

**CLERK OF THE BOARD**  
 Discussion  
 3 Votes Board Majority

**Budgeted:** N/A

**Current Year Cost:** N/A

**Annual Cost:** N/A

**Staffing Impact:** No

**# of Positions:**

**Sole Source:** N/A

**Current Fiscal Year Revenue:** N/A

**Funding Source:** N/A

**County Audit in last 3 years:** No

**Levine Act Review Completed:** N/A

**Prior Board Action:** N/A

## RECOMMENDED ACTION(S):

Approve grant applications/awards as proposed and other actions as recommended.

1.	Approve Grant Application – Sheriff Coroner – State Criminal Alien Assistance Program (SCAAP) – \$2,500,000
2.	Approve Grant Award – Health Care Agency – Ryan White Part C Outpatient Early Intervention Services (EIS) Program – \$689,024
3.	Approve Grant Award – Health Care Agency – California Healthy Brain Initiative State and Local Public Health Partnerships to Address Dementia – \$708,000
4.	Approve Grant Award – Health Care Agency – HIV Emergency Relief Project Grants – Ryan White Part A – \$6,804,313
5.	Approve Grant Award and Adopt Resolution – OC Community Resources – Digital Connections – \$675,978
6.	Approve Grant Award and Adopt Resolution – OC Community Resources – Area Plan Program – \$17,976,921

7.	Receive and File Grants Report.
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**SUMMARY:**

See the attached Grants Report.

**BACKGROUND INFORMATION:**

See the attached Grants Report.

**FINANCIAL IMPACT:**

N/A

**STAFFING IMPACT:**

N/A

**ATTACHMENT(S):**

Attachment A - Grants Report

Attachment B - Digital Connections Resolution

Attachment B - Area Plan Program Resolution



# Grants Report

**DRAFT**

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County Executive Office/Legislative Affairs

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May 9, 2023  
Item No: 31

## County of Orange Report on Grant Applications/Awards

*The Grants Report is a condensed list of grant requests by County Agencies/Departments that allows the Board of Supervisors to discuss and approve grant submittals in one motion at a Board meeting. County policy dictates that the Board of Supervisors must approve all grant applications prior to submittal to the grantor. This applies to grants of all amounts, as well as to new grants and those that have been received by the County for many years as part of an ongoing grant. Receipt of grants \$50,000 or less is delegated to the County Executive Officer. Grant awards greater than \$50,000 must be presented to the Board of Supervisors for receipt of funds. This report allows for better tracking of county grant requests, the success rate of our grants, and monitoring of County's grants activities. It also serves to inform Orange County's Sacramento and Washington, D.C. advocates of County grant activities involving the State or Federal Governments.*

On May 9, 2023, the Board of Supervisors will consider the following actions:

### RECOMMENDED ACTIONS

Approve grant applications/awards as proposed and other actions as recommended.

### ACTION ITEMS:

1. Approve Grant Application – Sheriff Coroner – State Criminal Alien Assistance Program (SCAAP) – \$2,500,000
2. Approve Grant Award – Health Care Agency – Ryan White Part C Outpatient Early Intervention Services (EIS) Program – \$689,024
3. Approve Grant Award – Health Care Agency – California Healthy Brain Initiative State and Local Public Health Partnerships to Address Dementia – \$708,000
4. Approve Grant Award – Health Care Agency – HIV Emergency Relief Project Grants – Ryan White Part A – \$6,804,313
5. Approve Grant Award and Adopt Resolution – OC Community Resources – Digital Connections – \$675,978
6. Approve Grant Award and Adopt Resolution – OC Community Resources – Area Plan Program – \$17,976,921
7. Receive and File Grants Report.

If you or your staff have any questions or require additional information on any of the items in this report, please contact Julie Bechtol at 714-834-2009.



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

**GRANT APPLICATION** /  **GRANT AWARD**

<b>Today's Date:</b>	April 20, 2023		
<b>Requesting Agency/Department:</b>	Sheriff-Coroner Department		
<b>Grant Name and Project Title:</b>	State Criminal Alien Assistance Program		
<b>Sponsoring Organization/Grant Source:</b> <small>(If the grant source is not a government entity, please provide a brief description of the organization/foundation)</small>	Bureau of Justice Assistance/Office of Justice Systems/U.S. Department of Justice		
<b>Application Amount Requested:</b>	Approximately \$2.5 million (Formula Grant)		
<b>Application Due Date:</b>	May 31, 2023		
<b>Board Date when Board Approved this Application:</b>			
<b>Awarded Funding Amount:</b>			
<b>Notification Date of Funding Award:</b>			
<b>Is this an Authorized Retroactive Grant Application/Award? No</b> <small>(If yes, attach memo to CEO)</small>			
<b>Recurrence of Grant</b>	New <input type="checkbox"/> Recurrent <input checked="" type="checkbox"/> Other <input type="checkbox"/> Explain:		
<b>If this is a recurring grant, please list the funding amount applied for and awarded in the past:</b>	<b>Fiscal Year Revenue is received</b>	<b>Amount Received</b>	
	FY 2019-20	\$ 4,975,363	
	FY 2022-23	\$2,011,581	
	FY 2022-23	\$2,501,786	
<small>*See explanation under "Purpose of Grant Funds" section.</small>			
<b>Does this grant require CEQA findings?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
<b>What Type of Grant is this?</b>	Competitive <input type="checkbox"/> Other Type <input checked="" type="checkbox"/> Explain: Formula Program		
<b>County Match?</b>	Yes <input type="checkbox"/> Amount _____ or _____ % No <input checked="" type="checkbox"/>		
<b>How will the County Match be Fulfilled?</b> <small>(Please include the specific budget)</small>			
<b>Will the grant/program create new part or full-time positions?</b>			
<b>Purpose of Grant Funds:</b>	Provide a summary and brief background on why the Board of Supervisors should accept this grant application/award, and how the grant will be implemented.		
<p>The Office of Justice Systems/U.S. Department of Justice (DOJ), administered through the Bureau of Justice Assistance (BJA), offers the State Criminal Alien Assistance Program (SCAAP) Grant offering payment to eligible states and units of local government that incur certain types of costs due to incarceration of undocumented criminal aliens during a particular 12-month reporting period. When available, the Sheriff-Coroner Department (Sheriff) applies for SCAAP Grant funding. See Recurring Grant section above for the amounts received in the past.</p> <p>This grant program is retrospective in that the award is based on the number of inmates who have served at least four consecutive days ("qualifying inmates") during the fiscal year covered by the grant. For example, the 2023 grant will be based on the number of inmates incarcerated in OC Jail during FY 2021-22. The 2023 SCAAP application will include actual data for inmate days served by criminal aliens during FY 2021-22.</p>			



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

For grant year 2018, the Sheriff was awarded \$2,509,785,581 for qualifying inmates in custody during FY 2016-2017; for grant year 2019 the Sheriff was awarded \$2,465,578 for qualifying inmates in custody during County FY 2017-18; the funds for these two grant years in the total of \$4,975,363 were received in County FY 2019-20.

For grant year 2020, the Sheriff was awarded \$2,011,581 for qualifying inmates in custody during FY 2018-2019; for grant year 2021 the Sheriff was awarded \$2,501,786 for qualifying inmates in custody during County FY 2019-20; these awarded amounts were received in County FY 2022-23.

For grant year 2022, which was approved by the Board on January 10, 2023, the application was submitted on February 6, 2023 but the award amount has not yet been determined by the Bureau of Justice Assistance.

If awarded, Sheriff intends to utilize the SCAAP funding to cover salaries, wages and employee benefits to employees who work primarily and directly in jails; and a reasonable allocable portion for employees who, although not primarily and directly working in and for the jails, provide necessary services (e.g. transportation staff, etc.). The intended use for the FY 2023 SCAAP funding is consistent with prior years.

Sheriff plans to return to the Board with a request to accept funding, if the Sheriff receives an award for grant year 2023.

<p><b>Board Resolution Required?</b> (Please attach document to eForm)</p> <p><b>Deputy County Counsel Name:</b> (Please list the Deputy County Counsel that approved the Resolution)</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p>
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**Recommended Action/Special Instructions**  
(Please specify below)

1. Authorize the Sheriff-Coroner or Designee to apply for the 2023 SCAAP Grant.
2. Resolution is not required for this grant.

<p><b>Department Contact :</b></p> <p>Director Noma M. Crook Financial/Administrative Services Division 714.834.6681 <a href="mailto:NCrook@ocsheriff.gov">NCrook@ocsheriff.gov</a></p>	<p>List the name and contact information (telephone, e-mail) of the staff person to be contacted for further information.</p>
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<p><b>Name of the individual attending the Board Meeting:</b></p> <p>Noma Crook or designee</p>	<p>List the name of the individual who will be attending the Board Meeting for this Grant Item:</p>
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**CEO-Legislative Affairs Office  
Grant Authorization eForm**

GRANT APPLICATION /  GRANT AWARD

<b>Today's Date:</b>	May 1, 2023
<b>Requesting Agency/Department:</b>	Health Care Agency
<b>Grant Name and Project Title:</b>	Ryan White Part C Outpatient Early Intervention Services (EIS) Program
<b>Sponsoring Organization/Grant Source:</b> <small>(If the grant source is not a government entity, please provide a brief description of the organization/foundation)</small>	Health Resources Services Administration
<b>Application Amount Requested:</b>	\$689,024
<b>Application Due Date:</b>	September 16, 2023
<b>Board Date when Board Approved this Application:</b>	August 9, 2022 (Continuing Grant Matrix)
<b>Awarded Funding Amount:</b>	\$689,024
<b>Notification Date of Funding Award:</b>	April 21, 2023
<b>Is this an Authorized Retroactive Grant Application/Award? No</b> <small>(If yes, attach memo to CEO)</small>	
<b>Recurrence of Grant</b>	New <input type="checkbox"/> Recurrent <input checked="" type="checkbox"/> Other <input type="checkbox"/> Explain:
<b>If this is a recurring grant, please list the funding amount applied for and awarded in the past:</b>	The FY 22 funding amount applied for was \$689,024. The FY 22 award received was \$689,024.
<b>Does this grant require CEQA findings?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>What Type of Grant is this?</b>	Competitive <input checked="" type="checkbox"/> Other Type <input type="checkbox"/> Explain:
<b>County Match?</b>	Yes <input checked="" type="checkbox"/> Amount \$56,770 No <input type="checkbox"/>
<b>How will the County Match be Fulfilled?</b> <small>(Please include the specific budget)</small>	Maintenance of Effort is fulfilled based on unallowable expenses (e.g., salary rate limitations) and unclaimed indirect expenses for the following service categories: Outpatient/Ambulatory Health Services, Medical Case Management, Early Intervention Services, Case Management: Non-Medical, Medical Transportation and Outreach Services. Funds will be fulfilled through County general funds.
<b>Will the grant/program create new part or full-time positions?</b>	No
<b>Purpose of Grant Funds:</b>	Provide a summary and brief background of why Board of Supervisors why should accept this grant application/award, and how the grant will be implemented.
<p>The Ryan White Part C Outpatient EIS Program provides funding for HIV care and support services to eligible individuals for early detection of HIV, rapid linkage to medical care, ultimately resulting in HIV viral load suppression and reduced HIV transmission.</p> <p>There is an allotment (\$1,000) for incentives and enablers to enhance participation in needs assessment activities such as client needs and satisfaction surveys.</p>	
<b>Board Resolution Required?</b> <small>(Please attach document to eForm)</small>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Deputy County Counsel Name:</b> <small>(Please list the Deputy County Counsel that approved the Resolution)</small>	



# CEO-Legislative Affairs Office Grant Authorization eForm

Attachment A

<b>Recommended Action/Special Instructions</b> (Please specify below)	
<p>The Health Care Agency requests that the Board of Supervisors approve the Recommended Action authorizing the Agency to accept this grant award for the term of January 1, 2023 through December 31, 2023, and delegate authority to the HCA Director, or designee, to execute and/or submit any necessary forms needed for this grant.</p> <p>Authorize the Health Care Agency Director, or designee, to execute such future amendments to the Agreement referenced above that do not change the Agreement amount by more than 10% of the original amount and/or make immaterial changes to the scope of work.</p>	
<b>Department Contact :</b>	List the name and contact information (telephone, e-mail) of the staff person to be contacted for further information.
Dr. Regina Chinsio-Kwong <a href="mailto:rchinsiokwong@ochca.com">rchinsiokwong@ochca.com</a>	
<b>Name of the individual attending the Board Meeting:</b>	<b>Name of the individual attending the Board Meeting:</b>
Dr. Clayton Chau	



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

GRANT APPLICATION /  GRANT AWARD

<b>Today's Date:</b>	May 2, 2023
<b>Requesting Agency/Department:</b>	Health Care Agency
<b>Grant Name and Project Title:</b>	2023-2025 California Healthy Brain Initiative State and Local Public Health Partnerships to Address Dementia
<b>Sponsoring Organization/Grant Source:</b> <small>(If the grant source is not a government entity, please provide a brief description of the organization/foundation)</small>	California Department of Public Health Alzheimer's Disease Program
<b>Application Amount Requested:</b>	\$708,000 – 2 Years
<b>Application Due Date:</b>	March 17, 2023
<b>Board Date when Board Approved this Application:</b>	02/28/2023
<b>Awarded Funding Amount:</b>	\$708,000
<b>Notification Date of Funding Award:</b>	04/28/2023
<b>Is this an Authorized Retroactive Grant Application/Award? No</b> <small>(If yes, attach memo to CEO)</small>	
<b>Recurrence of Grant</b>	New <input checked="" type="checkbox"/> Recurrent <input type="checkbox"/> Other <input type="checkbox"/> Explain:
<b>If this is a recurring grant, please list the funding amount applied for and awarded in the past:</b>	N/A
<b>Does this grant require CEQA findings?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>What Type of Grant is this?</b>	Competitive <input checked="" type="checkbox"/> Other Type <input type="checkbox"/> Explain:
<b>County Match?</b>	Yes <input type="checkbox"/> Amount _____ or _____ %      No <input checked="" type="checkbox"/>
<b>How will the County Match be Fulfilled?</b> <small>(Please include the specific budget)</small>	N/A
<b>Will the grant/program create new part or full-time positions?</b>	No
<b>Purpose of Grant Funds:</b>	Provide a summary and brief background of why Board of Supervisors why should accept this grant application/award, and how the grant will be implemented.
<p>The California Department of Public Health (CDPH) Alzheimer's Disease Program (ADP) has awarded local health jurisdictions (LHJs) funding to promote cognitive health, address cognitive impairment for people living in the community, and help meet caregiver needs. The Orange County Health Care Agency (HCA) has received notice of award in the amount of \$708,000 for a two-year agreement. The purpose of this award is to advance cognitive health as an integral component of public health. LHJs, including HCA, receiving funds shall incorporate all of the following fundamental planning principles: eliminating health disparities, improving health equity, collaborating across multiple sectors, and leveraging public and private resources for sustained impact.</p> <p>Required goals of the funding include:</p> <ul style="list-style-type: none"> <li>• Monitoring data and evaluating programs to contribute to evidence-based practice.</li> <li>• Educating and empowering the public with regard to brain health and cognitive aging</li> <li>• Mobilizing public and private partnerships to engage local stakeholders in effective community-based interventions and best practices.</li> <li>• Strengthening the knowledge, skills, and abilities of health care professionals who deliver care services to people with Alzheimer's disease and other dementias and their family caregivers.</li> </ul>	



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

<p><b>Board Resolution Required?</b> (Please attach document to eForm)</p> <p><b>Deputy County Counsel Name:</b> (Please list the Deputy County Counsel that approved the Resolution)</p>	<p>Yes <input type="checkbox"/>                      No <input checked="" type="checkbox"/></p>
<p><b>Recommended Action/Special Instructions</b> (Please specify below)</p>	
<p>The Health Care Agency requests that the Board of Supervisors approve the Recommended Action authorizing the Agency to accept this grant award, and delegate authority to the Health Care Agency Director, or designee, to execute the Agreement and any forms needed for this funding award.</p> <p>Authorize the Health Care Agency Director, or designee, to execute such future amendments to the application referenced above that do not change the application amount by more than 10% of the original amount and/or make immaterial changes to the scope of work.</p>	
<p><b>Department Contact:</b></p>	<p>List the name and contact information (telephone, e-mail) of the staff person to be contacted for further information.</p>
<p>Dr. Regina Chinsio-Kwong, 714 834-2729, rchinsiokwong@ochca.com</p>	
<p><b>Name of the individual attending the Board Meeting:</b></p>	<p>List the name of the individual who will be attending the Board Meeting for this Grant Item:</p>
<p>Dr. Clayton Chau</p>	



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

GRANT APPLICATION /  GRANT AWARD

<b>Today's Date:</b>	May 1, 2023
<b>Requesting Agency/Department:</b>	Health Care Agency
<b>Grant Name and Project Title:</b>	HIV Emergency Relief Project Grants - Ryan White Part A
<b>Sponsoring Organization/Grant Source:</b> <small>(If the grant source is not a government entity, please provide a brief description of the organization/foundation)</small>	Health Resources Services Administration
<b>Application Amount Requested:</b>	\$7,055,934
<b>Application Due Date:</b>	October 3, 2022
<b>Board Date when Board Approved this Application:</b>	August 9, 2022 (Continuing Grant Matrix)
<b>Awarded Funding Amount:</b>	\$6,804,313
<b>Notification Date of Funding Award:</b>	April 14, 2023
<b>Is this an Authorized Retroactive Grant Application/Award? No</b> <small>(If yes, attach memo to CEO)</small>	
<b>Recurrence of Grant</b>	New <input type="checkbox"/> Recurrent <input checked="" type="checkbox"/> Other <input type="checkbox"/> Explain:
<b>If this is a recurring grant, please list the funding amount applied for and awarded in the past:</b>	The FY 22-23 funding amount applied for was \$6,606,637. The FY 22-23 award received was \$6,721,441.
<b>Does this grant require CEQA findings?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>What Type of Grant is this?</b>	Competitive <input checked="" type="checkbox"/> Other Type <input type="checkbox"/> Explain:
<b>County Match?</b>	Yes <input checked="" type="checkbox"/> Amount \$571,319 No <input type="checkbox"/>
<b>How will the County Match be Fulfilled?</b> <small>(Please include the specific budget)</small>	Maintenance of Effort is fulfilled based on unallowable expenses (e.g., salary rate limitations) and unclaimed indirect expenses for the following service categories: Outpatient/Ambulatory Health Services, Medical Nutrition Therapy, Medical Case Management, Early Intervention Services, Case Management: Non-Medical, Medical Transportation and Outreach Services. Funds will be fulfilled through County general funds.
<b>Will the grant/program create new part or full-time positions?</b>	No
<b>Purpose of Grant Funds:</b>	Provide a summary and brief background of why the Board of Supervisors should accept this grant application/award, and how the grant will be implemented.
<p>The HIV Emergency Relief Project Grants - Ryan White Part A program provides funding for HIV care and support services to eligible individuals for early detection of HIV, rapid linkage to medical care, ultimately resulting in HIV viral load suppression and reduced HIV transmission.</p> <p>There is an allotment (\$1,000) for incentives and enablers to enhance participation in needs assessment activities such as client needs and satisfaction surveys.</p>	
<b>Board Resolution Required?</b> <small>(Please attach document to eForm)</small>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Deputy County Counsel Name:</b> <small>(Please list the Deputy County Counsel that approved the Resolution)</small>	



# CEO-Legislative Affairs Office Grant Authorization eForm

**Recommended Action/Special Instructions**

(Please specify below)

The Health Care Agency requests that the Board of Supervisors approve the Recommended Action authorizing the Agency to accept this grant award for the term of March 1, 2023 through February 28, 2024, and delegate authority to the HCA Director, or designee, to execute and/or submit any necessary forms needed for this grant.

Authorize the Health Care Agency Director, or designee, to execute such future amendments to the Agreement referenced above that do not change the Agreement amount by more than 10% of the original amount and/or make immaterial changes to the scope of work.

**Department Contact :**

List the name and contact information (telephone, e-mail) of the staff person to be contacted for further information.

Dr. Regina Chinsio-Kwong  
[rchinsiokwong@ochca.com](mailto:rchinsiokwong@ochca.com)

**Name of the individual attending the Board Meeting:****Name of the individual attending the Board Meeting:**

Dr. Clayton Chau



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

GRANT APPLICATION /  GRANT AWARD

<b>Today's Date:</b>	5/2/2023
<b>Requesting Agency/Department:</b>	OC Community Resources/OC Community Services
<b>Grant Name and Project Title:</b>	Digital Connections
<b>Sponsoring Organization/Grant Source:</b>	California Department of Aging (CDA)
<b>Application Amount Requested:</b>	N/A
<b>Application Due Date:</b>	N/A
<b>Board Date when Board Approved this Application:</b>	N/A
<b>Awarded Funding Amount:</b>	\$675,978
<b>Notification Date of Funding Award:</b>	4/14/2023
<b>Is this an Authorized Retroactive Grant Application/Award?</b> No	
<b>Recurrence of Grant</b>	New <input checked="" type="checkbox"/> Recurrent <input type="checkbox"/> Other <input type="checkbox"/> Explain:
<b>If this is a recurring grant, please list the funding amount applied for and awarded in the past:</b>	N/A
<b>Does this grant require CEQA findings?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>What Type of Grant is this?</b>	Competitive <input type="checkbox"/> Other Type <input checked="" type="checkbox"/> Explain: Program funds are automatically distributed to the County by CDA based on an allocation methodology.
<b>County Match?</b>	Yes <input type="checkbox"/> Amount ____ or ____ % No <input checked="" type="checkbox"/>
<b>How will the County Match be Fulfilled?</b> (Please include the specific budget)	N/A
<b>Will the grant/program create new part or full-time positions?</b>	N/A
<b>Purpose of Grant Funds:</b>	
The purpose of the Digital Connections (DC) program is to reduce isolation, increase connections and enhance self-confidence for older adults, adults with disabilities and caregivers by bridging the digital divide through providing access to digital resources and information. In addition to the \$675,978 in DC grant funding awarded to OC Community Resources/Office on Aging (OCCR/OoA), California Department of Aging (CDA) will provide OCCR/OoA with approximately 400 iPads (equipped with 10GB of data through December 31, 2023). The iPads provided under the DC Program are available to Orange County residents 60 years of age and older or 18 years of age and older with disabilities. The DC grant funding can only be used for virtual training, outreach and to support broadband needs for all individuals affected by the digital divide who are 60 years or older, adults with disabilities and unpaid/family caregivers, with priority given to those who are low-income or have a great economic or social need. OCCR/OoA will augment the current Access to Technology Program with the DC Program funding.	
<b>Board Resolution Required?</b> (Please attach document to eForm)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>Deputy County Counsel Name:</b> (Please list the Deputy County Counsel that approved the Resolution)	John Cleveland
<b>Recommended Action/Special Instructions</b> (Please specify below)	
<ol style="list-style-type: none"> <li>1. Adopt the resolution as approved by County Council to receive \$675,978 in funds from the California Department of Aging for the Digital Connections Program.</li> <li>2. Approve State Standard Agreement DC-2223-22, Contractor Certification Clauses, Information Integrity and Security Statement and California Civil Rights Laws Certification with the California Department of Aging in the amount of</li> </ol>	



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

<p>\$675,978 for the term effective February 1, 2023 to December 31, 2023.</p>	
<p>3. Authorize the OC Community Resources Director or designee to execute the State Standard Agreement DC-2223-22, Contractor Certification Clauses, Information Integrity and Security Statement, and California Civil Rights Laws Certification to receive \$675,978 in funding from the California Department of Aging.</p>	
<p>4. Authorize the OC Community Resources Director or designee to execute all related documents required to accept the Digital Connections grant award funding.</p>	
<p><b>Department Contact:</b></p>	
<p>Dylan Wright (714) 480-2788 / <a href="mailto:Dylan.Wright@occr.ocgov.com">Dylan.Wright@occr.ocgov.com</a>  Renee Ramirez (714) 480-6483 / <a href="mailto:Renee.Ramirez@occr.ocgov.com">Renee.Ramirez@occr.ocgov.com</a></p>	
<p><b>Name of the individual attending the Board Meeting:</b></p>	
<p>Dylan Wright, Director, OC Community Resources</p>	

RESOLUTION OF THE BOARD OF SUPERVISORS OF  
ORANGE COUNTY, CALIFORNIA  
May 9, 2023

WHEREAS, OC Community Resources Office on Aging has received State Standard Agreement DC-2223-22, in the amount of \$675,978 from the California Department of Aging containing funding allocations for the Digital Connections program; and

WHEREAS, the County of Orange assures that it will abide by the terms and conditions of Agreement DC-2223-22; and

WHEREAS this Board agrees with the terms of the State Standard Agreement and the allocation of funds contained therein.

NOW, THEREFORE, BE IT RESOLVED that this Board does hereby:

1. Approve State Standard Agreement DC-2223-22, Contractor Certification Clauses, Information Integrity and Security Statement, and California Civil Rights Laws Certification with the California Department of Aging in the amount of \$675,978 for the term effective February 1, 2023, to December 31, 2023.
2. Authorize the OC Community Resources Director or designee to execute the State Standard Agreement DC-2223-22, Contractor Certification Clauses, Information Integrity and Security Statement, and California Civil Rights Laws Certification to receive \$675,978 in funding from the California Department of Aging.
3. Authorize the OC Community Resources Director or designee to execute all related documents required to accept the Digital Connections grant award funding.



**CEO-Legislative Affairs Office  
Grant Authorization eForm**

GRANT APPLICATION /  GRANT AWARD

<b>Today's Date:</b>	5/2/23
<b>Requesting Agency/Department:</b>	OC Community Resources/OC Community Services
<b>Grant Name and Project Title:</b>	Area Plan Program
<b>Sponsoring Organization/Grant Source:</b> <small>(If the grant source is not a government entity, please provide a brief description of the organization/foundation)</small>	California Department of Aging
<b>Application Amount Requested:</b>	N/A
<b>Application Due Date:</b>	N/A
<b>Board Date when Board Approved this Application:</b>	6/28/22
<b>Awarded Funding Amount:</b>	\$17,976,921
<b>Notification Date of Funding Award:</b>	4/21/23
<b>Is this an Authorized Retroactive Grant Application/Award? No</b> <small>(If yes, attach memo to CEO)</small>	
<b>Recurrence of Grant</b>	New <input type="checkbox"/> Recurrent <input checked="" type="checkbox"/> Other <input type="checkbox"/> Explain:
<b>If this is a recurring grant, please list the funding amount applied for and awarded in the past:</b>	FY 2022-23: \$17,652,458 FY 2021-22: \$27,098,925 FY 2020-21: \$13,926,684 FY 2019-20: \$14,263,784 FY 2018-19: \$13,091,764
<b>Does this grant require CEQA findings?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>What Type of Grant is this?</b>	Competitive <input type="checkbox"/> Other Type <input checked="" type="checkbox"/> Explain: Program funds are distributed to the County by CDA based on an allocation methodology.
<b>County Match?</b>	Yes <input checked="" type="checkbox"/> 10.53% for Direct and 25% for Admin No <input type="checkbox"/>
<b>How will the County Match be Fulfilled?</b> <small>(Please include the specific budget)</small>	OC Community Resources / OC Community Services / Office on Aging will utilize allocated County General Fund dollars to fulfill the County match requirement. No additional General Fund monies are being requested.
<b>Will the grant/program create new part or full-time positions?</b>	No.
<b>Purpose of Grant Funds:</b>	Provide a summary and brief background of why Board of Supervisors should accept this grant application/award, and how the grant will be implemented.
Area Plan funding from California Department of Aging supports mandated Older Americans Act (OAA) and Older Californians Act (OCA) programs and services that help older adults remain independent and avoid premature institutionalization. OC Community Resources/Office on Aging uses area plan funds to support adult day care, case management, in-home services, information & assistance, health promotion, legal assistance, nutrition services, transportation, family caregiver support services, ombudsman program services, and elder abuse prevention. Services are provided either directly by Office on Aging staff or through contracted service providers.	
<b>Board Resolution Required?</b> <small>(Please attach document to eForm)</small>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>Deputy County Counsel Name:</b> <small>(Please list the Deputy County Counsel that approved the Resolution)</small>	John Cleveland
<b>Recommended Action/Special Instructions</b> <small>(Please specify below)</small>	



## CEO-Legislative Affairs Office Grant Authorization eForm

Attachment A

1. Adopt the resolution as approved by the County Counsel to receive \$17,976,921 in funds from the California Department of Aging for the Area Plan Program.
2. Approve the State Standard Agreement AP-2324-22, Contractor Certification Clauses, Information Integrity and Security Statement, and California Civil Rights Laws Certification with the California Department of Aging in the amount of \$17,976,921 for the term of July 1, 2023 – June 30, 2024.
3. Authorize the OC Community Resources Director or designee to execute State Standard Agreement AP-2324-22, Contractor Certification Clauses, Information Integrity and Security Statement, and California Civil Rights Laws Certification to receive \$17,976,921 in funding from the California Department of Aging.
4. Authorize the OC Community Resources Director or designee to execute all related documents required to accept Area Plan grant award funding.

**Department Contact:**

List the name and contact information (telephone, e-mail) of the staff person to be contacted for further information.

Dylan Wright (714) 480-2788 / [Dylan.Wright@occr.ocgov.com](mailto:Dylan.Wright@occr.ocgov.com)  
Renee Ramirez (714) 480-6483 / [Renee.Ramirez@occr.ocgov.com](mailto:Renee.Ramirez@occr.ocgov.com)

**Name of the individual attending the Board Meeting:**

List the name of the individual who will be attending the Board Meeting for this Grant Item:

Renee Ramirez

RESOLUTION OF THE BOARD OF SUPERVISORS OF  
ORANGE COUNTY, CALIFORNIA  
May 9, 2023

WHEREAS, OC Community Resources Office on Aging has received State Standard Agreement AP-2324-22 in the amount of \$17,976,921 from the California Department of Aging containing funding allocations for Older Americans Act and Older Californians Act Programs; and

WHEREAS, the County of Orange assures that it will abide by the terms and conditions of Agreement AP-2324-22; and

WHEREAS this Board agrees with the terms of the State Standard Agreement and the allocation of funds contained therein.

NOW, THEREFORE, BE IT RESOLVED that this Board does hereby:

1. Approve State Standard Agreement AP-2324-22, Contractor Certification Clauses, Information Integrity and Security Statement, and California Civil Rights Laws Certification with the California Department of Aging in the amount of \$17,976,921 for the term July 1, 2023, through June 30, 2024.
2. Authorize the OC Community Resources Director or designee to execute State Standard Agreement AP-2324-22, Contractor Certification Clauses, Information Integrity and Security Statement, and California Civil Rights Laws Certification to receive \$17,976,921 in funding from the California Department of Aging.
3. Authorize the OC Community Resources Director or designee to execute all related documents required to accept Area Plan grant award funding.



## MEMORANDUM

To: Robin Stieler, Clerk of the Board

From: Vice Chairman Andrew Do, 1<sup>st</sup> District

Date: 04/28/23

*for A-D.*

*#538A*

**RE: Add Item to May 9, 2023 Board Meeting Agenda – Appointment of Mariann J. Klinger, Seal Beach to the OC Senior Citizens Advisory Council**

---

Please place a supplemental item on the May 9, 2023 Board of Supervisors agenda to appoint Mariann J. Klinger to the OC Senior Citizens Advisory Council, for a term concurrent with Supervisor Do's term. Ms. Klinger will fill the vacancy left by Tish Leon.

cc: Chris Wangsaporn, Chief of Staff, BOS-1  
Valerie Sanchez, Chief Deputy Clerk, COB

2023 APR 28 AM 10:31  
CLERK OF THE BOARD  
ORANGE COUNTY SUPERVISORS  
BOARD OF SUPERVISORS  
RECEIVED



APPLICATION FOR COUNTY OF ORANGE BOARD, COMMISSION OR COMMITTEE

(FOR COUNTY USE ONLY)

Return to:

Clerk of the Board of Supervisors
333 West Santa Ana Blvd., Suite 465
Santa Ana, California 92701
Website: www.ocgov.com/gov/cob/

Instructions: Please complete each section below. Be sure to enter the title of the Board, Commission or Committee for which you desire consideration. For information or assistance, please contact the Clerk of the Board of Supervisor's Office at (714) 834-2206. Please print in ink or type.

NAME OF BOARD, COMMISSION, OR COMMITTEE TO WHICH YOU ARE APPLYING FOR MEMBERSHIP: (SEE LIST AT HTTP://WWW.OCGOV.COM/GOV/COB/BCC/CONTACT):

Orange County Senior Citizens Advisory Council

SUPERVISORIAL DISTRICT IN WHICH YOU RESIDE: [X] First [ ] Second [ ] Third [ ] Fourth [ ] Fifth

APPLICANT NAME AND RESIDENCE ADDRESS:

MARIANN JANE KLINGER
First Name Middle Name Last Name

SEAL BEACH CA 90740
Street Address City State Zip Code

Home Phone Number Cell Phone Number

Email Address

CURRENT EMPLOYER: N/A

OCCUPATION/JOB TITLE:

BUSINESS ADDRESS:

BUSINESS PHONE NUMBER:

EMPLOYMENT HISTORY: Please attach a resume to this application and provide any information that would be helpful in evaluating your application.

ARE YOU A CITIZEN OF THE UNITED STATES: [X] YES [ ] NO

IF NO, NAME OF COUNTRY OF CITIZENSHIP:

ARE YOU A REGISTERED VOTER? [X] YES [ ] NO

IF YES, NAME COUNTY YOU ARE REGISTERED IN: ORANGE County, CA

LIST ALL CURRENT PROFESSIONAL OR COMMUNITY ORGANIZATIONS AND SOCIETIES OF WHICH YOU ARE A MEMBER.

ORGANIZATION/SOCIETY	FROM (MO./YR.)	TO (MO./YR.)
Osher Lifelong Learning Institute	2/2021	PRESENT
Children's Benefit League	10/2021	PRESENT

WITHIN THE LAST FIVE YEARS, HAVE YOU BEEN AFFILIATED WITH ANY BUSINESS OR NONPROFIT AGENCY(IES)?  YES  NO

DO YOU OWN REAL OR PERSONAL PROPERTY OR HAVE FINANCIAL HOLDING WHICH MIGHT PRESENT A POTENTIAL CONFLICT OF INTEREST?  YES  NO

HAVE YOU BEEN CONVICTED OF A FELONY OR MISDEMEANOR CRIME SINCE YOUR 18TH BIRTHDAY? YOU ARE NOT REQUIRED TO DISCLOSE ANY OF THE FOLLOWING: ARRESTS OR DETENTIONS THAT DID NOT RESULT IN A CONVICTION; CONVICTIONS THAT HAVE BEEN JUDICIALLY DISMISSED, EXPUNGED OR ORDERED SEALED; INFORMATION CONCERNING REFERRAL TO AND PARTICIPATION IN ANY PRETRIAL OR POSTTRIAL DIVERSION PROGRAM; AND CERTAIN DRUG RELATED CONVICTIONS THAT ARE OLDER THAN TWO YEARS, AS LISTED IN CALIFORNIA LABOR CODE § 432.8 (INCLUDING VIOLATIONS OF CALIFORNIA HEALTH AND SAFETY CODE SECTIONS 11357(B) AND (C), 11360(C) 11364, 11365 AND 11550 - AS THEY RELATE TO MARIJUANA)?

YES  NO

IF YES, PLEASE EXPLAIN AND ATTACH ADDITIONAL SHEETS, IF NECESSARY.

PLEASE BRIEFLY EXPLAIN WHY YOU WISH TO SERVE ON THIS BOARD, COMMITTEE, OR COMMISSION. ATTACH ADDITIONAL SHEETS, IF NECESSARY.

*I appreciate being able to serve in my Community & being of help to community neighbors. I served my Seal Beach Community on the Planning Commission & my Reserve World Community as a security officer. I look forward to an opportunity to serve in D.C.*

DATE: 3/29/2023 APPLICANTS SIGNATURE: Meridann J. Reinger

**CLERK OF THE BOARD OF SUPERVISORS USE ONLY - DO NOT WRITE BELOW THIS LINE**

Date Received: \_\_\_\_\_ Received by: \_\_\_\_\_  
 Date referred: \_\_\_\_\_ Deputy Clerk of the Board of Supervisors

To:  BOS District 1     BOS District 2     BOS District 3     BOS District 4     BOS District 5  
 All BOS     BCC Contact Person Name \_\_\_\_\_

Revised Date 02/07/19

# Mariann Klinger

## Summary of Qualifications

- Twenty years working as reporter and editor on weekly and daily newspapers.
- Excellent communications, networking, leadership skills.
- Proven ability to motivate individuals to accomplish goals.
- California teacher credentials in Social Studies and in English/Literature.

## Communications and Computer Skills

- Edited and wrote teachers newsletter, covering school board.
- Faculty advisor for student newspaper, developed journalism curriculum.
- Paginated and used variety of programs as a journalist.

## Academic Background

Chapman University of the Monterey Peninsula, Teacher Credentials in Social Studies and English/ Literature, highest honors, May 1999.

San Jose State University, San Jose, California, Master of Arts in Political Science, highest honors, 1988.

University of Dayton, Dayton, Ohio, Bachelor of Arts in Journalism, honors, 1978.

## Work History

- |   |             |
|---|-------------|
| City of Seal Beach Planning Commission<br>- Commissioner District 5                         | 2015-2/2023 |
| Golden Rain Foundation, Seal Beach, CA<br>- Security Officer                                | 2009-2020   |
| Salinas Valley Federation of Teachers, Salinas, CA<br>- Reporter/editor union newsletter    | 2003-2009   |
| Salinas Union High School District, Salinas, CA<br>- High School teacher                    | 1999-2007   |
| Monterey County Herald, Monterey, CA; Salinas Californian, Salinas, CA<br>- Reporter/editor | 1980-1997   |



# Revision to ASR and/or Attachments

**Date:** May 4, 2023  
**To:** Clerk of the Board of Supervisors  
**CC:** County Executive Office  
**From:** Frank Kim, County Executive Officer  
**Re:** ASR Control #: n/a, Meeting Date May 9, 2023, Item No. # S38B  
**Subject:** Approve 2022-2024 MOU with United Domestic Workers of America

*Maquiere*  
Digitally signed by Michelle Aguirre  
DN: cn=Michelle Aguirre, ou=CEO/  
Chief Financial Officer, ou=County  
of Orange,  
email=Michelle.Aguirre@ocgov.com,  
c=US  
Date: 2023.05.04 15:48:48 -0700

2023 MAY -4 PM 3:51  
RECEIVED  
CLERK OF THE BOARD OF SUPERVISORS  
ORANGE COUNTY CALIFORNIA

Explanation:

To properly reflect who will be making the recommended action.

Revised Recommended Action(s)

Revise Recommended Action as follows:

**Board of Supervisors** acting as the Governing Body of the Orange County In-Home Supportive Services Public Authority, approve and adopt the attached 2022-2024 Memorandum of Understanding between the Orange County In-Home Supportive Services Public Authority and the United Domestic Workers of America/AFSCME Local 3930 for the period of July 1, 2022, to December 31, 2024.

Make modifications to the:

Subject     Background Information     Summary     Financial Impact

Revise subject as follows:

Revised Attachments (attach revised attachment(s) and redlined copy(s))



County Executive Office

Memorandum

538B

May 2, 2023

To: Clerk of the Board of Supervisors  
From: Frank Kim, County Executive Officer  
Subject: Exception to Rule 21

Digitally signed by Michelle Aguirre  
DN: cn=Michelle Aguirre, o=CEO/Chief Financial Officer, ou=County of Orange, email=mschelle.aguirre@ocgo.gov, c=US  
Date: 2023.05.02 08:27:54 -0700

RECEIVED  
2023 MAY -2 AM 10:28  
CLERK OF THE BOARD  
ORANGE COUNTY  
BOARD OF SUPERVISORS

The County Executive Office is requesting a Supplemental Agenda Staff Report for the May 9, 2023, Board Hearing.

Agency: County Executive Office  
Subject: Approve2022-2024 MOU with United Domestic Workers of America  
Districts: All Districts

**Reason Item is Supplemental:** The County Executive Office is requesting this supplemental item because the parties are in the process of finalizing the language of the successor Memorandum of Understanding. A tentative agreement was fully executed by the parties on March 30, 2023.

**Justification:** This item needs to be on the supplemental agenda on May 9, 2023, in order to avoid an unfair labor practice charge. This Agenda Staff Report and attachments were finalized after the filing deadline to the Clerk of the Board.

Concur:   
Donald P. Wagner, Chairman of the Board of Supervisors

cc: Board of Supervisors  
County Executive Office  
County Counsel



### SUPPLEMENTAL AGENDA ITEM AGENDA STAFF REPORT

**MEETING DATE:** 05/09/2023

**LEGAL ENTITY TAKING ACTION:** Board of Supervisors and OC In-Home Supportive Services Public Authority

**BOARD OF SUPERVISORS DISTRICT(S):** All Districts

**SUBMITTING AGENCY/DEPARTMENT:** County Executive Office

**DEPARTMENT HEAD REVIEW:** \_\_\_\_\_  
*Department Head Signature*

**DEPARTMENT CONTACT PERSON(S):** Colette Farnes (714) 834-2836  
Jamie Newton (714) 834-2247

2023 MAY -2 AM 10:28  
RECEIVED  
BOARD OF SUPERVISORS  
COUNTY OF ORANGE  
CALIFORNIA

Digitally signed by Michelle Aguirre  
DN: cn=Michelle Aguirre, o=CEO/  
Chief Financial Officer, ou=County  
of Orange,  
email=mmichelle.aguirre@ocgov.co  
.ca.us  
Date: 2023.05.02 08:12:55 -0700

**SUBJECT:** Approve 2022-2024 MOU with United Domestic Workers of America

**CEO CONCUR**

Digitally signed by Michelle Aguirre  
DN: cn=Michelle Aguirre, o=CEO/  
Chief Financial Officer, ou=County of  
Orange,  
email=mmichelle.aguirre@ocgov.co  
.ca.us  
Date: 2023.05.02 08:26:54 -0700

*CEO Signature*

**COUNTY COUNSEL REVIEW**  
Approved Agreement to Form

Action

DocuSigned by:

*County Counsel Signature*

**CLERK OF THE BOARD**  
Discussion

3 Votes Board Majority

**Budgeted:** N/A

**Current Year Cost:** See Financial Impact Section

**Annual Cost:** See Financial Impact Section

**Staffing Impact:** No

**# of Positions:** N/A

**Sole Source:** N/A

**Current Fiscal Year Revenue:** N/A

**Funding Source:** See Financial Impact Section

**County Audit in last 3 years:** No

**Prior Board Action:** N/A

**RECOMMENDED ACTION(S):**

Acting as the Governing Body of the Orange County In-Home Supportive Services Public Authority, approve and adopt the attached 2022-2024 Memorandum of Understanding between the Orange County In-Home Supportive Services Public Authority and the United Domestic Workers of America/AFSCME Local 3930 for the period of July 1, 2022, to December 31, 2024.

Authorize County Executive Officer or designee to execute attached 2022-2024 Memorandum of Understanding between the Orange County In-Home Supportive Services Public Authority and the United Domestic Workers of America/AFSCME Local 3930 for the period of July 1, 2022, to December 31, 2024.

**SUMMARY:**

The County Executive Office recommends approval and adoption of the 2022–2024 Memorandum of Understanding regarding terms and conditions of employment for the In-Home Supportive Services Provider Unit represented by the United Domestic Workers of America/AFSCME Local 3930.

**BACKGROUND INFORMATION:**

In-Home Supportive Services (IHSS) provides domestic services, personal care, protective supervision and paramedical services to individuals 65 years of age or older and to blind or disabled individuals of any age in their own homes. The purpose of the program is to allow eligible individuals to live safely at home rather than in costly and less desirable out-of-home facilities. The IHSS program is operated in accordance with the requirements of the Welfare and Institutions Codes as well as regulations and directives issued by the California Department of Social Services, the California Department of Health Services and the federal Center for Medicaid and Medicare Services. As of February 2023, there are 42,831 IHSS Recipient cases with paid hours and 35,933 providers with paid hours. While IHSS Providers are not County employees, the Board of Supervisors (Board) is the Governing Body of the Orange County IHSS Public Authority, which is the employer of record for collective bargaining purposes.

Following over nine months of good faith negotiations, the parties reached a tentative agreement on March 30, 2023, regarding the proposed Memorandum of Understanding (MOU) under consideration by your honorable Board. The membership of the United Domestic Workers of America has ratified the proposed agreement. There is no retroactivity and no anticipated cost for this fiscal year, due to the lengthy approval process by State.

A summary of the significant deal points in the successor MOU are as follows:

**Term**

Two-year term from July 1, 2022, through December 31, 2024.

**Wages**

Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will supplement the base wage at an additional \$0.75 per hour, making the total supplemental wage \$1.25 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County’s Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

Effective January 1, 2024 the Public Authority will supplement the base wage at an additional \$0.75 per hour, making the total supplemental wage \$2.00 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County’s Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

**Health Trust Contribution**

Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will fund the trust as follows: an additional Eleven-Cents (\$0.11) per hour, making the total Seventy-One-

Cents (\$0.71), will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the remainder of this contract.

**Life Insurance Contribution**

Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will fund the trust as follows: Three-Cents (\$0.03) per hour will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the remainder of this contract for Life Insurance.

**FINANCIAL IMPACT:**

The estimated total cost incurred over the term of the MOU through FY 2024-25 is \$23.6M Net County Cost. This total consists of \$10.1M for FY 2023-24 and \$13.5M for FY 2024-25. This is the County's estimated increase to the In-Home Supportive Services Maintenance of Effort over the term of the MOU.

**STAFFING IMPACT:**

N/A

**ATTACHMENT(S):**

Attachment A - 2022-2024 Orange County IHSS Provider Unit MOU  
Attachment B - 2022-2024 Orange County IHSS Provider Unit MOU (Redline Version)  
Attachment C – March 30, 2023, Signed Deal Points (Tentative Agreement)

# **MEMORANDUM OF UNDERSTANDING**

## **IN-HOME SUPPORTIVE SERVICES (IHSS) PROVIDER UNIT**

July 1, 2022 through December 31, 2024

**ORANGE COUNTY IHSS PUBLIC AUTHORITY**

**AND**

**UNITED DOMESTIC WORKERS OF AMERICA,  
AFSCME Local 3930**

**MEMORANDUM OF UNDERSTANDING  
BETWEEN THE  
ORANGE COUNTY IN-HOME SUPPORTIVE SERVICES (IHSS)  
PUBLIC AUTHORITY  
AND THE  
UNITED DOMESTIC WORKERS OF AMERICA  
AFSCME Local 3930**

**July 1, 2022 – December 31, 2024**

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**ARTICLE 1. PREAMBLE**

This MEMORANDUM OF UNDERSTANDING (MOU) is entered into by the Orange County In-Home Supportive Services (IHSS) Public Authority (hereinafter referred to as “Public Authority”) and the United Domestic Workers of America, AFSCME Local 3930, AFL-CIO (hereinafter referred to as “Union”) as a result of collective bargaining regarding the wages, hours, and terms and conditions of employment which are to be in effect during the period July 1, 2022 through December 31, 2024 for employees in the IHSS Provider Unit. This agreement shall be in compliance with the Employer-Employee Relations Resolution of the Orange County IHSS Public Authority except as otherwise provided in Section 12300 and 12301.6 of the State of California Welfare and Institutions Code, as amended. The Union and the Public Authority acknowledge that the relationship between the Public Authority and the employees in the IHSS Provider Unit, who are individual providers, is governed by state law, specifically Welfare and Institutions Code Section 12301.6, that this relationship is unique, and that the Public Authority does not employ or manage the IHSS Providers in the role of a traditional employer and that the IHSS recipients (Consumers) remain the employer for the purposes of hiring, terminating and supervising the work of any independent provider providing services to them. IHSS recipients may also train providers regarding their individual needs.

**Unique Nature of Services**

The Public Authority and the Union also recognize and appreciate the unique nature of the services rendered by IHSS Providers, who provide valued services to IHSS recipients. The special relationship between the Provider and the recipient of service is deserving of the parties’ sincere respect and appreciation.

## **ARTICLE 2. UNION RIGHTS**

### **Section 1. Recognition**

The Orange County IHSS Public Authority recognizes the United Domestic Workers of America, AFSCME Local 3930, AFL-CIO, as the exclusive representative of the In-Home Supportive Services Individual Providers covered by this Agreement.

### **Section 2. Dues Deduction**

- a. The Union has the exclusive privilege of dues deduction for all IHSS Providers in the bargaining unit covered by this agreement. The Union will advise California Department of Social Services (CDSS) or the designated payroll agent for Providers in the bargaining unit covered by this agreement, to deduct all authorized dues, assessments and/or fees required by the Union. All such dues deductions shall be made in compliance with all applicable laws.
- b. The IHSS Public Authority shall, on a monthly basis, provide to the Union a list of all current members of the bargaining unit including: name, address, telephone number, social security numbers, hours paid per month and earnings. The list shall be provided on diskette or via electronic mail, in an agreed upon format.
- c. The Union shall defend, indemnify, save, protect and hold harmless the Public Authority and the County and their respective boards, directors, officers, and employees from any costs, liabilities, attorneys fees, and damages arising out of any and all claims, demands, suits or other actions alleging that the Public Authority, County, or Union has misused or inappropriately disclosed Provider information obtained from the Public Authority. Provider information includes but is not limited to Providers' names, addresses, telephone numbers, social security numbers and earnings. The Union shall limit its use of information to lawful Union purposes.

### Section 3. Bulletin Boards/Notices to Employees

#### a. Bulletin Boards

The Public Authority agrees to provide a suitable bulletin board, placed in every Public Authority office in the County of Orange, upon which the Union may post its formal notices provided that material posted is not derogatory to the Public Authority of the County of Orange or its employees. Additionally, if the Public Authority decides to send a mailing to all IHSS Providers, the Public Authority will give the Union fifteen (15) business days advance notice of its intent and will allow the Union to include Union information in the mailer as long as the information is not derogatory as outlined above. The Union will provide the Public Authority with any materials to be included in a mailing at least ten (10) business days in advance, unless mutually agreed upon, of the mailing and cover any increased costs due to including the Union materials.

#### b. Union Access to Public Authority Buildings

The Public Authority agrees to admit to any of its Orange County offices the authorized representative(s) of the Union for purposes of adjusting grievances and conducting other legitimate, appropriate Union business related to enforcing and monitoring this agreement. The Union shall notify the Public Authority of the name of its authorized representatives upon ratification of this agreement.

#### c. Languages

The Public Authority shall provide the capability at its administrative office to communicate with IHSS Providers as required by Title VI of the Civil Rights Act of 1964.

### Section 4. Union Representatives at Assessments

- a. Union representatives will not seek to conduct union business related to enforcement of this Agreement at the home of the recipient. However, Union representatives have the right to contact IHSS Providers at the addresses provided to them. In certain instances, union representatives may inadvertently visit a recipient's home, having been given the recipient's address as that of the IHSS Provider. Under such circumstances, the union representative may speak with the IHSS Provider after explaining the purpose of the visit and receiving permission from the Provider. If the address visited is the exclusive residence or the recipient, the union representative must also, wherever possible and practical, receive permission from the IHSS recipient to either (1) make an appointment at another location and/or time; or (2) continue with the meeting.

- b. Any determination regarding the amount of service hours a recipient shall be authorized is made by the Orange County Social Services Agency in consultation with the recipient and, when necessary, their provider of services.

#### Section 5. Union Stewards

- a. The Public Authority will recognize up to one Union steward for every one hundred (100) bargaining unit members.
- b. The Public Authority will recognize up to ten (10) stewards designated Senior Stewards.
- c. The Public Authority will recognize up to five (5) stewards designated as District Representatives.
- d. All such stewards, Senior Stewards and District Representatives shall be selected by the Union. The Union will provide a list of IHSS Providers in these positions to the Public Authority on a quarterly basis.

#### Section 6. Registry List

Upon request, but not more than once per month, the Public Authority shall provide to the Union a list of all potential Providers on the IHSS registry. Such roster shall include the name, address and telephone number of each person on the registry.

### **ARTICLE 3. PUBLIC AUTHORITY RIGHTS**

- a. Unless otherwise specified in this Memorandum of Understanding, the Public Authority retains the exclusive right to determine the merits, necessity or organization of any service or activity and to determine the methods, means and personnel by which its operations are to be conducted; to determine its mission, and that of any constituent subsections, committees, and other related work groups, to add or delete names from the registry (in accordance with Section b. below) and to make all necessary actions to carry out its mission in emergencies.
- b. If an existing bargaining unit member's name were to be deleted from the registry, the Public Authority will notify the member and the union of this action, along with their right to appeal the decision, in writing within ten (10) business days. The member may exercise the following steps to appeal the decision:
  - 1. Request, in writing, the reasons for this action within ten (10) business days of receiving their notice.

2. Within ten (10) business days of receiving the reasons for the action as outlined in Subsection A. above, the member may submit, in writing, an appeal to the Public Authority Executive Director. The Executive Director will meet with the member and/or their representative to consider the appeal. The Executive Director will render a decision in writing within ten (10) business days of receiving the appeal.
3. Within ten (10) days of receiving the decision of the Executive Director, the member and/or their representative may request an impartial mediation through the State Mediation and Conciliation Service. Mediation shall be the final step of this appeals process.

#### **ARTICLE 4. CONSUMER RIGHTS**

- a. The Union and the Public Authority recognize the right of consumers, as established by law and by the Ordinance creating this Public Authority, to hire, terminate and supervise the work of any IHSS Provider providing services to them, as well as to train such individuals in the manner in which those services are to be provided.
- b. The Union shall neither seek nor receive information from the Public Authority regarding the name, address, phone number, or any other personal information regarding consumers. Union representatives and IHSS Providers shall maintain strict standards of confidentiality regarding consumers and shall not disclose personal information pertaining to consumers obtained from any source unless the disclosure is compelled by the legal process or otherwise required by law. The consumer may consent to limited disclosure of information described herein and such consent may be withdrawn at any time for any reason.

**ARTICLE 5. LABOR-MANAGEMENT RELATIONS COMMITTEE (LMC)**

- a. The Public Authority and the Union recognize the importance of maintaining an effective working relationship in order to fulfill the mission of the IHSS program and provide quality, reliable care to all clients/consumers. Both parties recognize their responsibility to address any program matter that might affect this goal.
- b. The Public Authority and the Union shall establish a Labor-Management Relations Committee. The purpose of the Committee shall be to consider and, when agreed upon, take action on matters affecting the relations between the parties and recommend measures to improve client care and the IHSS program. The committee shall not engage in negotiations, consider matters properly the subject of a grievance or an individual matter.
  1. Each party shall select their own participants for the Committee. However, either party may request the removal of a participant from the other group if that participant becomes too disruptive to the work of the Committee.
  2. The Committee shall be composed of up to eight (8) Union representatives and up to eight (8) Public Authority representatives or their designee. Recommendations of the committee shall be decided upon by a show of consensus and not by majority vote.
  3. The Committee will be co-chaired by one of the Union representatives and one of the Public Authority representatives.
  4. The Committee will meet upon the request of either the Union or the Public Authority, but no more than one meeting per month unless agreed upon by the parties.
  5. Minutes from committee meetings will be prepared by the Public Authority and the Union, with alternating responsibility, within thirty (30) days of each meeting.
  6. The Public Authority and the Union will address each recommended item within a reasonable amount of time or as agreed to by the parties.
  7. Employee Committee members serve on a voluntary basis and will receive no compensation from the Public Authority for their participation.

## **ARTICLE 6. GREIVANCE PROCEDURE**

### **Section 1. Definition**

From time to time there may be differences and misunderstandings about the interpretation and application of the terms of this Agreement. Both parties agree that these differences and/or misunderstandings should be resolved promptly and, whenever possible, through informal means of communication between employees and the Public Authority. The Union will encourage bargaining unit members to discuss and resolve their concerns directly with appropriate Public Authority staff as the first step in addressing any work-related problem in order to prevent the need for a formal grievance. However, it is also acknowledged that occasions may arise in which informal means are inadequate to resolve work-related disputes concerning the interpretation or application of this agreement.

A grievance is hereby defined as a claim by an employee, a group of employees or the Union covered by this agreement involving an alleged violation of a provision of this Agreement by the Public Authority. Participation in the grievance procedure in any capacity shall be solely on the Provider's own time, and shall not be treated as within any IHSS Recipient's allocated service hours, or as paid time.

The grievance procedure shall not apply to matters over which the Public Authority has no jurisdiction, consumer rights or regarding the County of Orange.

### **Section 2. Grievance Procedure Steps**

All grievances shall be handled in the following manner:

**Step one:** The employee/Union shall meet and present her/his grievance to the Public Authority designee within ten (10) working days of the alleged violation. The Public Authority designee will respond to the grievance, in writing, within fourteen (14) days of this meeting.

**Step two:** If no settlement is reached in Step one, the grievance shall be submitted to the Executive Director of the Public Authority within ten (10) working days from the Step one response. The Executive Director, within seven (7) working days, shall meet with the employee/Union to discuss the grievance. The Executive Director will respond to the grievance, in writing, within ten (10) working days of this meeting.

**Step three:** If no settlement is reached in Step two, within ten (10) working days after the step two grievance procedure response is received, the employee/Union shall have the right to request an impartial mediation through the State Mediation and Conciliation Service. The mediator will meet with the parties to attempt to resolve the grievance and the parties shall share any mediation costs equally.

**Step four:** In the event the grievance is not resolved by mediation, the grievance may be submitted for arbitration within ten (10) working days of the mediation meeting. No grievance may proceed to arbitration until an attempt to resolve the matter has been made through mediation at Step three of this procedure.

### Section 3. General Provisions for Arbitration

- a. In the event that the dispute proceeds to arbitration the parties shall make a good faith effort to agree on an arbitrator. If the parties are unable to agree on a arbitrator within ten (10) working days from receipt of the arbitration request, the parties shall request a list of seven (7) arbitrators from the State Mediation and Conciliation Service and shall meet to alternatively strike the names from the list of arbitrators until, through process of elimination, only one name remains.
- b. No less than ten (10) working days prior to an arbitration hearing, the parties shall exchange any documentary evidence that will be used during the course of the arbitration hearing.
- c. The parties shall share the costs of the arbitrator equally.
- d. At the hearing, both parties shall have the right to be heard and to present evidence. The following rules shall apply:
  1. Oral evidence shall be taken only under oath.
  2. Each party shall have the right to call and examine witnesses, introduce exhibits and cross-examine opposing witnesses on any matter relevant to the issues even though that matter was not covered in the direct examination. If the employee does not testify on his or her own behalf, the employee may be called and examined as if under cross-examination.
  3. The Public Authority shall be allowed to have one (1) employee, who may be called upon to testify as a witness, present at the arbitration hearing at all times.
- e. The decision of the arbitrator shall be binding on all parties.
- f. The arbitrator may sustain, modify or rescind an appealed action. If the arbitrator decides to modify or rescind the action, any monetary award will be limited to any actual losses of pay or benefits by the employee(s). No claim shall be awarded for retroactive adjustments of any grievance prior to sixty (60) calendar days from the date of filing the written grievance.

## **ARTICLE 7. ORIENTATION AND TRAINING**

- A. The Public Authority will inform the bargaining unit members of training classes that are designed to offer activities to enhance the skills of IHSS Providers and to ensure ongoing access to appropriate and relevant information and training necessary to provide quality of care to IHSS recipients.

The Labor Management Relations Committee will develop an orientation meeting for new IHSS Providers, which shall be optional, and will also develop an informational packet for all bargaining unit members (in languages as required by Title VI of the Civil Rights Act of 1964) to explain various items important to the work of the IHSS provider. These items would include, but are not limited to hours/assessments, services, rights, rules, time card instructions, contact numbers and complaint/action forms. The Public Authority will also allow the Union to include in the packet of information Union contact information including Union website address.

The Public Authority agrees to work with the Union within the Labor Management Relations Committee to jointly pursue outside sources of additional funding that may be used to establish training and development programs for bargaining unit members, including the prospect of paid training for skill development and career enhancement. The Public Authority will pursue these activities, as its time availability makes practical and shall not be responsible for guaranteeing the receipt of any such funding or for the outcome of any such grant seeking efforts.

- B. If State or Federal funds become available for Provider training at no cost to the County/Public Authority during the term of this contract, the parties will meet within sixty (60) days to reach an agreement on the amount of monies that will be allocated and the trainings that will be offered to providers.

## **ARTICLE 8. PAYROLL**

### **Section 1. Payroll**

To promote a timely and accurate payroll system, the Public Authority and the Union will utilize the Labor Management Relations Committee to identify causes and solutions to on-going problems resulting in late, lost or inaccurate paychecks and related payroll issues. When the causes of problems are outside the direct control of the Public Authority, the Public Authority and the Union agree to work cooperatively to create solutions by bringing the problems to the attention of the responsible agencies and working with those agencies to seek and implement appropriate solutions.

### **Section 2. Direct Deposit**

In order to ensure that employees receive their paychecks in a timely manner and to avoid late, missing, delayed or lost paychecks, the Public Authority will cooperate with the establishment of a system to be developed by the State Controller to direct deposit IHSS Providers' paychecks into their bank accounts once this option has been made available by the State Controller. This service will be optional and made available at no cost to the employee.

## **ARTICLE 9. WAGES**

### **Section 1 - Wages**

- A. The base wage for Providers shall be the Federal, State, or other applicable minimum wage, whichever is greater.
- B. The Public Authority will continue to supplement the base wage outlined in Section 1.A. above at a total supplemental wage of \$0.50 per hour. The cost of the supplement has been added to the County's Maintenance of Effort (MOE) on a permanent basis, and will not be compounded for subsequent increases not locally negotiated.
- C. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will supplement the base wage outlined in Sections 1.A. and 1.B. above at an additional \$0.75 per hour, making the total supplemental wage \$1.25 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

- D. Effective January 1, 2024 the Public Authority will supplement the base wage outlined in Sections 1.A., 1.B., and 1.C. above at an additional \$0.75 per hour, making the total supplemental wage \$2.00 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

## Section 2 - Wage and Benefit Contingency

- A. If, during the term of this agreement, either Federal or State participation levels are reduced, State realignment funds are reduced, the State caps their funding participation for provider wages and/or benefits lower than the wages and/or benefits paid under this agreement, or the State or Federal cost sharing formula is modified in any manner that would result in an increased cost to the County/Public Authority to maintain the wage and/or benefit levels in this Agreement, the affected wages and/or benefits will be adjusted by an amount necessary to keep the total costs to the County/Public Authority the same as it would have been had the State or Federal participation level not been adjusted.
- B. In the case of a reduction in the Federal or State participation levels, as described in Article 9, Section 2.A. above, upon request of the Union, the parties will meet and confer to modify the distribution of the impact of the reduction between wages and benefits within 90 days of modifications.
- C. In no way does the inclusion of wage contingency language, as described in Article 9, Sections 2.A. and 2.B. above, imply that any supplemental wage has been agreed upon in excess of what is prescribed in Article 9, Section 1 above.

## **ARTICLE 10. HEALTH INSURANCE**

### **Section 1. Trust Fund**

The Union shall maintain a trust fund for the sole purpose of providing medical insurance benefits and life insurance to eligible members of the Orange County IHSS Provider Unit. The Union will operate the trust fund and insurance programs in accordance with all applicable Federal and/State laws. Funds in said trust shall not be co-mingled with Union funds.

In addition to the foregoing, the Union will ensure that the provision of benefits to providers under this Article comply with all applicable requirements of the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act of 2010, each as amended.

## Section 2. Funding of the Trust Fund

- A. The Public Authority will fund the trust as follows: Sixty-Cents (\$.60) per hour will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the term of this contract.
- B. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will fund the trust as follows: an additional Eleven-Cents (\$.11) per hour, making the total Seventy-One-Cents (\$.71), will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the remainder of this contract.
- C. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will fund the trust as follows: Three-Cents (\$.03) per hour will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the remainder of this contract for Life Insurance.
- D. If the benefit rate for IHSS Providers is reduced at the State or Federal level and is lower than the County's current benefit rate, then the County's benefit rate will be automatically reduced to the same level. The Public Authority shall provide written notice to the Union at least thirty (30) calendar days prior to the effective date of any adjustments made pursuant to this section which would include the reason(s) for the adjustment.

## Section 3. Benefits

All eligible providers in the unit will be offered benefits to include health, dental, vision, and life insurance.

## Section 4. Eligibility Criteria for Health, Dental, and Vision Insurance

To become eligible to participate in the benefits program, the provider must work at least an average of eighty (80) hours per month during each calendar quarter. If a provider that is currently participating in the benefits program does not work an average of eighty (80) hours per month during any calendar quarter, they will be notified that they will need to increase their hours worked during the following

quarter to retain eligibility for benefits. If the provider does not increase their hours to an average of eighty (80) hours per month during that subsequent quarter, they become ineligible for the benefits program and will need to re-establish their eligibility for benefits. Hours worked are equal to, and defined as, paid hours as reported on the Case Management Information and Payroll System.

#### Section 5. Trust Fund Reports

Once each year, upon written request, the United Domestic Workers of America will provide to the Orange County IHSS Public Authority a copy of the trust fund annual financial report. The annual financial report shall include the actual costs of the health benefit plan and life insurance premiums, member contributions towards medical insurance, eligible provider participation level, rate increases by insurance carriers, a summary of remaining fund expenditures, and the beginning and ending cash balance of the fund. Additionally, once each year, upon written request, the Public Authority will be allowed to perform a full financial audit of the Union Trust Fund at no cost to the Union.

In the event any information is required by the Orange County IHSS Public Authority, the County of Orange or the State of California to satisfy any federal or state reporting requirements, including, but not limited to, requirements imposed by the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act of 2010, each as amended, UDWA will promptly provide such information to the requesting entity. The foregoing does not limit the responsibility of the Union to promptly satisfy all federal and/or state reporting requirements resulting from the administration of the trust or the insurance programs.

#### Section 6. Indemnification

The Union shall indemnify and hold the Orange County IHSS Public Authority and the County of Orange harmless from any claims or legal actions brought under this agreement, including, but not limited to, any costs, penalties, fees or assessments imposed under the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act of 2010, as amended, in connection with the benefits to be provided under this Article.

### **ARTICLE 11. SUPPLIES**

The Public Authority shall purchase supplies, which will consist of but not be limited to medical supplies. The supplies will be housed at the Union office and the Public Authority Office on an equal ratio. The Public Authority shall fund supplies up to \$10,000 per fiscal year during the term of this MOU. Each party will maintain a log to account for the name of the Provider receiving supplies, date of issuance and the quantity received. Upon a ten (10) day written request, the Union and the

Public Authority will be allowed to inspect the supplies and the entry log. A quarterly report of all supplies and logs will be accounted for during the LMC meetings.

## **ARTICLE 12. NON-DISCRIMINATION**

### **Section 1. Non-Discrimination**

The Public Authority and the Union shall not discriminate in the interpretation, application or enforcement of the express terms of this Agreement because of an individual's gender, race, creed, color, national origin, sexual orientation, age, or disability.

### **Section 2. Union Activities**

The Public Authority and the Union shall not discriminate against any Provider covered by this agreement for his or her participation or non-participation in Union activities or the exercise of his or her rights under this agreement.

## **ARTICLE 13. GENERAL PROVISIONS**

### **Section 1. No Strike/No Lockout**

During the term of this MOU, the Union, its members and representatives, agree not to engage in, authorize, sanction or support any strike, slowdown, stoppage of work, curtailment of production, or refusal to perform customary duties. The IHSS Public Authority agrees not to lockout members during the term of this MOU.

### **Section 2. Modification**

No provision or term of this agreement may be amended, modified, altered or waived except by written agreement between the parties hereto.

### **Section 3. Savings Clause/Separability**

In the event that any Article, Section or portion of this Agreement is declared invalid by a court of competent jurisdiction or is in contravention of any applicable law the remaining provisions of this Agreement shall not be invalidated thereby and shall remain in full force and effect.

### **Section 4. Sole and Entire Agreement**

- a. This Agreement, together with any appendices, concludes all collective bargaining between the parties, constitutes the sole and entire agreement between the parties and supersedes any prior agreements or understandings, oral, written, express or implied, or practices by the Public Authority with regard to bargaining unit members.
- b. The parties acknowledge that during the negotiations that resulted in this Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject matter not otherwise prohibited by law and that the understandings arrived at by the parties after the exercise of that right and opportunity are set forth in this Agreement.

#### Section 5. Waiver

The waiver of any breach or condition of this Agreement by either party shall not constitute a precedent for any further waiver of any such breach or condition.

#### Section 6. Contract Term

This Agreement shall become effective July 1, 2022 and shall terminate on December 31, 2024.

**MEMORANDUM OF UNDERSTANDING**  
**July 1, 2022 through December 31, 2024**  
**ORANGE COUNTY IN-HOME SUPPORTIVE SERVICES PUBLIC AUTHORITY**  
**UNITED DOMESTIC WORKERS OF AMERICA/AFSCME LOCAL 3930, AFL-CIO**  
**FOR THE IN-HOME SUPPORTIVE SERVICES PROVIDER UNIT**

**UNITED DOMESTIC WORKERS**

**ORANGE COUNTY IHSS PUBLIC  
AUTHORITY**

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Doug Moore  
Executive Director

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Frank Kim  
County Executive Officer

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Editha Adams  
President

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Aaron Hogue  
Executive Director, IHSS Public Authority

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J.B. Afoh-Manin  
Chief Negotiator

---

Gabriel Bowne  
Chief Negotiator

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Donta Harrison  
Regional Coordinator

---

Jamie Newton  
Director, Employee & Labor Relations

---

NAME  
Lead Organizer

---

Marc Gallonio  
Sr. Employee & Labor Relations  
Manager

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NAME  
IHSS Provider

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Jeff Griffin  
HRS Operations Manager

---

NAME  
IHSS Provider

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Julie Poulos  
SSA Deputy Division Director  
Adult Services

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NAME  
IHSS Provider

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Nicole Oum  
SSA Financial Services

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**July 1, 2022 through December 31, 2024**  
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**FOR THE IN-HOME SUPPORTIVE SERVICES PROVIDER UNIT**

**UNITED DOMESTIC WORKERS**

**ORANGE COUNTY IHSS PUBLIC  
AUTHORITY**

\_\_\_\_\_  
NAME  
IHSS Provider

\_\_\_\_\_  
Hilda Juarez  
Deputy Director, HRS

\_\_\_\_\_  
NAME  
IHSS Provider

\_\_\_\_\_  
Joan Mountain  
HRS, Employee & Labor Relations

\_\_\_\_\_  
NAME  
IHSS Provider

\_\_\_\_\_  
DATE

\_\_\_\_\_  
NAME  
IHSS Provider

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IHSS Provider

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IHSS Provider

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NAME  
IHSS Provider

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DATE

# MEMORANDUM OF UNDERSTANDING

## IN-HOME SUPPORTIVE SERVICES (IHSS) PROVIDER UNIT

July 1, 20~~22~~16 through December~~June~~ 31~~0~~2,  
202~~4~~2

ORANGE COUNTY IHSS PUBLIC AUTHORITY

AND

UNITED DOMESTIC WORKERS OF AMERICA,  
AFSCME Local 3930

**MEMORANDUM OF UNDERSTANDING  
BETWEEN THE  
ORANGE COUNTY IN-HOME SUPPORTIVE SERVICES (IHSS)  
PUBLIC AUTHORITY  
AND THE  
UNITED DOMESTIC WORKERS OF AMERICA  
AFSCME Local 3930**

**July 1, 2022~~16~~ – ~~December~~June 31~~0~~, 2024~~2~~**

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The Public Authority agrees to provide a suitable bulletin board, placed in every Public Authority office in the County of Orange, upon which the Union may post its formal notices provided that material posted is not derogatory to the Public Authority of the County of Orange or its employees. Additionally, if the Public Authority decides to send a mailing to all IHSS Providers, the Public Authority will give the Union fifteen (15) business days advance notice of its intent and will allow the Union to include Union information in the mailer as long as the information is not derogatory as outlined above. The Union will provide the Public Authority with any materials to be included in a mailing at least ten (10) business days in advance, unless mutually agreed upon, of the mailing and cover any increased costs due to including the Union materials.

#### b. Union Access to Public Authority Buildings

The Public Authority agrees to admit to any of its Orange County offices the authorized representative(s) of the Union for purposes of adjusting grievances and conducting other legitimate, appropriate Union business related to enforcing and monitoring this agreement. The Union shall notify the Public Authority of the name of its authorized representatives upon ratification of this agreement.

#### c. Languages

The Public Authority shall provide the capability at its administrative office to communicate with IHSS Providers as required by Title VI of the Civil Rights Act of 1964.

### Section 4. Union Representatives at Assessments

- a. Union representatives will not seek to conduct union business related to enforcement of this Agreement at the home of the recipient. However, Union representatives have the right to contact IHSS Providers at the addresses provided to them. In certain instances, union representatives may inadvertently visit a recipient's home, having been given the recipient's address as that of the IHSS Provider. Under such circumstances, the union representative may speak with the IHSS Provider after explaining the purpose of the visit and receiving permission from the Provider. If the address visited is the exclusive residence of the recipient, the union representative must also, wherever possible and practical, receive permission from the IHSS recipient to either (1) make an appointment at another location and/or time; or (2) continue with the meeting.

- b. Any determination regarding the amount of service hours a recipient shall be authorized is made by the Orange County Social Services Agency in consultation with the recipient and, when necessary, their provider of services.

#### Section 5. Union Stewards

- a. The Public Authority will recognize up to one Union steward for every one hundred (100) bargaining unit members.
- b. The Public Authority will recognize up to ten (10) stewards designated Senior Stewards.
- c. The Public Authority will recognize up to five (5) stewards designated as District Representatives.
- d. All such stewards, Senior Stewards and District Representatives shall be selected by the Union. The Union will provide a list of IHSS Providers in these positions to the Public Authority on a quarterly basis.

#### Section 6. Registry List

Upon request, but not more than once per month, the Public Authority shall provide to the Union a list of all potential Providers on the IHSS registry. Such roster shall include the name, address and telephone number of each person on the registry.

### **ARTICLE 3. PUBLIC AUTHORITY RIGHTS**

- a. Unless otherwise specified in this Memorandum of Understanding, the Public Authority retains the exclusive right to determine the merits, necessity or organization of any service or activity and to determine the methods, means and personnel by which its operations are to be conducted; to determine its mission, and that of any constituent subsections, committees, and other related work groups, to add or delete names from the registry (in accordance with Section b. below) and to make all necessary actions to carry out its mission in emergencies.
- b. If an existing bargaining unit member's name were to be deleted from the registry, the Public Authority will notify the member and the union of this action, along with their right to appeal the decision, in writing within ten (10) business days. The member may exercise the following steps to appeal the decision:
  - 1. Request, in writing, the reasons for this action within ten (10) business days of receiving their notice.

2. Within ten (10) business days of receiving the reasons for the action as outlined in Subsection A. above, the member may submit, in writing, an appeal to the Public Authority Executive Director. The Executive Director will meet with the member and/or their representative to consider the appeal. The Executive Director will render a decision in writing within ten (10) business days of receiving the appeal.
3. Within ten (10) days of receiving the decision of the Executive Director, the member and/or their representative may request an impartial mediation through the State Mediation and Conciliation Service. Mediation shall be the final step of this appeals process.

#### **ARTICLE 4. CONSUMER RIGHTS**

- a. The Union and the Public Authority recognize the right of consumers, as established by law and by the Ordinance creating this Public Authority, to hire, terminate and supervise the work of any IHSS Provider providing services to them, as well as to train such individuals in the manner in which those services are to be provided.
- b. The Union shall neither seek nor receive information from the Public Authority regarding the name, address, phone number, or any other personal information regarding consumers. Union representatives and IHSS Providers shall maintain strict standards of confidentiality regarding consumers and shall not disclose personal information pertaining to consumers obtained from any source unless the disclosure is compelled by the legal process or otherwise required by law. The consumer may consent to limited disclosure of information described herein and such consent may be withdrawn at any time for any reason.

**ARTICLE 5. LABOR-MANAGEMENT RELATIONS COMMITTEE (LMC)**

- a. The Public Authority and the Union recognize the importance of maintaining an effective working relationship in order to fulfill the mission of the IHSS program and provide quality, reliable care to all clients/consumers. Both parties recognize their responsibility to address any program matter that might affect this goal.
- b. The Public Authority and the Union shall establish a Labor-Management Relations Committee. The purpose of the Committee shall be to consider and, when agreed upon, take action on matters affecting the relations between the parties and recommend measures to improve client care and the IHSS program. The committee shall not engage in negotiations, consider matters properly the subject of a grievance or an individual matter.
  1. Each party shall select their own participants for the Committee. However, either party may request the removal of a participant from the other group if that participant becomes too disruptive to the work of the Committee.
  2. The Committee shall be composed of up to eight (8) Union representatives and up to eight (8) Public Authority representatives or their designee. Recommendations of the committee shall be decided upon by a show of consensus and not by majority vote.
  3. The Committee will be co-chaired by one of the Union representatives and one of the Public Authority representatives.
  4. The Committee will meet upon the request of either the Union or the Public Authority, but no more than one meeting per month unless agreed upon by the parties.
  5. Minutes from committee meetings will be prepared by the Public Authority and the Union, with alternating responsibility, within thirty (30) days of each meeting.
  6. The Public Authority and the Union will address each recommended item within a reasonable amount of time or as agreed to by the parties.
  7. Employee Committee members serve on a voluntary basis and will receive no compensation from the Public Authority for their participation.

## **ARTICLE 6. GREIVANCE PROCEDURE**

### **Section 1. Definition**

From time to time there may be differences and misunderstandings about the interpretation and application of the terms of this Agreement. Both parties agree that these differences and/or misunderstandings should be resolved promptly and, whenever possible, through informal means of communication between employees and the Public Authority. The Union will encourage bargaining unit members to discuss and resolve their concerns directly with appropriate Public Authority staff as the first step in addressing any work-related problem in order to prevent the need for a formal grievance. However, it is also acknowledged that occasions may arise in which informal means are inadequate to resolve work-related disputes concerning the interpretation or application of this agreement.

A grievance is hereby defined as a claim by an employee, a group of employees or the Union covered by this agreement involving an alleged violation of a provision of this Agreement by the Public Authority. Participation in the grievance procedure in any capacity shall be solely on the Provider's own time, and shall not be treated as within any IHSS Recipient's allocated service hours, or as paid time.

The grievance procedure shall not apply to matters over which the Public Authority has no jurisdiction, consumer rights or regarding the County of Orange.

### **Section 2. Grievance Procedure Steps**

All grievances shall be handled in the following manner:

**Step one:** The employee/Union shall meet and present her/his grievance to the Public Authority designee within ten (10) working days of the alleged violation. The Public Authority designee will respond to the grievance, in writing, within fourteen (14) days of this meeting.

**Step two:** If no settlement is reached in Step one, the grievance shall be submitted to the Executive Director of the Public Authority within ten (10) working days from the Step one response. The Executive Director, within seven (7) working days, shall meet with the employee/Union to discuss the grievance. The Executive Director will respond to the grievance, in writing, within ten (10) working days of this meeting.

**Step three:** If no settlement is reached in Step two, within ten (10) working days after the step two grievance procedure response is received, the employee/Union shall have the right to request an impartial mediation through the State Mediation and Conciliation Service. The mediator will meet with the parties to attempt to resolve the grievance and the parties shall share any mediation costs equally.

**Step four:** In the event the grievance is not resolved by mediation, the grievance may be submitted for arbitration within ten (10) working days of the mediation meeting. No grievance may proceed to arbitration until an attempt to resolve the matter has been made through mediation at Step three of this procedure.

### Section 3. General Provisions for Arbitration

- a. In the event that the dispute proceeds to arbitration the parties shall make a good faith effort to agree on an arbitrator. If the parties are unable to agree on a arbitrator within ten (10) working days from receipt of the arbitration request, the parties shall request a list of seven (7) arbitrators from the State Mediation and Conciliation Service and shall meet to alternatively strike the names from the list of arbitrators until, through process of elimination, only one name remains.
- b. No less than ten (10) working days prior to an arbitration hearing, the parties shall exchange any documentary evidence that will be used during the course of the arbitration hearing.
- c. The parties shall share the costs of the arbitrator equally.
- d. At the hearing, both parties shall have the right to be heard and to present evidence. The following rules shall apply:
  1. Oral evidence shall be taken only under oath.
  2. Each party shall have the right to call and examine witnesses, introduce exhibits and cross-examine opposing witnesses on any matter relevant to the issues even though that matter was not covered in the direct examination. If the employee does not testify on his or her own behalf, the employee may be called and examined as if under cross-examination.
  3. The Public Authority shall be allowed to have one (1) employee, who may be called upon to testify as a witness, present at the arbitration hearing at all times.
- e. The decision of the arbitrator shall be binding on all parties.
- f. The arbitrator may sustain, modify or rescind an appealed action. If the arbitrator decides to modify or rescind the action, any monetary award will be limited to any actual losses of pay or benefits by the employee(s). No claim shall be awarded for retroactive adjustments of any grievance prior to sixty (60) calendar days from the date of filing the written grievance.

**ARTICLE 7. ORIENTATION AND TRAINING**

- A. The Public Authority will inform the bargaining unit members of training classes that are designed to offer activities to enhance the skills of IHSS Providers and to ensure ongoing access to appropriate and relevant information and training necessary to provide quality of care to IHSS recipients.

The Labor Management Relations Committee will develop an orientation meeting for new IHSS Providers, which shall be optional, and will also develop an informational packet for all bargaining unit members (in languages as required by Title VI of the Civil Rights Act of 1964) to explain various items important to the work of the IHSS provider. These items would include, but are not limited to hours/assessments, services, rights, rules, time card instructions, contact numbers and complaint/action forms. The Public Authority will also allow the Union to include in the packet of information Union contact information including Union website address.

The Public Authority agrees to work with the Union within the Labor Management Relations Committee to jointly pursue outside sources of additional funding that may be used to establish training and development programs for bargaining unit members, including the prospect of paid training for skill development and career enhancement. The Public Authority will pursue these activities, as its time availability makes practical and shall not be responsible for guaranteeing the receipt of any such funding or for the outcome of any such grant seeking efforts.

- B. If State or Federal funds become available for Provider training at no cost to the County/Public Authority during the term of this contract, the parties will meet within sixty (60) days to reach an agreement on the amount of monies that will be allocated and the trainings that will be offered to providers.

## ARTICLE 8. PAYROLL

### Section 1. Payroll

To promote a timely and accurate payroll system, the Public Authority and the Union will utilize the Labor Management Relations Committee to identify causes and solutions to on-going problems resulting in late, lost or inaccurate paychecks and related payroll issues. When the causes of problems are outside the direct control of the Public Authority, the Public Authority and the Union agree to work cooperatively to create solutions by bringing the problems to the attention of the responsible agencies and working with those agencies to seek and implement appropriate solutions.

### Section 2. Direct Deposit

In order to ensure that employees receive their paychecks in a timely manner and to avoid late, missing, delayed or lost paychecks, the Public Authority will cooperate with the establishment of a system to be developed by the State Controller to direct deposit IHSS Providers' paychecks into their bank accounts once this option has been made available by the State Controller. This service will be optional and made available at no cost to the employee.

## ARTICLE 9. WAGES

### Section 1 - Wages

A. The base wage for Providers shall be the Federal, State, or other applicable minimum wage, whichever is greater.

~~B. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority shall supplement wages at an additional \$0.25 above the base wage outlined in Section 1.A. above. The cost of the supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.~~

~~Effective January 1, 2020 the Public Authority will supplement the base wage outlined in Section 1.A. above at an additional \$0.25 per hour, making the total supplemental wage \$0.50 per hour. The cost of the Wage Supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.~~

B. The Public Authority will continue to supplement the base wage outlined in Section 1.A. above at a total supplemental wage of \$0.50 per hour. The cost of the supplement has been added to the County's Maintenance of Effort

(MOE) on a permanent basis, and will not be compounded for subsequent increases not locally negotiated.

C. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will supplement the base wage outlined in Sections 1.A. and 1.B. above at an additional \$0.75 per hour, making the total supplemental wage \$1.25 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

D. Effective January 1, 2024 the Public Authority will supplement the base wage outlined in Sections 1.A., 1.B., and 1.C. above at an additional \$0.75 per hour, making the total supplemental wage \$2.00 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

## Section 2 - Wage and Benefit Contingency

- A. If, during the term of this agreement, either Federal or State participation levels are reduced, State realignment funds are reduced, the State caps their funding participation for provider wages and/or benefits lower than the wages and/or benefits paid under this agreement, or the State or Federal cost sharing formula is modified in any manner that would result in an increased cost to the County/Public Authority to maintain the wage and/or benefit levels in this Agreement, the affected wages and/or benefits will be adjusted by an amount necessary to keep the total costs to the County/Public Authority the same as it would have been had the State or Federal participation level not been adjusted.
- B. In the case of a reduction in the Federal or State participation levels, as described in Article 9, Section 2.A. above, upon request of the Union, the parties will meet and confer to modify the distribution of the impact of the reduction between wages and benefits within 90 days of modifications.
- C. In no way does the inclusion of wage contingency language, as described in Article 9, Sections 2.A. and 2.B. above, imply that any supplemental wage

has been agreed upon in excess of what is prescribed in Article 9, Section 1 above.

## ARTICLE 10. HEALTH INSURANCE

### Section 1. Trust Fund

The Union shall maintain a trust fund for the sole purpose of providing medical insurance benefits and life insurance to eligible members of the Orange County IHSS Provider Unit. The Union will operate the trust fund and insurance programs in accordance with all applicable Federal and/State laws. Funds in said trust shall not be co-mingled with Union funds.

In addition to the foregoing, the Union will ensure that the provision of benefits to providers under this Article comply with all applicable requirements of the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act of 2010, each as amended.

### Section 2. Funding of the Trust Fund

- A. The Public Authority will fund the trust as follows: Sixty-Cents (\$.60) per hour will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the term of this contract.
- B. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will fund the trust as follows: an additional Eleven-Cents (\$0.11) per hour, making the total Seventy-One-Cents (\$0.71), will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the remainder of this contract.
- C. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will fund the trust as follows: Three-Cents (\$0.03) per hour will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the remainder of this contract for Life Insurance.
- D. If the benefit rate for IHSS Providers is reduced at the State or Federal level and is lower than the County's current benefit rate, then the County's benefit rate will be automatically reduced to the same level. The Public Authority shall provide written notice to the Union at least thirty (30) calendar days prior to the effective date of any adjustments made

pursuant to this section which would include the reason(s) for the adjustment.

### Section 3. Benefits

All eligible providers in the unit will be offered benefits to include health, dental, ~~and~~ vision, and life insurance.

### Section 4. Eligibility Criteria for Health, Dental, and Vision Insurance

To become eligible to participate in the benefits program, the provider must work at least an average of eighty (80) hours per month during each calendar quarter. If a provider that is currently participating in the benefits program does not work an average of eighty (80) hours per month during any calendar quarter, they will be notified that they will need to increase their hours worked during the following quarter to retain eligibility for benefits. If the provider does not increase their hours to an average of eighty (80) hours per month during that subsequent quarter, they become ineligible for the benefits program and will need to re-establish their eligibility for benefits. Hours worked are equal to, and defined as, paid hours as reported on the Case Management Information and Payroll System.

### Section 5. Trust Fund Reports

Once each year, upon written request, the United Domestic Workers of America will provide to the Orange County IHSS Public Authority a copy of the trust fund annual financial report. The annual financial report shall include the actual costs of the health benefit plan and life insurance premiums, member contributions towards medical insurance, eligible provider participation level, rate increases by insurance carriers, a summary of remaining fund expenditures, and the beginning and ending cash balance of the fund. Additionally, once each year, upon written request, the Public Authority will be allowed to perform a full financial audit of the Union Trust Fund at no cost to the Union.

In the event any information is required by the Orange County IHSS Public Authority, the County of Orange or the State of California to satisfy any federal or state reporting requirements, including, but not limited to, requirements imposed by the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act of 2010, each as amended, UDWA will promptly provide such information to the requesting entity. The foregoing does not limit the responsibility of the Union to promptly satisfy all federal and/or state reporting requirements resulting from the administration of the trust or the insurance programs.

## Section 6. Indemnification

The Union shall indemnify and hold the Orange County IHSS Public Authority and the County of Orange harmless from any claims or legal actions brought under this agreement, including, but not limited to, any costs, penalties, fees or assessments imposed under the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act of 2010, as amended, in connection with the benefits to be provided under this Article.

## **ARTICLE 11. SUPPLIES**

The Public Authority shall purchase supplies, which will consist of but not be limited to medical supplies. The supplies will be housed at the Union office and the Public Authority Office on an equal ratio. The Public Authority shall fund supplies up to \$10,000 per fiscal year during the term of this MOU. ~~The types of supplies will be agreed upon by the Union and the Public Authority at the first Labor Management Committee (LMC) meeting upon implementation of this MOU.~~ Each party will maintain a log to account for the name of the Provider receiving supplies, date of issuance and the quantity received. Upon a ten (10) day written request, the Union and the Public Authority will be allowed to inspect the supplies and the entry log. A quarterly report of all supplies and logs will be accounted for during the LMC meetings.

## **ARTICLE 12. NON-DISCRIMINATION**

### Section 1. Non-Discrimination

The Public Authority and the Union shall not discriminate in the interpretation, application or enforcement of the express terms of this Agreement because of an individual's gender, race, creed, color, national origin, sexual orientation, age, or disability.

### Section 2. Union Activities

The Public Authority and the Union shall not discriminate against any Provider covered by this agreement for his or her participation or non-participation in Union activities or the exercise of his or her rights under this agreement.

## **ARTICLE 13. GENERAL PROVISIONS**

### **Section 1. No Strike/No Lockout**

During the term of this MOU, the Union, its members and representatives, agree not to engage in, authorize, sanction or support any strike, slowdown, stoppage of work, curtailment of production, or refusal to perform customary duties. The IHSS Public Authority agrees not to lockout members during the term of this MOU.

### **Section 2. Modification**

No provision or term of this agreement may be amended, modified, altered or waived except by written agreement between the parties hereto.

### **Section 3. Savings Clause/Separability**

In the event that any Article, Section or portion of this Agreement is declared invalid by a court of competent jurisdiction or is in contravention of any applicable law the remaining provisions of this Agreement shall not be invalidated thereby and shall remain in full force and effect.

### **Section 4. Sole and Entire Agreement**

- a. This Agreement, together with any appendices, concludes all collective bargaining between the parties, constitutes the sole and entire agreement between the parties and supersedes any prior agreements or understandings, oral, written, express or implied, or practices by the Public Authority with regard to bargaining unit members.
- b. The parties acknowledge that during the negotiations that resulted in this Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject matter not otherwise prohibited by law and that the understandings arrived at by the parties after the exercise of that right and opportunity are set forth in this Agreement.

### **Section 5. Waiver**

The waiver of any breach or condition of this Agreement by either party shall not constitute a precedent for any further waiver of any such breach or condition.

Section 6. Contract Term

This Agreement shall become effective July 1, 20~~22~~16 and shall terminate on ~~December~~June 31~~0~~, 2024~~2~~.

**MEMORANDUM OF UNDERSTANDING**  
**July 1, 2022 through December 31, 2024**  
**ORANGE COUNTY IN HOME SUPPORTIVE SERVICES PUBLIC AUTHORITY**  
**UNITED DOMESTIC WORKERS OF AMERICA/AFSCME LOCAL 3930, AFL-CIO**  
**FOR THE IN HOME SUPPORTIVE SERVICES PROVIDER UNIT**

**UNITED DOMESTIC WORKERS**

**ORANGE COUNTY IHSS PUBLIC  
AUTHORITY**

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Doug Moore  
Executive Director

---

Frank Kim  
County Executive Officer

---

Editha Adams  
President

---

Aaron Hogue  
Executive Director, IHSS Public Authority

---

J.B. Afoh-Manin  
Chief Negotiator

---

Gabriel Bowne  
Chief Negotiator

---

Donta Harrison  
Regional Coordinator

---

Jamie Newton  
Director, Employee & Labor Relations

---

NAME  
Lead Organizer

---

Marc Gallonio  
Sr. Employee & Labor Relations  
Manager

---

NAME  
IHSS Provider

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Jeff Griffin  
HRS Operations Manager

---

NAME  
IHSS Provider

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Julie Poulos  
SSA Deputy Division Director  
Adult Services

---

NAME  
IHSS Provider

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Nicole Oum  
SSA Financial Services

**MEMORANDUM OF UNDERSTANDING**  
**July 1, 2022 through December 31, 2024**  
**ORANGE COUNTY IN HOME SUPPORTIVE SERVICES PUBLIC AUTHORITY**  
**UNITED DOMESTIC WORKERS OF AMERICA/AFSCME LOCAL 3930, AFL-CIO**  
**FOR THE IN HOME SUPPORTIVE SERVICES PROVIDER UNIT**

**UNITED DOMESTIC WORKERS**

**ORANGE COUNTY IHSS PUBLIC AUTHORITY**

\_\_\_\_\_  
NAME  
IHSS Provider

\_\_\_\_\_  
Hilda Juarez  
Deputy Director, HRS

\_\_\_\_\_  
NAME  
IHSS Provider

\_\_\_\_\_  
Joan Mountain  
HRS, Employee & Labor Relations

\_\_\_\_\_  
NAME  
IHSS Provider

\_\_\_\_\_  
DATE

\_\_\_\_\_  
NAME  
IHSS Provider

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NAME  
IHSS Provider

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IHSS Provider

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NAME  
IHSS Provider

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DATE

**DEAL POINTS**  
**FOR A SUCCESSOR MEMORANDUM OF UNDERSTANDING BETWEEN**  
**THE COUNTY OF ORANGE**  
**AND**  
**THE ORANGE COUNTY IHSS PUBLIC AUTHORITY**  
**AND**  
**THE UNITED DOMESTIC WORKERS OF AMERICA, AFSCME LOCAL 3930**  
**FOR**  
**THE IN-HOME SUPPORTIVE SERVICES (IHSS) PROVIDER UNIT**

**March 30, 2023**

**1. Article 13. General Provisions - Section 6. Contract Term**

This Agreement between Orange County Public Authority and the UDW shall be in effect July 1, 2022 through December 31, 2024.

**2. Article 9. Wages - Section 1. and Section 2.**

Section 1 - Wages

A. The base wage for Providers shall be the Federal, State, or other applicable minimum wage, whichever is greater.

B. The Public Authority will continue to supplement the base wage outlined in Section 1.A. above at a total supplemental wage of \$0.50 per hour. The cost of the supplement has been added to the County's Maintenance of Effort (MOE) on a permanent basis, and will not be compounded for subsequent increases not locally negotiated.

C. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will supplement the base wage outlined in Sections 1.A. and 1.B. above at an additional \$0.75 per hour, making the total supplemental wage \$1.25 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

D. Effective January 1, 2024 the Public Authority will supplement the base wage outlined in Sections 1.A., 1.B., and 1.C. above at an additional \$0.75 per hour, making the total supplemental wage \$2.00 per hour. The increase will be pursuant to the terms of the Welfare and Institutions Code § 12306.1. The cost of the Wage Supplement will be added to the County's Maintenance of Effort (MOE) on a permanent basis, but will not be compounded for subsequent increases not locally negotiated.

#### Section 2 - Wage and Benefit Contingency

A. If, during the term of this agreement, either Federal or State participation levels are reduced, State realignment funds are reduced, the State caps their funding participation for provider wages and/or benefits lower than the wages and/or benefits paid under this agreement, or the State or Federal cost sharing formula is modified in any manner that would result in an increased cost to the County/Public Authority to maintain the wage and/or benefit levels in this Agreement, the affected wages and/or benefits will be adjusted by an amount necessary to keep the total costs to the County/Public Authority the same as it would have been had the State or Federal participation level not been adjusted.

B. In the case of a reduction in the Federal or State participation levels, as described in Article 9, Section 2.A. above, upon request of the Union, the parties will meet and confer to modify the distribution of the impact of the reduction between wages and benefits within 90 days of modifications.

C. In no way does the inclusion of wage contingency language, as described in Article 9, Sections 2.A. and 2.B. above, imply that any supplemental wage has been agreed upon in excess of what is prescribed in Article 9, Section 1 above.

### 3. Article 10. Health Insurance - Section 2. Funding of the Trust Fund

A. The Public Authority will fund the trust as follows: Sixty-Cents (\$0.60) per hour will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the term of this contract.

B. Effective upon Union Ratification, County Board of Supervisors and State approval, the Public Authority will fund the trust as follows: an additional Eleven-Cents (\$0.11) per hour, making the total Seventy-One-Cents (\$0.71), will be paid into the trust fund for all Orange County IHSS Provider hours worked each month during the remainder of this contract.

C. If the benefit rate for IHSS Providers is reduced at the State or Federal level and is lower than the County's current benefit rate, then the County's benefit rate will be automatically reduced to the same level. The Public Authority shall provide written notice to the Union at least thirty (30) calendar days prior to the effective date of any adjustments made pursuant to this section which would include the reason(s) for the adjustment.





# County of Orange

## Memorandum

RECEIVED

2023 MAY -4 AM 10:37

CLERK OF THE BOARD  
ORANGE COUNTY  
BOARD OF SUPERVISORS

May 3, 2022

To: Chairman Donald P. Wagner, Supervisor, Third District *Jim O'gall for DW*

From: Robin Stieler, Clerk of the Board *Robin Stieler* *S38C*

Subject: Recommended At Large Nominations To Children & Families Commission of Orange County (First 5 OC)

Pursuant to Board of Supervisors Rule of Procedure 16 amended on July 13, 2021, the Clerk of the Board submits the following at large appointments to Children & Families Commission of Orange County (First 5 OC) for placement on the May 9, 2023 Board meeting agenda:

Select one individual from each of the following three category nominations and make appointments to the Children and Families Commission of Orange County, also known as First 5 Orange County, with terms of April 1, 2023, through March 31, 2025:

Education Category (annual alternating category) - Nominations by Supervisor Chaffee:

Frank Donavan  
Jackie Filbeck  
Jennifer Kinkel

Health Category - Nominations by Supervisor Sarmiento:

Soledad Rivera  
Colleen Cunningham

Education Category - Nominations by Vice Chairman Do:

Angela Rowe  
Jackie Filbeck

Information on the recommended at large nominations is contained in the attached Agenda Staff Report and candidate applications.

Please call me if you have questions.

Thank you.

Attachments

**SUPPLEMENTAL  
AT-LARGE APPOINTMENT  
AGENDA STAFF REPORT**



**MEETING DATE:** 5/9/23  
**LEGAL ENTITY TAKING ACTION:** Board of Supervisors  
**BOARD OF SUPERVISORS CHAIR:** Chairman Wagner  
**NOMINATION SUBMITTED BY (DEPT):** Clerk of the Board  
**DEPARTMENT CONTACT PERSON(S):** Robin Stieler 714-834-2206  
Jamie Ross 714-834-2206

RECEIVED  
2023 MAY -4 AM 10:37  
CLERK OF THE BOARD  
ORANGE COUNTY  
BOARD OF SUPERVISORS

**SUBJECT:** At-Large Nomination(s) for Appointment(s) to the Children & Families Commission of Orange County (First 5 OC)

<b>CEO CONCUR</b> N/A	<b>COUNTY COUNSEL REVIEW</b> N/A	<b>CLERK OF THE BOARD</b> Discussion 3 Votes Board Majority
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**RECOMMENDED ACTION(S)**

Select one individual from each of the following three category nominations and make appointments to the Children and Families Commission of Orange County, also known as First 5 Orange County, with terms of April 1, 2023, through March 31, 2025:

Education Category (annual alternating category) - Nominations by Supervisor Chaffee:  
Frank Donovan  
Jackie Filbeck  
Jennifer Kinkel

Health Category - Nominations by Supervisor Sarmiento:  
Soledad Rivera  
Colleen Cunningham

Education Category - Nominations by Vice Chairman Do:  
Angela Rowe  
Jackie Filbeck

**SUMMARY:**

Selection and appointment of the three candidates to the Children and Families Commission of Orange County will fill the At-Large positions needed for their two-year terms of April 1, 2023, through March 31, 2025.

**BACKGROUND INFORMATION:**

Under the County Ordinance establishing the Children and Families Commission of Orange County (Commission), the Commission is composed of nine positions appointed by the Orange County Board of Supervisors (Board). Except for the three positions designated for a member of the Board, the County Executive Officer and the Director of the Health Care Agency, Commissioners serve staggered two-year terms.

In February 2023, a recruitment was initiated for prospective candidates to fill the three At-Large positions whose terms ended on March 31, 2023. The Commission posted the openings through social media beginning February 1, 2023. Notification was sent to the Board offices, health care organizations, education institutions, professional associations and other prospective candidates to inform them of the recruitment effort. A total of nineteen applications were received by the established deadlines.

In accordance with the terms of the Commission Ordinance, nominations for the upcoming vacancies to the Commission are to be made as follows: one appointment, alternating annually between the Health and Education categories, is to be nominated by the Supervisor currently serving on the Commission; and one appointment to both the Health and Education categories are to be nominated by the two Supervisors designated by the Chair of the Board in the annual appointments. For each of the three appointments, the designated Supervisor is to nominate two to three candidates.

Education Category (annual alternating category) - Nominations by Supervisor Chaffee:  
Frank Donavan  
Jackie Filbeck  
Jennifer Kinkel

Health Category - Nominations by Supervisor Sarmiento:  
Soledad Rivera  
Colleen Cunningham

Education Category - Nominations by Supervisor Do:  
Angela Rowe  
Jackie Filbeck

These candidates meet the necessary qualifications required for appointment to the Commission.

The Board also approved At-Large Children and Families Commission appointments on April 12, 2022, April 22, 2021, April 14, 2020, April 23, 2019, and March 27, 2018.

**FINANCIAL IMPACT:**

N/A

**STAFFING IMPACT:**

N/A

**ATTACHMENT(S):**

Attachment A - Application Packet (for each nominee)  
Attachment B - Nomination Memos

# Angela Rowe



**FIRST 5 ORANGE COUNTY COMMISSONER  
APPLICATION - 2023**

Name Angie Rowe

Home Address \_\_\_\_\_

City Aliso Viejo Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

Business/Agency Beyond Blindness Title President & CEO

Business/Agency Address \_\_\_\_\_

City Santa Ana Zip \_\_\_\_\_

hone \_\_\_\_\_ Email \_\_\_\_\_

**CATEGORIES FOR APPOINTMENT**

Please indicate all the categories with which you are currently affiliated as cited in County Ordinance Sec. 1-2-334 First 5 Orange County [Strategic Plan](#)

- √ **Category 1 - Education and Early Intervention [Please check all that apply]**
  - √ Representative of a local child care resource or referral agency.
  - √ Representative of a local organization working with families in early childhood education or early intervention.
  - A parent or caregiver of a young child participating in early learning and/or receiving early intervention services.
  - √ Representative from a subsidized or tuition-based infant and toddler childcare program or provider.
  - Educator with expertise and experience in early childhood.
- √ **Category 2 – Healthy Children and Early Intervention [Please check all that apply]**
  - Representative of a community-based organization that promotes early childhood health and well-being.
  - √ Representative of a local organization working on health prevention or early intervention for families.
  - A parent or caregiver of a young child receiving health services.
  - A representative of a local medical, pediatric, or obstetric association or society.

Please describe and provide examples of your qualifications and/or experiences that make you eligible for the category(ies) for which you are applying:

I am the CEO at Beyond Blindness, a nonprofit whose mission to empower children with visual impairments and other disabilities to reach their fullest potential — placing our organization and me, specifically in directly alignment with First 5's vision for all Orange



County children to reach their fullest potential. One of the first things I did when I became CEO of Beyond Blindness in 2019 was to create a third program pillar to complement our two existing program areas — Early Intervention and Education + Enrichment. That third program pillar is Family Support. In my prior tenure as CFO, COO and Interim CEO at a rare disease patient advocacy organization based in OC, I learned quickly that no child’s journey is complete without their family. By intentionally adding this to our services at Beyond Blindness and launching a formal journey of care to include the family, we meet every family where they are, understanding that no journey follows a templated, linear path. Our integrated and individualized approach from birth that recognizes the uniqueness of every child and creates the best possible chance for each child to thrive and reach their fullest potential.

Please describe your experience and expertise working directly with communities from diverse (racial, ethnic, and socioeconomic, linguistic, socio-economic, etc.) backgrounds and how your experience and expertise improve equitable outcomes for Orange County families so that all children can reach their full potential and support [First 5 OC’s Strategic Plan](#).

Ten years of work in the health and education space building programs from the ground up to address inequities in health and education services makes me an excellent candidate for the First 5 Commission from a DEI perspective. At Global Genes, a non-profit that builds patient communities globally, I worked with leaders from different countries and cultures to help them collaborate to build very small, unique patient communities. These unique communities were vital for the development of therapies, clinical trials and natural history studies to advance disease states and available treatments. When I moved to Beyond Blindness, I transitioned to a more boots-on-the-ground organization that provides direct service to a predominantly low-moderate income, non-white, low incidence population. I have also done an exceptional amount of work as the Beyond Blindness CEO to increase the diversity of our board of directors and established an advisory board to further tap into diverse thoughts and professions as well as lived experiences. Our board is now 40% non-white (increased from 23% at the beginning of my tenure). The Beyond Blindness client population is 75% non-white, 80% low-moderate income (LMI) – (60% low income and 20% moderate income). In addition, many of our clients have rare diseases. My combined health and education background has allowed me to build a comprehensive service team that



addresses immediate health and educational needs. Our Journey of Care™ connects the unique individuals we serve with the appropriate tools and resources needed.

Please explain why you wish to serve on the First 5 Orange County Commission and the skills that you would bring to First 5.

Serving on the First 5 Commission will allow me to apply my years of service to marginalized communities to First Five, whose vision I firmly believe in. Through my leadership of health-focused nonprofits, I have experienced first-hand that birth to 5 is the most critical stage of intervention for all children and families. Beyond Blindness serves both in health care and education and deals with the inequities and difficulty of navigating both systems for marginalized and disadvantaged communities. We have a very proactive approach to reaching out to our community to reach those families that might feel lost or struggling. Beyond Blindness provides free screenings, free optometry appointments, free mental health counseling, and health and educational services – providing more access to vital services. I am continually proactively engaging with other organizations to build partnerships to find at-risk families and make sure they are served. Since taking over leadership of Beyond Blindness in 2019, we have more than doubled our service population from 232 to 488 children in three fiscal years and have added family support services – serving 2700 children and their families in 2022. I do this regardless of ability to pay – 60% of all our services are currently supported by philanthropy – not reimbursements. We are decreasing the social and emotional vulnerability for every family we serve, while we are simultaneously increasing kindergarten readiness and key progress indicators (from the First 5 2021-2025 Strategic Plan) for the kids we serve. We not only serve a diverse population, but a diverse population with a very low incidence condition, with low-moderate income. We require a team of specialized providers that are becoming harder to recruit and retain. But we are doing it well and growing because we can fundraise at an exceptional level. My passion for serving this age group and my ability to grow an organization despite funding obstacles aligns with the First 5 Strategic Plan Progress Indicators, and I am confident I would be an asset to the Commission.



Please describe what you would like to accomplish while on the Commission or a specific issue related to young children and families that you would like to champion in Orange County, and how it aligns with First 5 Orange County's [Strategic Plan](#)

Personally, I would like to work at the systems change level to address reimbursements to Early Intervention providers and the availability of 0-5 child care placements for special needs children. The attention to reimbursements will allow us to recruit and retain the professional workforce needed to increase those placements. We have been dealing with this since COVID and are so short-staffed, have waitlists for our programs, and fundraise to cover what we can, but more is needed.

Please describe how you would personally/professionally support and promote the work of First 5 Orange County, including your networks and any strategies for advancing policies that support young children and families.

Currently, I serve on the boards of the California Agencies of the Blind and Visually Impaired, the California Association of Private Special Education Schools, OneOC's Nonprofit Advisory Council, am a grant recipient of the Equity in OC (EiOC) Pop Collective working on a Toolkit/Training to Empower Parents/Caregivers of children who are blind/visually impaired with multiple disabilities to be better advocates at the early intervention (birth to 5) stage of diagnosis/treatment. In addition, as part of the Collective, I am actively engaged in re-establishing the Orange County Disability Coalition as part of this grant. What we are developing will then be adapted for other birth to 5 disability groups in Orange County. Beyond Blindness is one of only two service providers involved in the EiOC grant. I will bring a unique perspective to the First 5 Commission because I experience the need first-hand and am actively engaged in making changes for our communities. All of the work I'm currently doing is a great opportunity for me to provide feedback at both the statewide and local levels on the work of the First 5 Commission, as well as bring the successful systems change work we are doing in these other organizations to First 5.



**CONFLICT OF INTEREST**

Do you have any direct economic interest in programs or other services that may seek funding from First 5 Orange County Children and Families Commission?

- No
- √ Yes Please explain

We have 0-5 classrooms, childcare and provide onsite and in home 1:1 services for at risk birth to 5 children who are blind, visually impaired, and have other global developmental delays. At this time, we do not receive any funding from First 5. However, if any topic came before the commission which could financially affect my agency, I understand that I would have to recuse myself from all discussions and votes regarding those topics.

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**RESUME**

Attach a current resume including educational and employment history and mail or email with membership application to:

First 5 Orange County,  
 1505 East 17th Street, Suite 230,  
 Santa Ana, CA 92705;  
 email: [First5OC@cfcoc.ocgov.com](mailto:First5OC@cfcoc.ocgov.com)  
 Attention: Tiffany Alva

To be considered, an application and resume must be postmarked or emailed by March 10, 2023 by 3:00 pm

Signature Angela Rowz Date 03/21/2023

**ANGELA ROWE**BRINGING INNOVATIVE LEADERSHIP VALUE TO NONPROFIT  
ORGANIZATIONS | LEADING WITH COURAGE & LOYALTY

Mission-driven Executive Director and Nonprofit Officer who turns vision into strategies that achieve innovative and sustainable results with measurable impact on communities served. Leverages broad operations experience, finance acumen and thought leadership to solve complex problems and create thriving organizations with record profits and participation. Inclusive, supportive and effective in hiring, developing and coaching staff and large teams of volunteers; builds capability, inspires loyalty and leads teams to success.

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**BUSINESS, STRATEGY & OPERATIONS STRENGTHS THAT IMPACT RESULTS**


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- |  |  |  |
|--|--|--|
| <ul style="list-style-type: none"> <li>• Strategic Planning</li> <li>• Operations Management</li> <li>• Compliance &amp; Risk Management</li> <li>• Finance &amp; Budget Administration</li> <li>• Contract Management</li> <li>• Staff Development</li> </ul> | <ul style="list-style-type: none"> <li>• Program Development &amp; Management</li> <li>• Community Outreach</li> <li>• Business Development</li> <li>• Sponsorships &amp; Fundraising</li> <li>• Board Governance</li> </ul> | <ul style="list-style-type: none"> <li>• Board of Directors Relations</li> <li>• Investor &amp; Sponsor Relations</li> <li>• Volunteer Training &amp; Leadership</li> <li>• Event Planning &amp; Execution</li> <li>• Transformational Servant Leadership</li> </ul> |
|--|--|--|

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**A CAREER DRIVING ORGANIZATIONAL PERFORMANCE THROUGH MISSION FOCUS & STRATEGIC ACUMEN**


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BEYOND BLINDNESS, Santa Ana, CA 2019-Present  
(Nonprofit organization empowering children with visual impairments and other disabilities to achieve their fullest potential)

**President & CEO**

Oversee administration, programs and development of strategic plan and direction, as well as build organizational capacity, and growth, diversification and management of board of directors, ophthalmic advisory board and financial resources. Broad operational responsibilities include fundraising, marketing, community outreach and management and development 40 person staff.

- Executed successful organizational pivot and re-brand of 60-year organization.
- Diversified composition of board of directors from 23% to 40% POC.
- Grown balance sheet from \$2.5 million to \$4.4 million.
- Reorganized and relaunched early intervention programs and addition of Family Support division resulting in doubling of clients served.
- Grown fee for service revenue from 30% fee for service to 40% fee for service, striving to 50/50 by FY27.
- Developed and implemented successful FY19-FY22 strategic plan and developed aggressive FY22-FY27 strategic plan positioning agency for record growth.

**Boards, Advisory Councils, Awards and Recognition:**

- Founding board member and Treasurer, California Agencies for the Blind and Visually Impaired (CAABVI), February 2020 to present.
- Board Member and incoming Treasurer (July 2023), California Association of Private Special Education Schools (CAPSES), July 2022 to present.
- OneOC Nonprofit Advisory Council member, November 2021 to present.
- Member of EiOC (Equity in OC) SDOH (Social Determinants of Health) Project to build advocacy capacity of the disability's community in Orange County, July 2022 to present.
- LA Times OC Visionaries Honoree, Education + Nonprofit Category, September 2022.
- Octane Nonprofit Accelerator, Spring 2021 Graduate.
- Junior League of Orange County, Kathryn Raulston Community Service Award, June 2020.
- KNX1070 Hero of the Week, April 2020.

GLOBAL GENES, Aliso Viejo, CA

2015–2019

(Global nonprofit organization inspiring, connecting and empowering patients with rare and genetic diseases)

**Interim Chief Executive Officer** (2018–2019)

**Chief Financial Officer and Chief Operating Officer** (2015–2018)

Hired to strengthen internal operations and position company for continued growth. Oversaw all business functions, including accounting, development, finance, HR, operations, risk management, signature events and IT. Developed strategic plans, set fundraising goals, administered \$4M annual budget and led team of 21 (5 direct, 16 indirect).

- Drove strategy change, diversifying funding from few donors to a portfolio of donors, minimizing influence from high-value donors, reinforcing focus on organizational mission and increasing net assets 60%.
- Led corporate reorganization, conducting SWOT analysis, eliminating legacy programs and senior positions, creating a flatter and more agile organization with more hands-on staff and opportunities for growth.
- Introduced General Ledger and Class Accounting system to track expenditures by program, ensuring asset allocation aligned with strategic plan and goals.
- Developed RFP and three-bid process for major vendor contracts, securing the highest quality services at most competitive prices—achieved >\$250K in cost savings on 2017 signature events.
- Established first reserve and investment accounts per nonprofit best practices, minimizing risk and positioning organization to continue operations during economic downturns.
- Implemented new payroll process and introduced first health and retirement benefit programs improving employment candidate pool.
- Addressed key governance deficiencies and resolved several inherited audit management letters with significant findings in less than 18 months. Achieved a clean management letter for 2016.

THE OC MARATHON, Costa Mesa, CA

2007–2015

(Organization comprised of The OC Marathon LLC and the non-profit The OC Marathon Foundation)

**Program & Event Director**

Held concurrent roles as Event Director for the OC Marathon, Half Marathon and 5k and the Program Director for the Kids Run the OC; providing strategic and tactical direction, leading staff, executing events and administering budgets for the separate organizations.

The OC Marathon, LLC (2009–2015)

Instrumental in creation of the LLC, building the infrastructure for the new organization, establishing administrative best practices, and managing all business and financial operations. Broad accountability included payables, tax returns, annual 990 form, K-1s; and administration of \$1.8M annual budget.

- Key influencer in completing the Asset Purchase Agreement Process, collaborating with other Board members and attorney to form OC Marathon LLC in partnership with the OC Marathon Foundation.
- Collaborated with other board members to develop and execute strategies that generated \$650K in funds to facilitate payment of debt.
- Coordinated multicity race course across 5 jurisdictions, lobbying and advocating on behalf of event, attending city supervisor meetings and securing 27 permissions and/or permits.
- Built and maintained relationships with 20 Charity Partners, who in turn raised funds for their organizations (\$250K) and provided volunteers for OC Marathon events.
- In partnership with Race Director, oversaw execution of plan for marathon events with 2200 volunteers and 26 on-course water stations.

Kids Run the OC (KROC), The OC Marathon Foundation (2007–2015)

Championed expansion and national recognition of KROC, securing the licensing, copyright and trademarks required to create a franchise that diversified revenue stream.

- Created a 10-week physical education (PE) program “in a box”, providing teachers with training and nutritional guidelines to prepare kindergarten through 6<sup>th</sup> grade students to complete twenty-five one-mile runs.
  - Grew the KROC Program from 29 to >100 public and private schools and from 900 to 6,500 event participants; ~10K students participated in 2018.

- The first after school Kids Run Mexico was held in early 2016, launched with much publicity and press conferences with major sports leagues.
- Solicited and managed activation of >\$50K in corporate sponsorships and donations annually.
- Led development of website that included general and event day information, supplemental program resources; and the ability for participants to register for KROC and supporters to donate on site.
- Built partnership critical to program success, collaborating with the Orange County Department of Education to train and certify volunteer coaches and distribute PE program "in a box" free of charge to schools.
- Planned and executed annual and event day trainings for >250 KROC volunteer coaches, teachers and parents (5 to 6 per year); minimizing attendee concerns and keeping them informed and engaged.

THE JUNIOR LEAGUE OF ORANGE COUNTY, CA, INC. ([www.jlocc.org](http://www.jlocc.org))

1997–2007

**President** (2006-2007)

**President Elect · Vice President · Communications Director · Community Director**

Rapid progression through Board positions with increasing impact leading up to President providing strategic leadership and operations oversight. Chairman of the Board of Director, Executive Committee and Membership Meetings; voting delegate and representative to national organization; and legal representative for all business and financial matters, including articles of incorporation, budgets, insurance, investments, audits and contracts.

- Identified opportunity to reverse declining membership, drove renewed focus on vision with clarity and change in strategy and re-established organization's position as a leader in the nonprofit sector in California.
- Took on 5-year signature project to support the development of a new charter school for foster children and families at risk, providing volunteers and committing the initial fundraising dollars that provided the foundation for the sponsoring foundation to secure millions to complete the project.
- Trained and built the capability of women in the community to serve as volunteers for partner organizations.

Additional Experience: **Public Affairs Manager**, EVERGREEN HOLDINGS, INC.; **Research Assistant**, GEORGIA INSTITUTE OF TECHNOLOGY; **Environmental, Health & Safety Coordinator**, AMOCO CHEMICAL

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#### EDUCATION & PROFESSIONAL QUALIFICATIONS

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**Master of Science, Public Policy**  
**Industrial Systems Engineering (ISYE) Management of Technology Certificate**  
 GEORGIA INSTITUTE OF TECHNOLOGY, Atlanta, GA

**Bachelor of Science, Environmental Resource Management,**  
 PENNSYLVANIA STATE UNIVERSITY, University Park, PA

Community Involvement Highlights:

- **Junior League of Orange County:** Sustaining Member Programs Director, (July 2019-June 2022)
- **Alpha Chi Omega:** Chapter Advisor UC Santa Barbara, (March 2019 - present); Risk Management Advisor Penn State, (2017-2018)
- **Santa Margarita Catholic High School Mothers Club:** Fashion Show Chair, President (2016-2018)
- **Santa Margarita Catholic School Baseball Boosters:** Fundraising Chair, Banquet Chair, Tournament Travel Coordinator (2014–2016)
- **St. Mary's School Parent Teacher Fellowship:** Co-Room Mom Chair, Treasurer, President, Graduation Chair, Marketing Committee of Board of Trustees (2004–2014)

**Technology:** Salesforce (CRM), Basecamp, QuickBooks, Cvent, Symantec, MS Office Suite, G-Suite, RaisersEdge NXT, Greater Giving, Give Gab

# Colleen Cunningham



**FIRST 5 ORANGE COUNTY COMMISSONER  
APPLICATION - 2023**

Name Coleen K. Cunningham

Home Address: \_\_\_\_\_

City Orange Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

Children's Hospital Orange County Title Pediatrician-in-Chief

**Business/Agency Address**

City Orange Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

**CATEGORIES FOR APPOINTMENT**

Please indicate all the categories with which you are currently affiliated as cited in County Ordinance Sec. 1-2-334 First 5 Orange County [Strategic Plan](#)

- Category 1 - Education and Early Intervention [Please check all that apply]**
  - Representative of a local child care resource or referral agency.
  - Representative of a local organization working with families in early childhood education or early intervention.
  - A parent or caregiver of a young child participating in early learning and/or receiving early intervention services.
  - Representative from a subsidized or tuition-based infant and toddler childcare program or provider.
  - Educator with expertise and experience in early childhood.
- Category 2 – Healthy Children and Early Intervention [Please check all that apply]**
  - Representative of a community-based organization that promotes early childhood health and well-being.
  - Representative of a local organization working on health prevention or early intervention for families.
  - A parent or caregiver of a young child receiving health services.
  - A representative of a local medical, pediatric, or obstetric association or society.

Please describe and provide examples of your qualifications and/or experiences that make you eligible for the category(ies) for which you are applying:

I am currently Pediatrician-in-Chief at CHOC and Chair of Pediatrics at UC Irvine and have 30+ years of experience as a pediatrician and pediatric infectious diseases physician. As a pediatric infectious diseases physician, I have cared for young children with a variety of infections but especially children exposed to and/or infected with HIV. Working in that field, I have extensive experience with poor and underserved and children with special health care needs. In my current position in leadership at both CHOC and UCI, I welcome the opportunity to bring both organizations closer with others in Orange County committed to the health of children and families.

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Please describe your experience and expertise working directly with communities from diverse (racial, ethnic, and socioeconomic, linguistic, socio-economic, etc.) backgrounds and how your experience and expertise improve equitable outcomes for Orange County families so that all children can reach their full potential and support [First 5 OC's Strategic Plan](#).

Since 1991, I have led HIV treatment studies both at the site level and at the national level. As part of those studies, I led the site community advisory boards first at SUNY Upstate (Syracuse NY), then at Duke. The HIV-pediatric community advisory boards were always diverse and primarily included parents of children living with HIV but also included a number of adolescents living with HIV. In that role, I participated regularly in meetings with the community and sought their input in how the clinic ran, what types of studies the community thought important. Several members of our community advisory board attended the national research meetings and directly provided input into studies. Membership was at least half African American or Latino and included individuals young and old, gay and straight, rich and poor, English speaking or not. I believe my experiences in this area positions me well to work with diverse communities to help all children reach their full potential.

The other area where I have relevant experience is that I am a foster parent. My foster son is now an adult, but that experience influenced my understanding of life for children in foster care. Despite the circumstances, I built an excellent relationship with his biological mother (still have a good relationship with her) and continue to have an excellent relationship with my foster son.

Please explain why you wish to serve on the First 5 Orange County Commission and the skills that you would bring to First 5.

I wish to serve as I believe my past and current experiences in child health and in serving a diverse community can provide important background and insight to the Commission.

Further, I welcome the opportunity to align Department of Pediatrics at UCI and CHOC with the work and mission of the commission.

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Please describe what you would like to accomplish while on the Commission or a specific issue related to young children and families that you would like to champion in Orange County, and how it aligns with First 5 Orange County's [Strategic Plan](#)

I think that the two areas that I am most passionate about are early and ongoing health and development- the health and environment in the first 5 years is so important to the child's health and happiness throughout life. Within early health, nutrition, physician activity, and education play key roles and I would really like to help us develop plans to help in each of those areas. And it is also critically important is to provide a safe, stable and nurturing home. This will require us helping parents address their health (mental and physical) and providing community support for those children whose parents are struggling or missing.

Please describe how you would personally/professionally support and promote the work of First 5 Orange County, including your networks and any strategies for advancing policies that support young children and families.

In my current leadership roles at UCI and CHOC, I am uniquely positioned to support and promote the work of First 5 Orange County as I have a relationship and leadership role with more than 600 pediatric physicians who care for children at UCI and/ or CHOC. I will be in a position to bring proposals to the commission from those many providers and potentially mobilize those providers when needed by the commission.

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### **CONFLICT OF INTEREST**

Do you have any direct economic interest in programs or other services that may seek funding from First 5 Orange County Children and Families Commission?

- No
- X**Yes Please explain

- I actually am not aware of any current conflicts, but I imagine that at some point CHOC and/or UCI may seek funding from First 5 Orange County. I am happy to recuse myself in funding decisions that involve either of those organizations.

**RESUME**

Attach a current resume including educational and employment history and mail or email with membership application to:

First 5 Orange County,  
1505 East 17th Street, Suite 230,  
Santa Ana, CA 92705;  
email: [First5OC@cfcoc.ocgov.com](mailto:First5OC@cfcoc.ocgov.com)  
Attention: Tiffany Alva

To be considered, an application and resume must be postmarked or emailed by March 10, 2023 by 3:00 pm

Signature *Colin K. Cunningham M.D.* Date 3/6/23

**Coleen K. Cunningham, M.D.**

## CURRICULUM VITAE

Date Revised: March 6, 2023

<b>Appointments:</b>		Professor and Chair of Pediatrics University of California, Irvine
		Pediatrician-in-Chief Children's Hospital of Orange County, CHOC
<b>Medical Licensure:</b>	Active	California Medical License #G171544 Date of License: 2021 – present
	Inactive	North Carolina License # 2003-00769 Date of License: 2003- 2021
		New York State # 170346 Date of License 1987-2003
<b>Specialty Certification(s) and Dates:</b>		Diplomat, National Board of Medical Examiners Parts I, II, III – Certified (USMLE ID# 33137613) American Board of Pediatrics 1989 Pediatric Infectious Disease 1994 Recertification 2000, 2008, 2020

<b><u>Education</u></b>	<b><u>Institution</u></b>	<b><u>Date</u></b>	<b><u>Degree</u></b>
College	State University of New York at Binghamton, Binghamton, NY	1977-1981	BS Biochemistry
Medical School	State University of New York Upstate Medical University, Syracuse, NY	1981-1985	MD

**Professional Training and Academic Career:**

<b><u>Institution</u></b>	<b><u>Position/Title</u></b>	<b><u>Date</u></b>
State University of New York Upstate Medical University, Syracuse, NY	Residency, Pediatrics	1985-1988
	Fellowship/Pediatric Infectious Diseases	1988-1991
	Assistant Professor, Pediatrics	1991-1997
	Associate Professor, Pediatrics	1997-2003
Duke University Medical Center Durham, NC	Associate Professor with Tenure, Pediatrics	2003- 2012
	Chief, Division of Infectious Diseases, Pediatrics	2003- 2016
	Associate Professor, Pathology	2009- 2012
	Chief, Division of Global Health, Pediatrics	2011-2021
	Professor with Tenure, Pediatrics and Pathology	2012- 2021
	Research Professor of Global Health	2015- 2021
	Vice-Chair for Research, Pediatrics	2015- 2021
University of California, Irvine Irvine, CA	Professor and Chair of Pediatrics	2021-present
Children's Hospital of Orange County Orange, CA	Pediatrician-in-Chief, Senior VP	2021-present

**Publications:**

Citations 7226

H-index 47

i10-index 114

While my research crosses many areas of infectious diseases, my primary interest has been prevention and treatment of HIV in children in youth. A substantial portion of my work has been with multicenter clinical trials groups as part of the leadership team of various protocols.

**Refereed Journals: Trainees underlined**

1. **Cunningham CK**, McMillan JA, Gross SJ. Rehospitalization for respiratory illness in infants <32 weeks gestation. *Pediatrics*. 1991;88:527-32.
2. **Cunningham, CK**. *Ureaplasma urealyticum* and *Mycoplasma hominis* infections in neonates. *Contemporary Pediatrics*. 1994;11(9):31B44.
3. Marr B, Gross S, **Cunningham CK**, Weiner L. Candidal sepsis and meningitis in a very-low-birth-weight infant successfully treated with fluconazole and flucytosine. *Clin Infect Dis*. 1994;19:795-6.
4. **Cunningham CK**, Kazacos, KR, McMillan, JA, Lucas, JA, McAuley, JB, Wozniak, EJ, Weiner, LB. Diagnosis and management of *Baylisascaris procyonis* infection in an infant with non-fatal meningoencephalitis. *Clin Infect Dis*. 1994;18:868-72.
5. Barker WB, Menegus MA, Hall CB, Betts RF, Freundlich CB, Long CE, O'Brien DH, Weiner LB, **Cunningham CK**, Bonville CA, Alger KP, Waltz EC. Communitywide laboratory based influenza surveillance focused upon on older persons. *Am J Prevent Med*. 1995;11(3):149-55.
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\*Contributed equally

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50. Flynn PM, Rudy B, Wilson C, Kapogiannis B, Worrell C, Bethel J, Monte D, **Cunningham CK**. Improving Seroresponse to Hepatitis B (HB) Vaccination in HIV-Infected Adolescents: ATN 024. First International Workshop on Pediatric HIV. Cape Town, South Africa. 2009.
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52. Hendershot EF, **Cunningham CK**, Sangvai, Woods CW, Tsalik EL, Cunningham HM, Purdy WK, Thompson JR, Lopez-Marti MG, Caram LB. Large Outbreak of Novel Influenza H1N1 in a University-Based Summer Camp. Late Breaker Platform Presentation, International Conference of Antimicrobial Agents and Chemotherapy. 2009.

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71. Dow DE, Shayo AM, Sullivan K, **Cunningham CK**, McKellar MS, O'Donnell K. Establishing Mental Health Needs Among HIV-Positive Adolescents in Tanzania. IAC 2014. Melbourne, Australia. July 2014.
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73. McFarland EJ, Buchholz U, Muresan P, **Cunningham CK**, Luongo C, Thumar B, Collins P, Perlowski C, Valentine M, Schappell E, Gnanashanmugam D, Siberry G, Teitelbaum M, Rexroad V, Barr E, Harding P, DiPerna A, Karron R, 50713 High infectivity of recombinant live-attenuated respiratory syncytial virus vaccine (RSV LID ΔM2-2) in infants and children. IDWeek 2015, San Diego, CA. October 7-11, 2015.
74. Fouda G, **Cunningham CK**, McFarland EJ, Borkowsky W, Yates N, Liao H, Haynes B, Tomaras G and Permar S. HIV Env vaccination elicits cross-clade, durable anti-V1V2 IgG responses in HIV-exposed infants. 2014 National CFAR Symposium. Providence, Rhode Island. November 2014.
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77. **Cunningham CK**, Buchholz U, Muresan P, McFarland E, Luongo C, Collins P, Thumar B, Schappell E, Gnanashanmugam D, Siberry G, Rexroad V, Karron R. Safety and immunogenicity of the recombinant live-attenuated respiratory syncytial virus vaccine RSV cps2 in RSV-seronegative infants and children. IDWeek 2015. San Diego, CA.
78. Sullivan BJ, Esmaili BE, **Cunningham CK**. Barriers to pediatric and youth tuberculosis treatment initiation in sub-Saharan Africa: a systematic review. Sigma Theta Tau International Honor Society of Nursing, 27th International Nursing Research Conference, July 2016. Cape Town South Africa.
79. Turner N, Sarubbi C, Moehring R, Drew R, **Cunningham CK**, Anderson D. Implications of Reported Penicillin Allergies in Treatment of MSSA Bacteremia. IDWeek 2016. New Orleans, LA.
80. **Cunningham CK**, McFarland EJ, Capparelli EV, Muresan P, Perlowski C, Valentine M, Smith E, Mascola JR, Graham BS. Safety & Pharmacokinetics of the Monoclonal Antibody, VRC01, in HIV-Exposed Newborns. 2017 Conference on Retroviruses and Opportunistic Infections (CROI). Seattle, WA. February 13-16.

81. Sullivan BJ, Bettger J, Humphreys J, Silva S, **Cunningham CK**, Farley J. Time to treatment initiation for drug-resistant tuberculosis is delayed in a South African prospective cohort. 9th IAS Conference on HIV Science (IAS 2017). Paris, France. July 23-26, 2017.
82. Collins P, Luongo C, Yang L, Nouen CL, McCarty T, Gangaramani D, Moseman A, Karron R, Thurmar B, Shapell E, San Mateo J, Anderson A, **Cunningham CK**, McFarland E, Muresan P, Nachman S, Zwierski, Perlowski C and clinical investigators from 7 sites. Live-attenuated pediatric RSV vaccine candidates attenuated by M2-2 or NS2 deletion are well-tolerated and immunogenic in RSV seronegative children and infants. RSVVW Conference 2017 – 4<sup>th</sup> Resvinct Meeting (ReSViNET). Malaga, Spain. November 29 – December 1, 2017.
83. Brumme ZL, Ziemniak C, Sudderuddin H, Luzuriaga K, **Cunningham CK**, Greenough T, Persaud D. Investigating genetic diversity and CTL escape burden in the replication-competent HIV reservoir in young adult participants of a historic therapeutic HIV vaccine trial. 8<sup>th</sup> International Workshop on HIV Persistence during Therapy (HIV Persistence 2017). Miami, FL. 12-15, Dec. 2017,
84. Patel SM, Spees L, Smieja M, Luinstra K, Goldfarb DM, Steenhoff AP, **Cunningham CK**, Arscott-Mills T, Shah SS, Feemster KA, Kelly MS. Predictors of treatment failure among infants with acute lower respiratory infection due to respiratory syncytial virus in Botswana. American Thoracic Society International Conference, May 18-23, 2018, San Diego, CA. Poster # 11599.
85. **Cunningham CK**, Buchholz UJ, Karron RA, Muresan P, Collins PL, Lunogo C, Perlowski CS, Libous JL, McFarland EJ. Differential Infectivity of Two Live-Attenuated Respiratory Syncytial Virus Vaccines: LIDΔM2-2/1030s and LIDcpΔM2-2. Pediatric Academic Societies, May 2018. Toronto, CA.
86. van de Water BJ, Prvu Bettger J, Silva SG, Humphreys J, **Cunningham CK**, Farley J. Provision of guideline-based care for drug-resistant tuberculosis in South Africa: Individual and health system characteristics affecting guideline adherence. 49<sup>th</sup> Union World Conference on Lung Health, 24-27 October 2018, The Hague, The Netherlands.
87. **Cunningham CK**, McFarland E, Capparelli E, Muresan P, Perlowski C, Morrison L, Morgan P, Smith B, Mascola J, Graham B, and the IMPAACT P1112 Team. Safety & Pharmacokinetics of Multiple Doses of a Potent Anti-HIV Monoclonal Antibody, VRC01, in HIV-Exposed Newborns. HIV Research for Prevention 2018: AIDS Vaccine, Microbicide and ARV-based Prevention Science ([HIVRAP](#)). Madrid, Spain 21 – 25 October 2018
88. McFarland E, **Cunningham CK**, Capparelli E, Muresan P, Smith E, Perlowski C, Morrison L, Morgan P, McDermott A, Hazra R, Mascola J, Graham B. Safety & Pharmacokinetics of Monoclonal Antibody, VRC01LS, in HIV-Exposed Newborns (ID 1059). 2019 Conference of Retroviruses and Opportunistic Infections (CROI). Seattle, Washington 4-7 March 2019
89. McLean HS, Stanley CL, Spears T, Lawson JM, Milazzo AS, Kline S, Cheifetz IM, McGann KA, **Cunningham CK**, Reed AM. Interventions Focused on the Workplace Improve the Experience For Pediatric Faculty Physicians. Pediatric Academic Societies, 26-30 April 2019. Baltimore, MD.
90. Abu-Raya B, Goldfarb DM, Smieja M, Luinstra K, Richard-Greenblatt M, Steenhoff AP, Feemster KA, Arscott-Mills T, **Cunningham CK**, Shah SS, Zaakir Patel M, Kelly MS, Sadarangani M. The Prevalence and Clinical Characteristics of Pertussis-associated Pneumonia among Infants in Botswana. European Society for Paediatric Infectious Diseases, 6-11 May 2019. Ljubljana, Slovenia.
91. Li J, Nikanjam M, **Cunningham CK**, McFarland EJ, Graham BS, Mascola JR, Ledgerwood JE, Koup RA, Coates EE, Houser K, Lucio G, Capparelli EV. “Population Pharmacokinetics of VRC01 in Infants and Adults.” 20<sup>th</sup> International Workshop on Clinical Pharmacology of HIV, Hepatitis & Other Antiviral Drugs, 15 May 2019. Noordwijk, the Netherlands.
92. Cotton MF, **Cunningham CK**, Natukunda E, Rodriguez CA, Gaur AH, Kosalaraksa P, Liberty A, Majeed SR, Gupta S, Xiang SS, Liu Y, Graham H, Pikora C. “Lack of Influence of Pubertal Stage on Safety and TFV Pharmacokinetics in TAF-based Regimens.” 11<sup>th</sup> International Workshop on HIV Pediatrics, 19 July 2019. Mexico City, Mexico.
93. Abu-Raya B, Goldfarb DM, Smieja M, Luinstra K, Richard-Greenblatt M, Steenhoff AP, Feemster KA, Arscott-Mills T, **Cunningham CK**, Shah SS, Zaakir Patel M, Kelly MS, Sadarangani M. The Prevalence and Clinical Characteristics of Pertussis-associated Pneumonia among Infants in Botswana. 5<sup>th</sup> International Neonatal & Maternal Immunization Symposium, September 2019, Vancouver, Canada.

94. Patel SM, Young RR, Vincent Allende M, Boiditswe S, Feemster KA, Arscott-Mills T, Shah SS, **Cunningham CK**, Seed PC, Kelly MS. Household air pollution exposure is associated with alterations of the upper respiratory microbiome. Oral presentation at: 50<sup>th</sup> Union World Conference on Lung Health, October 2019. Hyderabad, India
95. Dow D, Mmbaga B, Gallis J, Turner E, Gandhi M, **Cunningham CK**, O'Donnell K. Promising results from a pilot rct mental health intervention for HIV infected youth. Conference on Retroviruses and Opportunistic Infections, March 2020
96. Patel S, Boiditswe S, Young R, Smieja M, Luinstra K Goldfarb D, Patel M, Steenhoff A, Arscott-Mills T, Feemster K, Shah S, Rawls J, Seed P, **Cunningham CK**, Kelly M. Searching for microbiome predictors of poor outcomes among infants with respiratory syncytial virus-associated pneumonia in Botswana. International Society for Microbial Ecology (Virtual) April 3, 2020
97. Rakhmanina N, **Cunningham CK**, Cotton M, Natukunda E, Rodriguez C, Gaur A, Kosalaraksa P, Liberty A, Xiang S, Liu Y, Zhong L, Brainard D, Martin H, Pikora C. Weight trajectory in children and adolescents who switched to TAF-based regimens. International Workshop on HIV Pediatrics (Virtual) 2020
98. Patel SM, Young RR, Vicent Allende M, Boiditswe S, Leburu T, Feemster KA, Steenhoff AP, Arscott-Mills T, Shah SS, **Cunningham CK**, Seed PC, Kelly MS. Household air pollution exposure is associated with alterations of the upper respiratory microbiome. Oral presentation at: 16th Annual Respiratory Disease Young Investigators' Forum (Virtual) 2020, October.
99. Yang J, **Cunningham CK**, McFarland E, Muresan P, Perlowski C, Mascola J, Graham B, Capparelli E. Virtual Conference 2020. Population Pharmacokinetics of HIV-specific Broadly Monoclonal Neutralizing Long-acting Antibody, VRC01LS, in Term Infants. International Workshop on Clinical Pharmacology on HIV, Hepatitis, and Other Antiviral Drugs 2020
100. Congdon M, Hong H, Young RR, **Cunningham CK**, Enane LA, Arscott-Mills T, Banda FM, Chise M, Motlhatlhedhi K, Feemster K, Patel SM, Boiditswe C, Leburu T, Shah SS, Steenhoff AP, Kelly MS. Effect of *Haemophilus influenzae* type b and 13-valent pneumococcal conjugate vaccines on childhood pneumonia hospitalizations and deaths in Botswana. Oral presentation at: CHOP Global Health Conference. Philadelphia, PA. October 2020.
101. Patel SM, Boiditswe S, Young RR, Patel MZ, Steenhoff AP, Arscott-Mills T, Rawls JF, Seed PC, **Cunningham CK**, Kelly MS. (2020, October). *Searching for microbiome predictors of poor outcomes among infants with respiratory syncytial virus-associated pneumonia in Botswana*. Poster presentation at: 51<sup>st</sup> Union World Conference on Lung Health.
102. Yang J, **Cunningham CK**, McFarland EJ, Mascola JR, Graham BS, Ledgerwood JE, Koup RA, Coates EE, Houser K, Gama L, Gaudinski MR, Muresan P, Perlowski C, Capparelli, EV. Population Pharmacokinetics of VRC01LS in Term Infants and Adults. CROI 2021.
103. Hosaka KRJ, Mmbaga BT, Shayo A, Gallis JA, Turner EL, O'Donnell KE, **Cunningham CK**, Boshe J, Dow DE. Improved HIV Outcomes Through *Sauti Ya Vijana*: A Group-Based Mental Health Intervention for Youth Living with HIV in Northern Tanzania. HIV Pediatrics 2021 (virtual meeting)
104. Hosaka K, Mmbaga B, Shayo A, Gallis J, Turner E, O'Donnell K, **Cunningham CK**, Boshe J, Dow D. A Group-Based Mental Health Intervention for Youth Living with HIV in Northern Tanzania: Secondary Analyses of a Pilot Trial. *International Workshop on HIV Pediatrics 2021*. 2021 July 16; Virtual (Netherlands). (Poster)
105. Hosaka K, Mmbaga B, Shayo A, Gallis J, Turner E, O'Donnell K, **Cunningham CK**, Boshe J, Dow D. A Group-Based Mental Health Intervention for Youth Living with HIV in Northern Tanzania: Secondary Analyses of a Pilot Trial. American Academy of Pediatrics National Conference and Exhibition Section on Global Health. 2021 October; Philadelphia, PA. (Virtual Poster)
106. Mollel G, Dow D, Gwimile J, Bacha J, Rugalabamu L, Mshiu E-M, Ambokile A, Boshe J, **Cunningham CK**, O'Donnell K, Mmbaga B. Scaling Sauti ya Vijana (SYV: The Voice of Youth): Lessons from a Peer-Group Leader Training in Tanzania. Poster. Kampala, Uganda. May 2022.
107. Patel SM, Farirai J, Patel MZ, Boiditswe S, Tawe L, Lekalake S, Matshaba M, Steenhoff AP, Arscott-Mills T, Feemster KA, Shah SS, Thielman N, **Cunningham CK**, David LA, Murdoch D, Kelly MS. The nasopharyngeal microbiome is altered in Batswana children with HIV infection. 8th Conference on Beneficial Microbes. Madison, WI, July 10-14, 2022.

108. Patel SM, Palai T, Boiditswe S, Tsima B, Mosepele M, Steenhoff AP, Gross R, **Cunningham CK**, Thielman N, Kelly MS, Murdoch D. Abnormal spirometry is common among adults with and without HIV in Botswana. Poster presentation at: American Thoracic Society International Conference. Washington, DC, May 19-24, 2023.

### Editorials, Position, and Background Papers:

1. **Cunningham CK**, Hicks C, Wohl D, Weber D, Belhorn T, Margolis D, on behalf of 69 physicians in the practice of infectious diseases at Duke University or UNC and supported by William L. Roper, MD, MPH, dean of the School of Medicine, vice chancellor for medical affairs and CEO of UNC Health Care System. "Vaccines Are Safe, save Lives." The Chapel Hill News. The News & Observer, 22 May 2011. Web.

### National Research Groups Leadership Positions:

#### Pediatric AIDS Clinical Trials Group:

Pediatric Executive Committee Member	2000-2003
Pediatric Vaccine Committee Member	1993-2002
Vice-Chair	1998-2000
Chair	2000-2002
Protocol Evaluation Subcommittee Member	1998-2006
Immune Based Therapy Research Advisory Committee Member	1999-2006
Immune Based Therapy/Vaccine Research Advisory Committee	
Vice-Chair	2002-2004
Immune Based Therapy/Vaccine Research Advisory Committee	
Chair	2002-2004
Pediatric Virology Committee Member	1993-1996

#### Adolescent Trials Network (ATN):

Therapeutics Leadership Group (TLG)	2001-2011
Adolescent Medical Trials Leadership Group (AMLG)	2001-2011

#### International Maternal Pediatric Adolescent AIDS Clinical Trials Network (IMPAACT):

Chair- Vaccine Committee	2006-2014
Member- Scientific Oversight Committee	2006-2014
Member- Protocol Evaluation Committee	2006-2014
Member- Laboratory Steering Committee	2006-2014
Member- Protocol Costing Committee	2011-2013
Chair- Complications Committee	2014-2015

Global HIV Vaccine Enterprise Timely Topics in HIV Prevention  
Trials in Infants; Meeting Organizer 2013, 2017

### National Protocol Leadership

#### Chair (PACTG/ATN/IMPAACT)

- ATN 025: Evaluation of 2 Hepatitis B vaccine strategies in youth 2002-2009
- PACTG 1059: A Phase I, Open Label study to evaluate the safety and tolerability of recombinant HIV-1 Vaccines in HIV-1 infected young adults with control of HIV-1 replication on stable highly active Antiretroviral therapy (HAART) 2004-2009
- IMPAACT P1096: Phase I Study to Determine the Safety, Infectivity, Immunogenicity and Tolerability of 2 Doses of Live Attenuated Recombinant Cold-passaged (cp) 45 Human Parainfluenza Type 3 Virus Vaccine to Infants and Children 6 to 36 Months of Age 2010-2013

- IMPAACT P1112: Open Label, Dose Escalating, Phase I Study to Determine Safety and Pharmacokinetic Parameters of VRC 01, VRC01LS, and VRC07-523LS, Potent Anti-HIV Neutralizing Monoclonal Antibodies in HIV-Exposed Infants 2010-2023
- IMPAACT P1114: A Phase I Study of the Safety and Immunogenicity of a Single Dose of the Recombinant Live-Attenuated Respiratory Syncytial Virus Vaccine RSV cps2, Lot RSV#005A, Delivered as Nose Drops to RSV-Seronegative Infants and Children 6 to 24 Months of Age 2013-2016
- IMPAACT 2012: Phase I Placebo-Controlled Study of the Infectivity, Safety and Immunogenicity of a Single Dose of a Recombinant Live-Attenuated RSV Vaccine, LID cp ΔM2-2, Lot RSV#009B, Delivered as Nose Drops to RSV-Seronegative Infants 6 to 24 Months of Age 2016-2018
- IMPAACT 2018: Randomized Phase I Study of the Infectivity, Safety, and Immunogenicity of a Single Dose of the Recombinant Live-Attenuated Respiratory Syncytial Virus (RSV) Vaccines RSV ΔNS2/Δ1313/I1314L or RSV 276 or Placebo, Delivered as Nose Drops to RSV-Seronegative Infants 6 to 24 Months of Age 2017-2022
- IMPAACT 2021: Randomized Phase I/II Study of the Safety and Immunogenicity of a Single Dose of the Recombinant Live-Attenuated Respiratory Syncytial Virus (RSV) Vaccines RSV ΔNS2/Δ1313/I1314L or RSV 276 or Placebo, Delivered as Nose Drops to RSV-Seronegative Children 6 to 24 Months of Age 2018-
- IMPAACT 2037 (protocol chair) Open-Label, Phase I Study of the Safety and Pharmacokinetics of PGT121.414LS alone and in combination with VRC07-523LS in Infants Exposed to HIV-1 2023-

#### **Protocol Co or Vice-Chair**

- PACTG 1005: A Phase I Study of T-20 Fusion Inhibitor in HIV-1 infected children (Chair: Joe Church, MD) 1998-2003
- PACTG 316: A Phase III randomized, blinded study of Nevirapine versus Nevirapine placebo for the prevention of maternal-fetal transmission in pregnant HIV positive women (Became Chair after initial Chair left) 2000-2003
- ATN 024: Evaluation of Hepatitis B vaccine in HIV-1 infected youth 2002-2010
- IMPAACT P1082: A Phase I/II Study of the Safety and Immunogenicity of DNA/MVA Immunizations in HIV-1 Infected Young Adults with Suppressed Viremia on HAART 2008-2013
- HVTN 075: A Phase I randomized, placebo-controlled clinical trial to evaluate the safety and immunogenicity of a multiclade HIV-1 DNA plasmid vaccine, VRC-HIVDNA-016-00-VP, followed by a multiclade recombinant adenoviral vector vaccine, VRC-IVADV014-00-VP, in HIV-uninfected 16-17 year old adolescents 2007-2008
- IMPAACT P1088: A Phase II Study to Assess the Safety and Immunogenicity of an Inactivated Swine-Origin H1N1 Influenza Vaccine in HIV-1 Perinatally Infected Children and Youth 2009-2011
- IMPAACT 2004: Phase I/II, Randomized, Placebo-Controlled Study of the Safety and Immunogenicity of Clade C ALVAC-HIV (vCP2438) and Bivalent Subtype C gp120/MF59<sup>®</sup> in South African Breastfeeding Infants 2015-2016

- IMPAACT 2011: Phase I Placebo-Controlled Study of the Infectivity, Safety and Immunogenicity of a Single Dose of a Recombinant Live-Attenuated RSV Vaccine, LID  $\Delta$ M2-2 1030s, Lot RSV#010A, Delivered as Nose Drops to RSV-Seronegative Infants 6 to 24 Months of Age 2016-2020
- IMPAACT 2013: Phase I Placebo-Controlled Study of the Infectivity, Safety and Immunogenicity of a Single Dose of a Recombinant Live-Attenuated Respiratory Syncytial Virus Vaccine, D46/NS2/N/ $\Delta$ M2-2-HindIII, Lot RSV#011B, Delivered as Nose Drops to RSV-Seronegative Infants 6 to 24 Months of Age 2017-2020
- IMPAACT 2038 (protocol vice chair) Phase I Study of the Infectivity, Safety, and Immunogenicity of a Single Dose of Recombinant, Live-Attenuated, Bovine/Human, Parainfluenza Virus Type 3 (B/HPIV3) Vector Vaccines B/HPIV3/RSV/PreF, B/HPIV3/RSV/PreF/TM, or Placebo, Delivered as Nose Drops to HPIV3-Seronegative Children 6 to 18 Months of Age 2023-

#### Protocol Virologist

- ACTG 316 - A Phase III randomized, blinded study of Nevirapine versus Nevirapine placebo for the prevention of maternal-fetal transmission in pregnant HIV-infected women 1995-2003

#### Protocol Team Member

- ATN 015: Short structured treatment interruptions in adolescents with established viral suppression: immunologic and virologic comparison to adolescents on non-interrupted therapy 2002-2008
- ATN 048 Detailed evaluation of Immune response in ATN 024 and 025 2004-2010
- ATN 052 Genetic Analysis of Immune response in ATN 024 and 025 2004-2010
- ATN 056 Pharmacokinetics of once daily antiretroviral therapy regimens containing tenofovir and atazanavir/ritonavir in adolescents and young adults with HIV infection 2006-2008
- P1089: A Laboratory Study to Assess the Immunogenicity of Three Licensed Influenza A (H1N1) 2009 Monovalent Vaccines in HIV-1 Perinatally Infected Children and Youth 2009-2013
- P1099: Maternal Immune Correlates of HIV Transmission via Breast Milk 2010-2011
- IMPAACT 1107: Cord blood transplantation using CCR5 delta 3 Donor Cells for the treatment of HIV 2012-2015
- IMPAACT 2000: A Phase I Study of the Safety and Immunogenicity of Recombinant Live-Attenuated Respiratory Syncytial Virus Vaccine RSV LID  $\Delta$ M2-2 in RSV-Seronegative Infants and Children 2014-2015
- EVD001: A Phase I/II Pilot Clinical Trial to Evaluate the Efficacy and Safety of Ebola Virus Disease (EVD) Convalescent Plasma (ECP) for Treatment of EVD 2014-2020
- EVD002: Collection of Ebola Virus Disease (EVD) Convalescent Plasma (ECP) and Longitudinal Serosurvey of EVD Survivors 2014-2020
- HVTN- A Phase 1 clinical trial to evaluate the safety and immunogenicity of the HIV-1 CH505 transmitted/founder ENV vaccine in healthy, HIV-exposed infants and HIV-unexposed infants 2018-

**Grant Reviews (partial list):**

National Institute of Allergy and Infectious Diseases Special Emphasis Panel Meeting Identifier: 2018/10 ZAI1 CB-A (S2) 1	Aug, 2018
Collaborative Initiative for Paediatric HIV Education and Research (CIPHER) Grant Review	Mar, 2018 Mar, 2023
FDA Office of Orphan Products Development Natural History Grants Program	Jan, 2017 Mar, 2023
Thrasher Research Fund	Dec, 2016

**Consultant Appointments:**

• New York State AIDS Institute Committee for the Care of HIV-Infected Children and Adolescents (member)	1994-1998
• Vice Chair New York State AIDS Institute Committee for the Care of HIV Infected Children and Adolescents	1998-1999
• Chair New York State AIDS Institute Committee for the Care of HIV Infected Children and Adolescents	1999-2001
• New York State Department of Health Advisor Group for Implementation of Newborn Testing for HIV	1997
• Member of NYS ad hoc advisory group for guidelines on the use of AZT during pregnancy	1994, 1999
• NYS ad hoc advisory group for guidelines on the Management of HIV-Infected Pregnant Women Including Prevention of Perinatal HIV Transmission	2002
• NIH Office of AIDS Research Advisory Committee Member	2006- 2009
• Member of NIH Council of Councils (Charter membership)	2007- 2009
• International AIDS Vaccine Initiative (IAVI)	2022-

**Professional Awards and Special Recognitions:**

• NYS DOH Outstanding Service Award: for outstanding contribution to the care of children with HIV and AIDS - for Pediatric & Adolescent Infectious Disease and Immunology/Center	1999
• Pediatric Housestaff Award for Outstanding Faculty Member- SUNY Upstate Medical University, Syracuse, NY	2003
• Outstanding Young Alum- SUNY Upstate Medical University, Syracuse, NY	2005
• AMA-WPC (American Medical Association Women Physician Congress Physician Mentor Award	2012
• NIAID- Division of Microbiology and Infectious Disease Special Recognition Award for extraordinary work in H1N1 influenza research.	2015
• NC DOH recognition of long-term service to HIV-infected patients	2017
• Selected for participation in ELAM- Executive Leadership in Academic Medicine	2017-2018
• Translational Research Mentoring Award- Duke University Medical Center	2017
• Alpha Omega Alpha (AOA)- National Medical Honor Society	2018
• Denny, Katz, Simon, Tingelstad Academic Service Award (American Academic of Pediatrics, NC Chapter)	2019
• Orange County's 125 Most Influential People for 2022	2022

**Organizations and Participation:**

- New York State AIDS Institute Committee for the Care of HIV Infected Children and Adolescents (member) 1994-1998
- Member of NYS ad hoc advisory group for guidelines on the use of AZT during pregnancy 1994, 1999
- New York State Department of Health Advisor Group for Implementation of Newborn Testing for HIV 1997-2003
- Vice Chair New York State AIDS Institute Committee for the Care of HIV Infected Children and Adolescents 1998-1999
- Chair New York State AIDS Institute Committee for the Care of HIV Infected Children and Adolescents 1999-2001
- AIDS Care Unit Advisory Committee North Carolina DOH 2004-2007
- Pediatric Infectious Diseases Society
  - Committee on International Affairs 2006-2009
  - Committee on Awards elected 2014-2016
- Clinical Immunology Society 1999-2003
- American Academy of Pediatrics 1989-1993, 2003-
- International Organization for Mycoplasma 1992-2003
- Liaison-PIDS HIVMA Board of Directors 2012-2015
- Research Committee Infectious Diseases Society of America 2019-2022

**Mentoring and Education:**

- Pediatric Residency Committee and Advisor at SUNY Upstate 1991-2003
- Pediatric Infectious Diseases Fellowship Program Director Duke 2003-2010
- Pediatric Infectious Disease T32 PI 2010-2016
- Faculty Mentor- Pathway to Independence – Duke 2012-2021
- Mentor Training Curriculum- training facilitator – Duke 2014-2021
- Pediatric K12 Program Director - Duke 2015-2018

**Scholarly Societies:**

Society for Pediatric Research elected – 2004- present  
 American Pediatric Society elected – 2009- present  
 Alpha Omega Alpha elected – 2018- present  
 Fellow, Infectious Diseases Society of America (IDSA) elected – 2021- present

**Partial list of trainees and junior faculty mentored****Residents/ Students/ Post Docs**

Andrea Shaw, MD/PhD,  
 Gayani Tillekeratne, MD, MSc-GH  
 Brittney van de Water, PhD (nee Sullivan)  
 Genevieve Fouda, MD, PhD

**Fellows**

William Steinbach, MD  
 Harmony Garges, MD, MPH  
 Michael A. Moody, MD  
 J. Erin Staples, MD, PhD  
 David Wilfret, MD  
 Terry Dixon, MD, PhD  
 Michael Cohen-Wolkowicz, MD, PhD

Maria Guadalupe Lopez Marti, MD  
Sallie Permar, M.D., PhD  
Ann Marie Buchanan, MD, PhD, DTM&H  
Luise Rogg, MD, PhD  
Dwight Yin, MD  
Dorothy Dow, MD  
Mehreen Arshad, MD  
Jessica Ericson, MD  
Matthew Kelly, MD, PhD  
Ezzeldin Saleh, MBBS  
Elizabeth Reddy, MD  
Laura Lewandowski, MD  
Eveline Wu, MD  
Sweta Patel, MD  
Sanya Thomas, MD  
Sarah Heston, MD

**Faculty**

Moody, A. Michael, MD  
Cohen-Wolkowicz, Michael, MD, PhD  
Sallie Permar, MD, PhD  
Ann Marie Buchanan, MD, PhD, DTM&H  
Ravi Jhaveri, MD  
William Steinbach, MD  
Genevieve Fouda, MD, PhD  
Caroline Ozmet, MD  
Pinar Gumus Balikcioglu, MD  
Amelia B. Thompson, MD  
Lavanya Vasudevan, PhD  
Tiarney Ritchwood, PhD  
Ibukun C. Akinboyo, MD  
Debbi Lugo, MD

### Teaching Responsibilities including Continuing Education

Primarily teach in on clinical service including on in-patient and outpatient settings. Provide some lectures to pediatric residents on infection control and other basic areas of infectious diseases.

### Areas of Research Interests – list:

Prevention of perinatal HIV transmission  
 HIV vaccines in children, in particular to prevent intrapartum and breast milk HIV transmission  
 HIV vaccine for prevention of infection in adolescents  
 HIV therapeutic vaccine  
 Treatment of HIV infection in children  
 Infections in children in Africa  
 Tuberculosis disease in HIV infected children in Africa  
 Global health issues in children

### External Support – gifts, grants, and contracts:

#### Partial List

#### Completed

Young Investigator's Matching Grant (Cunningham) National Foundation for Infectious Disease, Burroughs - Wellcome Fund. Immunoblot Analysis of Human Perinatal Immune Responses to Genital Mycoplasma Infection.	1989
Children's Miracle Network Telethon (Cunningham) Genital Mycoplasma Antibody Response as Predictors of Symptomatic Mycoplasma Infection in Pregnant Women and Their Infants.	1991
Children's Miracle Network Telethon (Cunningham) Production of Monoclonal Antibodies to Protein Epitopes of <i>Ureaplasma Urealyticum</i> .	1992
New York State Department of Health (Weiner PI, Cunningham Co-I) Early Detection of HIV Infection in Newborns	1992-1995
Zeneca Pharmaceutical – (Cunningham PI) Meropenem vs. Ceftoxamine in the Treatment of Acute Bacterial Meningitis in Hospitalized Infants and Children	1994-1995
Pediatric AIDS Foundation (Cunningham PI) Student Intern Award	1997
Glaxo Wellcome (Cunningham PI) Evaluation of a new nucleoside analog: 1592 for treatment of HIV infected children	1997
NICHHD (contract through Westat) (Cunningham PI) Pediatric Perinatal Clinical Trials of the AIDS Clinical Trials Group Continuation Grant (Competitive renewal 1997-2002 and 2002-2007)	end 7/31/03 \$1,127,816
D'Antonio Consultants International: (Blatt PI, Cunningham Co-I) High work-load jet injector for worldwide mass immunization campaign	2000-2003

Dupont Pharmaceutical (Cunningham) US Sustiva TM Oral Liquid Expanded Access Program: An open label, multicenter expanded access study of the liquid formulation of Sustiva TM	11/16/00-11/15/03 \$4,360
Hoffmann LaRoche Incorporated (Cunningham) A phase I/II pharmacokinetic and safety study of T-20 in combination with an optimized background in HIV infected children and adolescents	4/30/01-12/31/05 \$33,569
Merck and Company (Cunningham) Study of the efficacy, safety and immunogenicity of Rota Teq at Expiry Potency	11/15/02-11/14/03 \$38,614.00
Hoffmann LaRoche Incorporated (Cunningham) A phase III open label uncontrolled roll over safety study of T20 (HIV 1 fusion inhibitor) in combination with free choice of background anti-retrovirals in patients who have participated in prior T-20 clinical studies	12/23/02-12/22/03 \$2000
Foundation for Treatment of Children with AIDS (Cunningham) Adherence to Therapy in HIV Infected Children	3/1/03-2/29/04 \$18,074
2 U01 AI27535-13S2 (Cunningham) NIH Pediatric AIDS Clinical Trials Unit This project will provide access to clinical trials for mothers/infants who are HIV exposed/infected.	03/01/03-02/29/06 ~\$450,000 annually
P530 AI51445-02 (Haynes) NIH Duke University Center for Translational Research (HIC) This project will provide funds for faculty development.	7/1/03-6/30/04 \$125,000
Metrolina AIDS Project (MAP) (McKinney PI, Cunningham Co-I) Ryan White Title IV (HRSA) Major Goals: To provide care to HIV infected and exposed children across the State of N.C. and surrounding areas.	08/01/04-07/31/07 ~\$200,000 annually
2 H12 HA 00061-12-00 (McGann PI, Cunningham Co-I) Ryan White Title IV Metrolina AIDS Project (MAP)	08/01/08-07/31/09 \$100,000 annually
Leadership Group for Adolescent Medicine Trials Network (Cunningham) NICHD through U Alabama at Birmingham Major Goals: To provide funds for development of the scientific research agenda of the Adolescent Trials Network with continual evaluation and prioritization of that agenda.	3/1/03-2/28/11 ~\$50,000 annually
State of North Carolina (DEHNR) (Cunningham) Case Management Services for HIV-Infected Children and their Families	07/01/04-6/30/08 ~\$150,000 annually
Pediatric AIDS Foundation (Cunningham) Emergency Funds for Children with HIV Infection Major Goals: To supply emergent needs to HIV-infected patients	12/18/03-12/17/04 \$1,257

GlaxoSmithKline (Cunningham) GSK Protocol APV20002 Clinical Study Major Goals: To evaluate the safety & tolerability of GW433908/Ritonavir in combination with NRTI therapy for 48 weeks in HIV-1 infected pediatric subjects.	7/12/04-2/15/09 \$55,000 (total)
GlaxoSmithKline (Cunningham) GSK Fellow in Pediatric Infectious Diseases Major Goals: Provide salary support for a Pediatric Infectious Diseases fellow	7/1/04-6/30/07 \$50,000 annually
NIEHS (Cunningham) Pilot Study for Investigating Micronucleated Erythrocyte Major Goals: To help to determine if there are changes in infant's red blood cells as a result of the medications that the mother has been prescribed to treat HIV disease and that the baby will take to prevent HIV infection.	8/18/04-8/20/05 \$14,367
NIH International Clinical Trials Unit (Bartlett; Cunningham Co-I) ISAAC Major Goals: To expand studies of AIDS-associate co-infections to other countries.	9/1/04-8/31/05 \$821,150
00458-08 NCAS# 80614 (Cunningham) North Carolina Department of Health and Human Services Case Management Services for HIV Infected Children and their families The major goal of this project is to provide case management, education and outreach to community agencies in NC. This grant does not overlap as it only funds Dr. Cunningham to oversee outreach social workers.	07/01/08- 5/31/09 \$209,000 annually
Gilead Sciences, Inc. (Cunningham) GS-US-183-0152 This is a Phase 1B Study of the Safety and Pharmacokinetics of Ritonavir-Boosted Elvitegravir Plus a Background Regimen in HIV-1 Infected, Antiretroviral treatment-Experienced Adolescents	12/17/08 -12/16/11 \$39,000 total
Cubist Pharmaceuticals, Inc. (Cunningham) DAP-PEDS 07-03 Clinical Trial- Evaluation of Safety, Efficacy and PK of Daptomycin in Pediatric Patients with cSSSI Caused by Gram-Positive pathogens	10/27/08-10/30/12 \$55,000 total
H12HA18280A0 (Cunningham) DHHS Ryan White Part D HIV Care for Children, Youth and Women	2/1/2010-7/31/2012 \$670,680
U01-AU68632 (Jackson JB PI; Cunningham CK-Site PI) IMPAACT- Network Leadership Dr. Cunningham is among the DAIDS sponsored IMPAACT network leadership group and her role is to provide leadership in the areas of HIV vaccine development both in the areas of prevention of mother to child transmission of HIV and prevention of HIV-infection in adolescents. Note- total project is about 50 million annually.	7/1/06-11/30/13 ~\$80,000 annually
MedImmune, Inc. (Cunningham) Respiratory Viral Infections in Pediatric Patients receiving HSCT This study will compare standard of care (antigen detection and viral culture techniques) versus reverse transcription polymerase chain reaction for the detection of viruses in pediatric HSCT recipients.	1/01/2009-12/31/2012 \$50,650 total

<p>Thrasher Research Fund (Buchanan; Cunningham Mentor)  Prevalence of Mycobacteremia among Febrile, HIV-Infected Children in Kilimanjaro Region, Tanzania  The goal of this project is to determine the incidence of mycobacteremia in febrile children with HIV in Tanzania.</p>	<p>07/01/09 – 06/30/12  \$25,000</p>
<p>5U01AI069484-01 (Bartlett JA- PI, Cunningham, CK-Site PI)  NIH  IMPAACT- Duke Clinical Trials Site  Dr. Cunningham is the Duke IMPAACT site PI and will provide leadership to the Duke Research site that will enroll patients into the network clinical trial.</p>	<p>12/1/06-11/30/14  ~\$350,000 annually</p>
<p>NOT-AI-10-023 (Bartlett PI, Cunningham Project PI)  NIH/PEPFAR  Limited Competition Administrative Supplements  Pediatric Dried Blood Spot Project</p>	<p>9/1/10-11/31/14  \$398,000</p>
<p>NOT-AI-10-023 (Bartlett PI, Malkin Project PI, Cunningham Co-I)  NIH/PEPFAR  Limited Competition Administrative Supplements  ARV Pouch Feasibility Study</p>	<p>9/1/10-11/31/14  \$467,942</p>
<p>Clinical RM (Woods PI)  Gates Foundation (Cunningham Co-I)  The purpose of this award was to develop and implement two clinical trials to evaluate treatment options for Ebola virus disease to be conducted in Liberia during the Ebola epidemic 2014-2015</p>	<p>10/1/15- 6/1/15</p>
<p>T32 HD060558-01A2 (Cunningham)  NICHD  Institutional Training Grant for Pediatric Infectious Diseases  This grant provides support for fellows during their research years of training.</p>	<p>5/21/11- 12/30/16  \$1,015,815</p>
<p>K12 HD043494 (PI-Reed, Project Director- Cunningham)  NIH  Center for Molecular and Cellular Studies of Ped Disease  This award provides support for training of young pediatric physician scientists in basic science to prepare them for future academic careers. Dr. Cunningham became Project Director in 2015.</p>	<p>4/11/03-03/30/18  annual \$400,000</p>
<p>Prime Contract HHSN275201300003C (Mmbaga PI; Cunningham Site-PI)  NICHD (Cunningham site PI)  This project supports the research site in Moshi, Tanzania to conduct multicenter clinical trials through the IMPAACT Network. Dr. Cunningham's role is to provide mentorship and training to the Tanzanian site PI.</p>	<p>12/1/14- 11/30/2019</p>

5P30-AI-064518 Center for AIDS Research (CFAR) Weinhold K (PI)	7/1/06-6/30/10
NIH	7/1/10-6/30/15
Competitive renewal	7/1/15- 6/30/20
Competitive renewal	7/1/20- 6/30-25

Major Goals: To expand international HIV/AIDS research, develop new translation research projects into clinical trials, attract new investigators, provide critical administrative and logistical support to CFAR investigation. Dr Cunningham is Co-Director of Clinical Core

Gilead Sciences, Inc. (Cunningham)	12/17/09 -12/16/19
GS-US-183-0137	\$40,000 total

This is a the continuation phase of the initial Phase 1B Study of the Safety and Pharmacokinetics of Ritonavir-Boosted Elvitegravir Plus a Background Regimen in HIV-1 Infected, Antiretroviral treatment-Experienced Adolescents.

1 H12HA24797-01-00 (Cunningham)	8/1/2012-7/31/2022
DHHS	\$1,500,000
Ryan White Part D HIV Care for Children, Youth and Women	

### Active

UM1AI068632 (IMPAACT: Nachman, PI; Cunningham Site PI, Protocol Chair)	12/1/13-11/31/23
NIH	~\$100,000 annually
Provides support for Cunningham to lead three multicenter clinical trials within the network	
Chair- P1112 (10%)	
Chair- 2018 (5%)	
Chair- 2021 (10%)	
Chair 2037	

### Clinical Activity – Type of practice and estimate of time commitment

Pediatric Infectious Diseases- approximately 10% of TPE includes inpatient consulting and outpatient clinic

### Participation in Academic and Administrative Activities of the University and Medical Center

#### SUNY Upstate (Syracuse) 1991-2003

##### Departmental:

Residency Committee	1991-2000
Resident Advisor	1992-2003
Chair - Pediatric Social Committee	1995-1997
Member - Promotions Committee	2000-2003
Chair - Pediatric Women's Committee	1998-2002
Member Pediatric Women's Committee	1998-2003

##### Institutional:

Chair - Council of Faculty Organization	
Vice Chair - Council of the Faculty Organization	
Infection Control Committee	
Committee for the President's Awards for Excellence	
Teaching	
Research	
Research by young Investigator	
Library Science	
Professional Service	
Faculty Service	2002-2003
Mission Based Management: research advisory committee	2002-2003

College of Medicine LCME self-study Committees	
Governance	2002-2003
Faculty	2002-2003
College of Medicine Dean Search Committee	2002-2003
Medical Student Advisor	1992-2003
Medical Student Project Director:	
AIDS Education in local school district	1993-1999
<u>Crouse Hospital:</u>	
Infection Control Committee	1991-2000
Pharmacy and Therapeutics Committee	1991-1995
Antibiotic Subcommittee	1995-2003
<u>Onondaga County Health Department:</u>	
Rabies Task Force	1992-2003
Communicable Disease Consultant	1992-2003
<u>Duke University Medical Center (2003-2021)</u>	
<u>Departmental:</u>	
Chief, Div. of Infectious Diseases, Department of Pediatrics	2003-2016
Chair, Children's Hospital P&T Committee	2010- 2015
Chief, Division of Global Health, Department of Pediatrics	2011-2021
Chair, Search Committee for AI Division Chief	2012-2013
Member, Department Promotions and Tenure Committee	2012-2021
Chair, Search Committee for Pulmonary Division Chief	2013-2014
Director, Children's Clinical Research Unit (CCRU)	2014- 2018
Chair, Department Appointments, Promotions, and Tenure	2015- 2021
Member, Search Committee for Neonatology Division Chief	2016
Chair, Search Committee for Heme/Onc Division Chief	2017-2018
Interim Division Chief, Pediatric Hematology/Oncology	2017-2018
<u>Institutional:</u>	
Bioterrorism Committee	2003-2004
Antibiotic Evaluation Team	2003-2012
Clinical Research Advisory Committee	2003-2005
Faculty Women's Committee	2004
Infection Control Committee	2005- 2016
Microbiology User's Committee	2005- 2015
Duke Center for AIDS Research Executive Committee	2006-2021
Duke Global Health Institute- Executive Committee	2008-2016
Pandemic Influenza Task Force	2009- 2010
Search Committee Chief Infectious Diseases Internal Med.	2009, 2010
Search Committee Microbiology Laboratory Director	2011
Member, Hospital P&T Committee	2010-2015
Member, DUHS Pharmacy & Medication Management Committee	2012-2015
Faculty Mentor- Pathway to Independence	2012-2021
Antibiotic Stewardship Leadership team (ASET)	2012- 2016
Selection Committee for LEADER Program	2014- 2017
Member, CRU Directors	2014-2018
Patient Exposure Task Force	2015-2017
Clinical Research Management System Executive Leadership	2015-2018
Clinical Research Management System Implementation Team	2015-2018
Research Advisory Committee to the Vice Dean	2016- 2017

Selection and Advisory Committee for Fund to Retain Clinical Scientists	2016-2021
Co-Chair- review of Duke Clinical Research Institute (DCRI)	2017-2018
Chair- Sexual Harassment Task Force	2019-2020
Member- Office of Institutional Equity Appeals Board	2020- 2021
<u>Children's Hospital Orange County (2021-present)</u>	
UCI/CHOC alignment group	2021-
CHOC Executive Management team	2021-

# Frank Donovan

**FIRST 5 ORANGE COUNTY COMMISSONER  
APPLICATION - 2023**Name Frank Donovan, Ed.D.

Home Address: \_\_\_\_\_

City Costa Mesa Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

Business/Agency Magnolia School District Title Superintendent

Business/Agency Address \_\_\_\_\_

City Anaheim Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

**CATEGORIES FOR APPOINTMENT**

Please indicate all the categories with which you are currently affiliated as cited in County Ordinance Sec. 1-2-334 First 5 Orange County [Strategic Plan](#)

- Category 1 - Education and Early Intervention [Please check all that apply]**
- Representative of a local child care resource or referral agency.
  - Representative of a local organization working with families in early childhood education or early intervention.
  - A parent or caregiver of a young child participating in early learning and/or receiving early intervention services.
  - Representative from a subsidized or tuition-based infant and toddler childcare program or provider.
  - Educator with expertise and experience in early childhood.
- Category 2 – Healthy Children and Early Intervention [Please check all that apply]**
- Representative of a community-based organization that promotes early childhood health and well-being.
  - Representative of a local organization working on health prevention or early intervention for families.
  - A parent or caregiver of a young child receiving health services.
  - A representative of a local medical, pediatric, or obstetric association or society.

Please describe and provide examples of your qualifications and/or experiences that make you eligible for the category(ies) for which you are applying:

I have served on the First 5 Technical Advisory Committee (TAC) since 2017 and I am currently the Chair. This experience has provided me with in-depth knowledge of the First 5 Orange County sevicees as well as the Mission and 2021-25 Strategic Plan. For the past 19 years I have developed, implemented and supervised State Preschool (School Readiness) programs, and for five years I supervised an Early Start (Birth to Three) program. I started

my career as a Teacher's Assistant then became a Teacher and worked my way up to being a Superintendent.

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Please describe your experience and expertise working directly with communities from diverse (racial, ethnic, and socioeconomic, linguistic, socio-economic, etc.) backgrounds and how your experience and expertise improve equitable outcomes for Orange County families so that all children can reach their full potential and support [First 5 OC's Strategic Plan](#).

Throughout my career I have worked with truly diverse populations. The Magnolia School District student population is 82% socio-economically disadvantaged and 26% qualify as homeless under the federal McKinney-Vento Act. Our school district was recently recognized by the Learning Policy Institute (LPI) at Stanford University as a Positive Outlier for our exemplary student achievement. We have developed strong and effective working relationships with our parent community and non-profit agencies that has resulted in a Whole Child approach to education to address the wide-range of needs of our students and their families.

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Please explain why you wish to serve on the First 5 Orange County Commission and the skills that you would bring to First 5.

I understand the importance of Early Intervention and I know that my experience can help bridge the gap for 0 to 3 year old children to 3 to 18 year old children and young adults. I would like to help in the process of aligning systems of care to address the needs of children and families. I understand and believe in the First 5 Strategic Plan and I hope to be part of the implementation of the Strategic Plan. I also know that the First 5 is facing a budget shortfall over the next few year and I have comprehensive experience in working with our State and Federal Legislators and finding and securing alternate funding streams.

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Please describe what you would like to accomplish while on the Commission or a specific issue related to young children and families that you would like to champion in Orange County, and how it aligns with First 5 Orange County's [Strategic Plan](#)

I would like to help in the expansion of well-child visits and linkages to services by making sure that families are aware of these invaluable resources including mental health resources for young children. As we come out of the Pandemic there is a clear increase in behavioral and mental health issues among young children. Serving on the First 5 Children and Families Commission would allow me to provide input and propose solutions on how to address these needs.

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Please describe how you would personally/professionally support and promote the work of First 5 Orange County, including your networks and any strategies for advancing policies that support young children and families.

We have several programs in place here in the Magnolia School District that have been very successful and effective in promoting the work of the First 5 Orange County. Our School Readiness program provides early learning to our 3 and 4 year old students. Our Project HERO (Homeless Education Renewing Opportunities) provides wrap-around services to our families experiencing homelessness. We have full-time Parent Involvement Liaisons at all of our schools. These programs are effective due to our collaboration and working relationships with many community agencies such as the Orange County Health Care Agency, Healthy Smiles, Serve the People Illumination Foundation, Love Anaheim, and many other agencies and faith-based organizations. I would promote the work of the First 5 through our current programs, but also throughout our community by helping families learn that the First 5 services exist and are available.

**CONFLICT OF INTEREST**

Do you have any direct economic interest in programs or other services that may seek funding from First 5 Orange County Children and Families Commission?

- No
- Yes Please explain

**RESUME**

Attach a current resume including educational and employment history and mail or email with membership application to:

First 5 Orange County,  
1505 East 17th Street, Suite 230,  
Santa Ana, CA 92705;  
email: [First5OC@cfcoc.ocgov.com](mailto:First5OC@cfcoc.ocgov.com)  
Attention: Tiffany Alva

To be considered, an application and resume must be postmarked or emailed by March 10, 2023 by 3:00 pm

Signature  Date 3-22-23

## Frank Donovan, Ed.D.

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### EMPLOYMENT HISTORY

#### Public Education

- 2015—Current    **Superintendent.** Magnolia School District, 2705 West Orange Ave., Anaheim, CA 92804. (714) 761-5533.
- Responsible for the education of 5,400 students in grades Kindergarten through 6<sup>th</sup> Grade and 600 students in Preschool.
  - Lead in the effective operation of nine elementary schools, one warehouse and the District Office.
  - Oversight of over 700 employees including nine principals and five cabinet members.
  - Responsible for the effective implementation of a \$77,000,000 budget.
  - During the 2015-16 school year, seven of our nine schools were awarded with the California Department of Education’s Gold Ribbon and Title I Achieving Awards and one school was also awarded with the Exemplary Arts Award.
  - Lead in the transition of Robert M. Pyles School to Robert M. Pyles STEM Academy with a focus on Computer Science and Coding.
  - Lead in the transition of Juliette Low School to Juliette Low School of the Arts with a focus on utilizing the Arts as a vehicle for learning.
  - Focus on inclusive practices for students with special needs.
- 2009 – 2015        **Executive Director.** Greater Anaheim Special Education Local Plan Area, 7300 La Palma Ave., Bldg. 6, Buena Park, CA 90620. (714) 828-1766.
- Developed, directed and supported the development of effective service-delivery models to ensure all students are provided with quality instruction and safe and effective learning environments.
  - Supported member school districts in improving outcomes for all students through multi-tiered systems of support, Explicit Direct Instruction, and Universal Design for Learning.
  - Supported, directed, and lead in the development, expansion, and monitoring of special education policies, procedures and programs in six school districts in the Greater Anaheim area.
  - Reported directly to the Greater Anaheim SELPA Governing Board comprised of one Board Member from each of the six member districts.
- 2004 – 2009        **Administrator, Student Services and Principal of Land School.** Westminster School District. 14121 Cedarwood Ave., Westminster, CA 92683. (714) 894-7311.

- Responsible for the effective implementation of general and special education support services including counselors, school nurses, school psychologists and special education programs.
- Developed and directed the transition to progressive instructional models for general education and special education classrooms including Response to Intervention, Multi-Tiered Systems of Support, and Positive Behavioral Intervention and Supports.
- Planned, directed and monitored 38 SDC classes and 22 RSP programs.
- Principal of Land School: included Student Services Department, Preschool Diagnostic Center, Early Start Program (Infant Program), nine SDC classes, Phonological Program, State Preschool and Child Development Center for a total of more than 700 students.
- Lead role in all legal matters including IEP attendance, Mediations, Alternate Dispute Resolution meetings, Due Process Hearings, and CDE Compliance Complaints.

2001 - 2004 **Program Specialist.** West Orange County Consortium for Special Education, 5832 Bolsa Ave., Huntington Beach, CA 92649. (714) 903-7000.

- Developed and implemented professional development trainings for five school districts within a multi-district SELPA.
- Supported and monitored school staff to ensure legal and procedural compliance.
- Participated in the development of the SELPA's Procedural Manual and Special Education Local Plan.
- Served as Acting Administrator (Principal) of Edison High School, Special Abilities Cluster during the summer of 2002 and 2003.
- Served as lead role in high profile IEP meetings.

1999 - 2001 **SDC (ED) Teacher.** *Wilson High School, Long Beach Unified School District.* 1515 Hughes Way, Long Beach, CA 90810. (562) 997-8000.

- Provided direct instruction to a dynamic range of students ranging from Learning Disabled to Gifted and Talented.
- Facilitated the expansion of the ED Program.
- Elected as Chair of the Special Education Department (17 Teachers) and President of the School Site Council.
- Served as In-House (Substitute) Assistant Principal (2000-01 School Year).
- BTSA Coach/Mentor (Support Provider).

1998-1999 **Juvenile Court School RSP Teacher.** Orange County Department of Education, 200 Kalmus Dr., Costa Mesa, CA 92628. (714) 966-4000.

- Provided direct instruction to students in grades 7<sup>th</sup> through 12<sup>th</sup> in a Community Day School in the City of Anaheim.
- Learned valuable teaching strategies and motivational techniques for working with "At-Risk" youth.

- Collaborated with law enforcement, probation, social services, school districts, and other agencies.
- Developed a thorough understanding of differentiated instruction, Universal Design for learning, and classroom management strategies.

1996-1998 **Juvenile Court School Teacher (Juvenile Court Schools).** Orange County Department of Education, 200 Kalmus Dr., Costa Mesa, CA 92628. (714) 966-4000.

1996-1996 **Instructional Assistant (Juvenile Court Schools).** Orange County Department of Education, 200 Kalmus Dr., Costa Mesa, CA 92628. (714) 966-4000.

- Served as the In-House Substitute Teacher at Otto Fischer School located in the Orange County Juvenile Hall.
- Assisted and provided direct instruction to students in grades 7<sup>th</sup> through 12<sup>th</sup>.
- Assisted in the development of lesson plans, grading, student record keeping, and classroom management.

## EDUCATION

**Doctor of Education.** Educational Leadership, 2010. UNIVERSITY OF SOUTHERN CALIFORNIA. Los Angeles, CA. Dissertation Title: *The Allocation of Resources at the School Level to Improve Learning for Struggling Readers: What is Adequate?*

**Master of Science.** Education: Administration, 2003. California State University, Fullerton. Fullerton, CA. Thesis Title: *Legal Issues in Contention: Special Education Litigation.*

**Master of Arts.** Special Education, 2000. Azusa Pacific University. Azusa, CA.

**Bachelor of Arts.** History, 1995. Magna Cum Laude. University of California Los Angeles. Los Angeles, CA.

## CREDENTIALS/CERTIFICATIONS

### California Certification

- **Clear Administrative Services Credential.**
- **Education Specialist Instruction Credential: Mild-to-Moderate** (Professional Clear Level II).
- **Clear Cross-cultural Language and Academic Development Certificate.**

### Other Certifications

- **ACSA Personnel Academy.**

- **SEAC Interest Based Bargaining**

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## **SPECIAL APPOINTMENTS**

- AB 250 Reauthorization of Statewide Pupil Assessment System—Advisory Committee—March, 2012—July, 2012. Selected by California Superintendent of Public Instruction, Torlakson and approved by the California Board of Education (CDE).
- CDE Statewide Common Core State Standards Work Group.
- CDE National Center and State Collaborative Advisory Council Member.
- California State Special Education Local Plan Area Organization:
  - 2010-11, Co-Chair of Highly Qualified Personnel Committee
  - 2011-13, Co-Chair of Interagency Committee
  - 2012-14, Co-Chair of Assessment, Evaluation, and Instruction
  - 2014-15, Executive Committee—Secretary

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## **PRESENTATIONS/WORKSHOPS**

The following is a small sample of presentations/workshops that I have developed/conducted:

Using the Common Core State Standards as a Tool for Remediation. Training for California State University Long Beach, Student Teachers. Long Beach, CA April 10, 2018.

Common Core Standards and Special Education. CAC Parent Workshop, Anaheim, CA. February 26, 2015.

Understanding the Common Core State Standards. Training for All Employees at the California Diagnostic Center. Los Angeles, CA. January 16, 2015.

Special Education Funding. Kings County Office of Education. Hanford, CA. January 24, 2014.

Common Core State Standards and Struggling Students: ACSA Leadership Summit. San Diego, CA. November 7, 2014.

What's So Special About Special Education. California Association of Supervisors of Child Welfare and Attendance, Southern Section Fall Conference. Buena Park, CA. September 27, 2012.

Effective Strategies for Improving Student Outcomes. Anaheim Union High School District, RtI Summit. March 6, 2012

Blended Services: Bridging the Gap Between Special Education and General Education. Centralia School District. Buena Park, CA. January 19, 2011.

Service Delivery Models and Struggling Students. Orange County Department of Education. Costa Mesa, CA. May 25, 2011.

Defining Student Success: Evidence and the Law. Anaheim Union High School District. Anaheim, CA. December 15, 2011.

Serving Children: The Structure, Strengths, and Limits of California's Partner Agencies. Ontario Conference Center, Ontario, CA. July 29, 2010.

Blended Services: Interventions for All Students. Cypress School District. Cypress, CA. September 16, 2010.

Positive Behavioral Support. Magnolia School District. Anaheim, CA. October 20, 2010.

### **Publications**

Donavan, F.D. (2020). Why test scores matter. EdSource, (02-21-20).

Donavan, F. D. (2012). Assessment and the common core state standards. *The Special Edge*, (24)2, 3-6.

2012-2018. Associate Editor of the Journal of Special Education Leadership

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### **AWARDS and RECOGNITIONS**

- Association of California School Administrators (ACSA) Region 17, 2022 Tracy Gaffey Award. May 9, 2022.
- California Department of Education 2022 California Pivotal Practice District.
- Association of California School Administrators (ACSA) Region 17, 2020 Superintendent of the Year. May 14, 2020.
- Recognized as "Positive Outlier" School District by the Learning Policy Institute, May 2019.
- Orange County Music and Arts Administrators, Orange County Outstanding Arts Educator Award, May 9, 2018.
- Association of California School Administrators (ACSA) Region XVII, 2011 Special Education Administrator of the Year. May 9, 2011.
- Anaheim Union High School District, 2011 Special Education Administrator of the Year. April 14, 2011.
- Los Alamitos Unified School District, 2011-12 Superintendent's Cabinet Award. March 19, 2012.

- California Department of Education Gold Ribbon and Title I Achieving Awards for seven of nine schools.

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**PROFESSIONAL ORGANIZATIONS: ELECTED/APPOINTED LEADERSHIP POSITIONS**

First 5, Children and Families Commission, Technical Advisory Committee

2020—Current, Chair  
2017—Current, Committee Member

Fiscal Crisis Management and Assistance Team (FCMAT)

2020—Current, Board Member  
2021—Current, Executive Committee Member

Orange County Superintendents Group

2020--21—Chair  
2015--Current, Member

Anaheim YMCA

2017--19, Chair of the Board of Directors  
2015--22 Board Member

Anaheim Rotary Club

2019—20 President  
2015—Current, Member

Orange County Special Education Alliance

2017—Current, President  
2003—Current, Member

Association of California School Administrators (ACSA)

2018--Current. State ACSA Superintendents Council Representative for Region 17  
2013--15. Treasurer (Region 17)  
2001—Current, Member

Council for Exceptional Children (CEC)

California State Chapter CEC

2013--15. President  
2011--12. President Elect  
2001—Current, Member

Council for Administrators of Special Education (CASE)

2001—Current, Member

Orange County Administrators of Special Education (OCASE)

2010--15. President  
2004--10. Board Member  
2003--04. President  
2001--04. Member

Association for Supervision and Curriculum Development (ASCD)

2005-Current. Member

Phi Alpha Theta (History)

1995-Current. Member

Pi Gamma Mu (Social Sciences)

1995-Current. Member

Phi Lambda Theta (Education)

2000-Current. Member

# Jackie Filbeck



**FIRST 5 ORANGE COUNTY COMMISSONER  
APPLICATION - 2023**

Name Jackie Filbeck

Home Address: \_\_\_\_\_

City Anaheim Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

Business/Agency Anaheim Elementary School District Title Board President

Business/Agency Address \_\_\_\_\_

City Anaheim Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

**CATEGORIES FOR APPOINTMENT**

Please indicate all the categories with which you are currently affiliated as cited in County Ordinance Sec. 1-2-334 First 5 Orange County [Strategic Plan](#)

- Category 1 - Education and Early Intervention [Please check all that apply]**
- Representative of a local child care resource or referral agency.
  - Representative of a local organization working with families in early childhood education or early intervention.
  - A parent or caregiver of a young child participating in early learning and/or receiving early intervention services.
  - Representative from a subsidized or tuition-based infant and toddler childcare program or provider.
  - Educator with expertise and experience in early childhood.
- Category 2 – Healthy Children and Early Intervention [Please check all that apply]**
- Representative of a community-based organization that promotes early childhood health and well-being.
  - Representative of a local organization working on health prevention or early intervention for families.
  - A parent or caregiver of a young child receiving health services.
  - A representative of a local medical, pediatric, or obstetric association or society.

Please describe and provide examples of your qualifications and/or experiences that make you eligible for the category(ies) for which you are applying:

First 5 Commissioner, presently finishing my second term. I am in my ninth year as a Board Member for the Anaheim Elementary School District. Serving my second term as an elected member for Region 15 for the California School Boards Association. Recently re-elected to the Board of Directors for the Orange County School Boards Association, representing Elementary Education. Twice elected to the Orange County Committee on School District

Organization. Board Member of the Anaheim Family YMCA. I have served on numerous boards, commissions, and committees, and youth sports boards relating to children and community activities and services.

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Please describe your experience and expertise working directly with communities from diverse (racial, ethnic, and socioeconomic, linguistic, socio-economic, etc.) backgrounds and how your experience and expertise improve equitable outcomes for Orange County families so that all children can reach their full potential and support [First 5 OC's Strategic Plan](#).

As a board member in Anaheim Elementary School District, I represent a diverse group of students and families. We serve 15,736 PK-6<sup>th</sup> grade students, 14% Students with Disabilities, 8% experiencing homelessness, 84% low income, 50% speak a language other than English and approximately 1% are foster youth. The ethnicities served are African American, Asian, Filipino, Latino, Pacific Islander, White, and some with two or more races.

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Our District, under the leadership of our Superintendent has a goal to provide a culturally and linguistically relevant education to ALL students. An example of that is our commitment to providing a comprehensive music education to every PK-6<sup>th</sup> grade student that includes learning six different instruments that are provided by the district. We join with community partners such as Disneyland Resort on diverse opportunities so that students beginning in preschool can see themselves in the community. It's also very important to me that everyone have opportunities to experience various forms of visual and performing arts.

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My goals embrace inclusion and making sure that opportunities exists equally to promote fairness and unbiased results. I supported expanding our dual language program so that it is now offered in every one of our schools. As a member of the Board of Directors of the Anaheim Family YMCA, I have assisted their partnership with AESD to provide a quality after-school program. AESD is also building an extended school day learning opportunity program for our students and we are now at a hefty enrollment of over 10,000 students.

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We use research and data-driven paths to support and direct our strategies and resources to many different areas including primary education, early childhood development, wellness and mental health and parent involvement. My input and decision-making process is always done through an equity and equality lens with focus on putting children first. I strongly believe that early discovery and intervention are the keys to successful and equitable outcomes. AESD now has 44 TK class programs for any student turning five during the

school year, including 3 Special Ed and 10 DLI classes. Our preschool program serves students three and four years old in part day programs that will include Spanish dual language in 2023-24. I also am a representative for our parent leadership groups and work to provide the education and training necessary for them to implement and promote services and resources for their neighborhoods that strengthen and grow community collaboration and educate our parents.

My 35 years of experience in different capacities of involvement has evolved from simple parent participation to include many other expanded roles being a volunteer, community leader, Commissioner and School Board Trustee. But my focus has always been and will always remain centered around children and the support layers needed to enable them to be school ready and capable of achieving their full potential.

Please explain why you wish to serve on the First 5 Orange County Commission and the skills that you would bring to First 5.

I am presently finishing my second term as First 5 Commissioner. Much of this term took place during a pandemic, which greatly limited our opportunities and involvement. I am anxious to continue as we emerge from the chaos to our new realities. I want to continue working with First 5 because I am passionate about the work being done and want to continue my participation in many areas. I am involved with the Family Ambassador and Neighborhood Engagement programs and presently assisting with the Kid Builders Rollout program. I have attended a site visit to a children and family health center and am especially excited to be involved in our discussions regarding the long-term financial plan to align our expenditures with revenue. Legislative conversations are important and I'm always willing to participate in those as well as engage in any other way to further the business of First 5. I am committed to sustaining and supporting the wonderful First 5 partnership with AESD, utilizing my connection and access to both. My skill set includes 35 years of volunteerism and advocacy for children and families, as well as having previously worked for the California State Assembly as a community liaison. I presently serve on several educationally or children-related boards, commissions and committees through the city and county. But one of my most important skills is being a grandma to a 4 and under 5 years old. It has given me a ring side seat to understand even more personally how important and vital it is for all children to have the opportunity to thrive. My passion to see every child succeed has only deepened and I truly hope to be able to continue to fulfill that desire through working as a First 5 Commissioner.

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Please describe what you would like to accomplish while on the Commission or a specific issue related to young children and families that you would like to champion in Orange County, and how it aligns with First 5 Orange County's [Strategic Plan](#)

I am passionate about championing the use of the Early Development Index Data with cities and community agencies throughout Orange County to ensure that programs and services are in place for children and families 0-5 years of age. I am also an advocate for Well Child Visits and Screenings so that parents have the information and knowledge needed to help their child be successful. I would like to grow and empower the First 5 Parent Ambassador and Neighborhood Engagement programs. In addition, I want to expand our Anaheim Elementary School District Learning Link program (beyond our present two schools) which is committed to providing early learning for families as early as 0-3. I will continue the conversation to have a more continuum of services to PK students by having inclusive practices in our CA State and PK and Special Ed PK and will fully support our regular preschool and TK classes. I will focus on who we serve! Several of these items relate to and are identified areas of the Strategic Plan.

Please describe how you would personally/professionally support and promote the work of First 5 Orange County, including your networks and any strategies for advancing policies that support young children and families.

One area I feel I could support is communication around legislative issues and advocacy. As a school board trustee for over eight years and having previously worked for the California State Assembly, I know many of our local, county and state elected officials. I've also lived in Anaheim most of my life and been very involved, so it has connected me to many city officials and office holders.

I feel I could assist with connections for those important conversations when we are looking for help to advance First 5 policy. I would utilize my networks to assist the First 5 team to gain access to conversations and relationships that would help officials at all levels to see the needs of their constituents and how they can advocate to support young children and families in their districts.

I would also strongly support the connection that exists between First 5 and Anaheim Elementary School District. As a board member, I've always understood the importance of early childhood development and its impact on school readiness. I am in a unique position to have a voice to approve and build programs that are compatible with First 5's Strategic Plan.

**CONFLICT OF INTEREST**

Do you have any direct economic interest in programs or other services that may seek funding from First 5 Orange County Children and Families Commission?

- No
- Yes Please explain

I am a Board Member for the Anaheim Elementary School District and we receive funding from First 5 for school readiness activities.

**RESUME**

Attach a current resume including educational and employment history and mail or email with membership application to:

First 5 Orange County,  
1505 East 17th Street, Suite 230,  
Santa Ana, CA 92705;  
email: [First5OC@cfcoc.ocgov.com](mailto:First5OC@cfcoc.ocgov.com)  
Attention: Tiffany Alva

To be considered, an application and resume must be postmarked or emailed by March 10, 2023 by 3:00 pm

Signature Jackie Filbeck Date 3-8-23

# Jackie Filbeck

## Profile

- Skilled communicator, representative and community liaison
- Experienced trustee and/or board member for several public organizations

## January 2015 to Present – Board Member Anaheim Elementary School District

- Responsible for setting the school board's overall policy, direction, and budget
- Representing the interests of the students, parents, and community
- Providing direction for a multi-year plan
- Maintaining fiscal responsibility and solvency

## 2018-Present – Board of Directors / Anaheim Family YMCA

## June 2019 to Present - Commissioner First 5 Orange County

Part of a 9-member commission responding to children's needs by supporting programs and policy best practices for children's health and development, birth to 5 years

## 2019-Present – Delegate / Region 15 - California School Board Association

## 2020-Present – Member - Orange County Committee on School District Organization

## 2021-Present – Board of Directors – Orange County School Board Association

- **Licensed Real Estate Agent, Property Manager - White Realty in Anaheim**
- Work in residential sales, leasing/property management, utilizing elements of marketing
- Maintenance of business accounts, trust funds and client financial records

## 2011-2013 - Field Representative – California State Assembly

- Acted as a communication link and political contact assisting a wide variety of the local population with public policy issues involving California and local cities
- Performed independently as well as with others to help with public relations, legislative and state resource information, protocol, and business promotion
- Public speaking and presentation services for a variety of organizations

## 1996-2001 - Director of Client Services - Corporate Images Sports Marketing

- Heavy interaction with clients selling advertising and business promotion
- Organized and hosted all client entertainment and business events
- Managed all client relationships in various capacities
- Promoted business renewal, increased revenue and company efficiency and growth

## Community Accreditation and Awards

**Anaheim City Commissioner** Sister City Commission

**Past Board Member (Youth Sports)** – JUSA, NJB, East Anaheim Little League

**Recipient Annie Accolade Award**-Anaheim Women's Division Chamber of Commerce

**Honorary Service Award** - Local 4<sup>th</sup> District Anaheim PTA

**Golden Oak Award** - California State PTA

**Resolution of Recognition** - California State Assembly

# Jenifer Kinkel



**FIRST 5 ORANGE COUNTY COMMISSONER  
APPLICATION - 2023**

Name Jennifer Kinkel

Home Address:

City Fullerton

Zip 92731

Phone 714-771-1234

Email jkinkel@fullerton.edu

Business/Agency Fullerton College

Title Faculty, Child Development & Educational Studies

Business/Agency Address :

City Fullerton Zip 92731

Phone 714-771-1234

Email jkinkel@fullerton.edu

**CATEGORIES FOR APPOINTMENT**

Please indicate all the categories with which you are currently affiliated as cited in County Ordinance Sec. 1-2-334 First 5 Orange County [Strategic Plan](#)

**X Category 1 - Education and Early Intervention [Please check all that apply]**

- Representative of a local child care resource or referral agency.
- Representative of a local organization working with families in early childhood education or early intervention.
- A parent or caregiver of a young child participating in early learning and/or receiving early intervention services.
- X Representative from a subsidized or tuition-based infant and toddler childcare program or provider.
- X Educator with expertise and experience in early childhood.

**Category 2 – Healthy Children and Early Intervention [Please check all that apply]**

- Representative of a community-based organization that promotes early childhood health and well-being.
- Representative of a local organization working on health prevention or early intervention for families.
- A parent or caregiver of a young child receiving health services.
- A representative of a local medical, pediatric, or obstetric association or society.

Please describe and provide examples of your qualifications and/or experiences that make you eligible for the category(ies) for which you are applying:

*I am an educator specializing in early childhood development, and currently teach as a tenured professor at Fullerton College in the Child Development & Educational Studies department. I have been working in Orange County with children and families for over 27 years in numerous capacities and have remained committed to using my time outside of work to **collaborate** with colleagues toward ensuring that the early care and education workforce is supported. My work experiences have included for-profit corporate childcare facilities, non-profit agencies, as well as public school districts and higher education here in our county. I have a well-rounded understanding of the challenges that our county faces in early childhood development and the successes that First 5 Orange County has had in the last 20 years. In my work as a professor, I regularly utilize the Annual Report data and the EDI data published by the First 5 Orange*

*County Children and Families Commission in many of my classes to help students understand the current childcare landscape.*

Please describe your experience and expertise working directly with communities from diverse (racial, ethnic, and socioeconomic, linguistic, socio-economic, etc.) backgrounds and how your experience and expertise improve equitable outcomes for Orange County families so that all children can reach their full potential and support [First 5 OC's Strategic Plan](#).

*In my decades of experience working with children and families here in Orange County, I have had many opportunities to work with diverse communities. Currently, I work at Fullerton College, where I teach adult students who have encountered many barriers to their education, often related to linguistic and socioeconomic challenges. Some of these students are current parents of young children and they have shared the personal struggles they have faced in Orange County in terms of access to childcare, quality of childcare services and access to early intervention services. Many of my current college students have reported previous lack of access (themselves) to early and ongoing health and development services, a safe, stable and nurturing home, neighborhoods that support young children and families and equitable distribution of resources. Through grit and resilience, these students continue to show up for classes to learn how to better serve their communities now and in the future. I believe that the First 5 Commission here in Orange County has the unique opportunity to invest in agencies that specialize in early intervention services, equity, advocacy for children and families and to assist in the alignment of the care systems that make up the framework here in our community. On a personal level, as a previous foster parent in Orange County, I have utilized Head Start, State Preschool (CSPP), and CalOptima. In this unique perspective, I have had firsthand experience with the concept that high-quality care in these systems can lead to children being able to reach their full potential.*

Please explain why you wish to serve on the First 5 Orange County Commission and the skills that you would bring to First 5.

*I grew up in Orange County and I remain invested in ensuring that all community members have what they need to effectively raise their families. As an educator in the field of early childhood development and a recent consumer of childcare for my now six-year-old daughter, I remain committed to equity-based practices. I have a deep and personal understanding of some of the challenges that we face here in Orange County in the areas of access and quality. In 2013, prior to my current role at Fullerton College, I worked as an Early Learning Specialist and a Director of Early Childhood Education in a local school district, and because of that work, I have a historical perspective of the First 5 Orange County, Children and Families Commission history and priorities. My skillset includes an understanding of current statewide legislative efforts that affect children and families, and the work of many of the organizations in this county to assist young children in reaching their full potential.*

Please describe what you would like to accomplish while on the Commission or a specific issue related to young children and families that you would like to champion in Orange County, and how it aligns with First 5 Orange County's [Strategic Plan](#)

*Overall, I am interested in helping to ensure that **innovative** programs are implemented that support children and families, and that our existing systems continue to be improved. There are several significant issues facing the early childhood education field in our county which include: access to care (primarily infant/toddler care) and quality of care. Quality Start Orange County and other local agencies had created strong systems for strengthening quality, yet the current*

*COVID-19 pandemic has challenged these systems and further reduced the number of slots available in high quality early learning environments. I would champion investment in agencies that provide prenatal-to-three services, with specific focuses on equity and program quality. This supports the vision that “all children reach their full potential” by expanding access and increasing quality.*

Please describe how you would personally/professionally support and promote the work of First 5 Orange County, including your networks and any strategies for advancing policies that support young children and families.

*As a faculty member at Fullerton College, I have the capacity to widely support and promote the important work of First 5 Orange County. Our Child Development & Educational Studies department works closely with all the existing community colleges in the region, as well as with the California State University system. Here in Orange County, I have professionally partnered with agencies such as Help Me Grow OC, the Orange County Department of Education, OC United, Catalyst Kids, The City of Irvine and local school districts including the Fullerton School District and the Huntington Beach City School District. I have also been a member of the Orange County Child Care and Development Planning Council and a board member for the Orange County Association for the Education of Young Children (OCAEYC). I have also advocated for young children and this profession at the California state level, through organizations such as Wellness Together and the California Association for the Education of Young Children (CAEYC).*

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#### **CONFLICT OF INTEREST**

Do you have any direct economic interest in programs or other services that may seek funding from First 5 Orange County Children and Families Commission?

- No  
 Yes Please explain
- 

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#### **RESUME**

Attach a current resume including educational and employment history and mail or email with membership application to:

First 5 Orange County,  
 1505 East 17th Street, Suite 230,  
 Santa Ana, CA 92705;  
 email: [First5OC@cfoc.ocgov.com](mailto:First5OC@cfoc.ocgov.com)  
 Attention: Tiffany Alva

To be considered, an application and resume must be postmarked or emailed by March 10, 2023 by 3:00 pm

Signature  Date 3/5/2023

# Jennifer Kinkel

## *Faculty, Fullerton College Child Development and Educational Studies Department*

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### EDUCATION

- **Master of Arts, Human Development**, Pacific Oaks College, Pasadena.
- **Bachelor of Arts, Psychology and Social Behavior**, University of California, Irvine.

### ADJUNCT COLLEGE INSTRUCTION

- **Fullerton College**, August 2013 to May 2016
  - *Mentor Teacher Coordinator*, California Early Childhood Mentor Program (CECMP)
  - *Advisory Committee Member*, Child Development and Educational Studies (CDES)
- **Brandman University**, January 2014 to May 2016
  - *Advisory Committee Member*, Early Childhood Education (ECE)
- **Irvine Valley College**, August 2014 to May 2016

### A SAMPLING OF PROFESSIONAL PRESENTATIONS

#### **California Student Mental Wellness Conference**. September, 2020

- Trauma Informed Care: Strategies to Assist Children Who Have Been Exposed to Trauma

#### **OC United Respite Conference**. March 2020, March 2021

- Trauma Informed Care: Strategies to Assist Children Who Have Been Exposed to Trauma

#### **Catalyst Kids Webinars**. 2017-2022

- Includes: Family Engagement, Problem Solving, Challenging Behaviors, Role of Teacher as Facilitator, Trauma Informed Care, Engaging Preschool Children via Literacy during Distance Learning, Using Literacy to Support Social Emotional Learning in Early Childhood

#### **California Association for the Education of Young Children (CAEYC)**, March 2015 (*Featured Presenter*)

- “Inspiring Change Through a Shared Vision”

#### **Quality Start Orange County (QSOC)**, November 2015

- “Building a Joy of Literacy in Young Children”

#### **Association for Constructivist Teaching (ACT)**, October 2015

- “Mathematics and Preschoolers: Helping Teachers Incorporate Meaningful Learning into Everyday Activities”

#### **California School-Age Consortium (CalsAC)**, September 2012 to 2016

- Includes: “Understanding Behavior of Children and Youth, Partnering with Schools, Listening and Responding to Families’ Needs”

#### **City of Irvine, Super Saturday**. Spring 2011-Spring 2016

- Includes: “Fostering Cooperative Communities, Wiggles and Giggles, We Love To Read, Are You Really Listening?”

#### **Child Development Incorporated (CDI/CDC)**, September 2007- October 2013

- Includes: “Developmentally Appropriate Practice, Theorists, Ages and Stages, Curriculum Development, Emergent Curriculum, Observations and Anecdotal Notes”

### PROGRAM ADMINISTRATION

#### **Huntington Beach City School District**. Director of Early Childhood Education, October 2013 to August 2016

- Planned, facilitated, implemented, and evaluated all District programs for young children (0-5).
- Provided program outreach and activities to educate staff, community organizations and leaders about the importance of early childhood education.
- Increased participation in the Learning Link program from four attendees to over 160 families in the 2014-2015 academic year.

**Child Development Incorporated (CDI/CDC), Irvine.** Site Supervisor, September 2007 to October 2013

- Supervised, mentored and facilitated training for a staff of eight employees serving 105 children and their families.
- Created and maintained a budget for the center, including the administration of state funds under Title 5.

**The UCI Farm Elementary School, Irvine.** Childcare Supervisor, September 1999 to June 2003

- Supervised a staff of seven employees responsible for before and after school care.
- Counseled staff and families in the field of progressive elementary education.

**Guardettes of Orange County/Dance Innovations, Garden Grove.** Program Director, December 2000 to June 2003

- Managed a non-profit, extracurricular dance program for youth aged 6-18.
- Planned and coordinated banquets, shows and team events for more than 400 attendees.

## BOARD EXPERIENCE

- **Kids In Disasters (KIDs) Working Group** (Child Care Committee) – February 2015 to June 2019
- **Orange County Association for the Education of Young Children (OCAEYC)** (Vice President, Membership) - December 2014 to December 2016, June 2022-present
- **Orange County Child Care and Development Planning Council** (Co-Chair, Workforce Development) - July 2014 to December 2016, September 2021-February 2023
- **Irvine Child Development Center (ICDC)** (Operating Corporation Board of Directors, Board Member) - March 2012 to February 2017
- **California School-Age Consortium (CalsAC)** (Orange County Chapter President) - January 2012 to December 2016
- **Irvine Child Care Committee** (Child Care Provider, Committee Member) - January 2012 to December 2013
- **Dance Innovations** (Board Member) - October 2011 to June 2014

## WORK IN EARLY CHILDHOOD PROGRAMS

- **Fountain Valley Montessori Center, Fountain Valley.** Lead Teacher, July 2004 to September 2007
- **University Montessori, Irvine.** Co-Teacher, September 2003 to June 2004
- **Yankee Doodle Farm, Cypress.** Teacher/Assistant Director, May 2003 to September 2003
- **Tutor Time, Newport Beach.** Lead Teacher, September 1996 to June 1999

## AWARDS/CERTIFICATIONS/EXAMINATIONS

- **Outstanding Mentor and Professor**, 2019 Orange County Excellence in Early Care and Education Awards. May 2019
- **Outstanding Early Learning Specialist**, 2015 Orange County Excellence in Early Care and Education Awards. June 2015
- **Montessori Certification, Early Childhood**, University of California, Irvine. June 2004
- **California Basic Educational Skills Test (CBEST)** - passed July 2003
- **Program Director Certification** (California Commission on Teacher Credentialing) - expires January 2028
- **Professional Growth Advisor** (California Commission on Teacher Credentialing)

# Soledad Rivera



**FIRST 5 ORANGE COUNTY COMMISSONER  
APPLICATION - 2023**

**Name** Soledad Rivera

**Home Address:** \_\_\_\_\_

**City** Tustin \_\_\_\_\_ **Zip** \_\_\_\_\_

**Phone** \_\_\_\_\_ **Email:** \_\_\_\_\_

**Business/Agency** Families Together of Orange County **Title** Director of Community  
Engagement and Partnerships

**Business/Agency** \_\_\_\_\_

**City** Tustin \_\_\_\_\_ **Zip** \_\_\_\_\_

**Phone** \_\_\_\_\_ **Email** \_\_\_\_\_

**CATEGORIES FOR APPOINTMENT**

*Please indicate all the categories with which you are currently affiliated as cited in County Ordinance Sec. 1-2-334 First 5 Orange County [Strategic Plan](#)*

- X Category 1 - Education and Early Intervention [Please check all that apply]**
- Representative of a local child care resource or referral agency.
- X  Representative of a local organization working with families in early childhood education or early intervention.
- A parent or caregiver of a young child participating in early learning and/or receiving early intervention services.
- Representative from a subsidized or tuition-based infant and toddler childcare program or provider.
- Educator with expertise and experience in early childhood.

- X Category 2 – Healthy Children and Early Intervention [Please check all that apply]**
- X  Representative of a community-based organization that promotes early childhood health and well-being.
- Representative of a local organization working on health prevention or early intervention for families.
- A parent or caregiver of a young child receiving health services.
- A representative of a local medical, pediatric, or obstetric association or society.

Please describe and provide examples of your qualifications and/or experiences that make you eligible for the category(ies) for which you are applying:

Starting in my own country of Chile as a volunteer in different children's shelters and then here in an educational setting as a District Liaison. I have worked with Mckinney Vento, homeless families and individuals for over 30 years in different settings. For the past 8

years, I have been an active participant in the CoC and its projects including the Family Solutions Collaborative. As The Family System Director for the Family Solutions Collaborative, I helped design, develop and implement the Coordinated Entry System for families. This included developing manuals, processes, trainings, identifying gaps, etc. All throughout my career, I have seen the incredible gaps we have in the system regarding health and the lack of access many children and their families experience. This was very evident when I worked at the School District as well as the homeless systems. I have made it a priority to assist and look for ways to fulfill the existing gaps by connecting families to resources, or finding ways to create what is needed, and it is not available by collaborating with other community agencies. This is one of the main reasons I joined FTOC as an employee (previously one of the original Board Members). I believed that utilizing my experiences and leveraging my connections I would be able to assist in creating a robust support too many families in the health area (including behavior, nutrition, early intervention, etc.) We have done this by strong outreach and now we have contracts with several schools districts and our Mobile Units attend periodically several schools, shelters and family Resource Centers.

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Please describe your experience and expertise working directly with communities from diverse (racial, ethnic, and socioeconomic, linguistic, socio-economic, etc.) backgrounds and how your experience and expertise improve equitable outcomes for Orange County families so that all children can reach their full potential and support [First 5 OC's Strategic Plan](#).

I have worked for over 30 years with diverse communities. As a Liaison at Tustin Unified School District, I not only provided the language assessment for the students, but also worked with the teachers and parents to strengthen their relationship by understanding an accepting their cultural differences. Which helped gain parent participation at the school and classrooms and increase the student's performance. I trained teachers in Cultural competency not only at TUSD, but also at UCI (TESOL program). I also served as the ELAC and DELAC chairperson for two terms. As a PIQUE (Parent Institute for Quality Education), I provided training to parents about our school system and the many options available to their children for a higher education. I wanted to ensure they understood that they were many paths and assistance to accomplish their children's educational goals and

that we (school and parents as partners) could start as early as possible preparing them. In the homeless system I also worked with many different populations and the main goal was to ensure that everyone had access to the services (housing, shelter, food, motel, etc.) as equally as possible. Everyone deserves a safe place to grow.

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Please explain why you wish to serve on the First 5 Orange County Commission and the skills that you would bring to First 5.

I have a strong desire to continue improving systems to build strong families in which the needs of children are prioritized with the prospective of increasing equitable to underserved communities. I would also like, based on my many years of experience working with the community at all levels, be able to represent and give a voice to those groups that might often be overlooked and do not have a voice at the table.

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Please describe what you would like to accomplish while on the Commission or a specific issue related to young children and families that you would like to champion in Orange County, and how it aligns with First 5 Orange County's [Strategic Plan](#)

There are two areas that I would like to focus on. One is the need for early intervention and access to behavioral services. This relates to the Early and ongoing Health and development and the importance of early diagnosis and appropriate support and services. The second one is equal and easier access to childcare. Children need a nurturing and safe place to grow so they can thrive and learn. They also need equal access to it. At this time I am piloting a program for emergency Childcare that I hope can be replicated County wide.

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Please describe how you would personally/professionally support and promote the work of First 5 Orange County, including your networks and any strategies for advancing policies that support young children and families.

I will promote the work of First Five Orange County by providing education and awareness around the First Five Pillars through my established networks (Boards, community agencies, schools, parent organizations, etc.) I will represent First Five at all times in a professional manner and demonstrate how their priorities can go from priorities to implemented practices with the support of our community and stakeholders

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**CONFLICT OF INTEREST**

Do you have any direct economic interest in programs or other services that may seek funding from First 5 Orange County Children and Families Commission?

- No
- Yes Please explain

Families Together of Orange County is a grantee of the Healthy Steps Grant

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**RESUME**

Attach a current resume including educational and employment history and mail or email with membership application to:

First 5 Orange County,  
1505 East 17th Street, Suite 230,  
Santa Ana, CA 92705;  
email: [First5OC@cfcoc.ocgov.com](mailto:First5OC@cfcoc.ocgov.com)  
Attention: Tiffany Alva

To be considered, an application and resume must be postmarked or emailed by March 10, 2023 by 3:00 pm

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature

~~Richard J. Rivera~~

Date

03/10/23

# SOLEDAD RIVERA

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Skilled and accomplished **Non- Profit Professional** with experience in overseeing family and children program services, developing lasting partnerships and growing agency visibility and community awareness. Determined and passionate about providing exceptional service to homeless families. Known for strong work ethic, compassion, and advocacy and building strong and sustainable programs. Awards and community involvement demonstrate a life-long commitment to improving and influencing the lives of families and children in need. **Bilingual English / Spanish**

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## HONORS & AWARDS

- **Juntos Award**, Commitment to Uplifting and Empowering the Latino community-Northgate Gonzalez Market 2022
- **Outstanding Woman of the Year**, Congressional Recognition- 46<sup>th</sup> District 2021
- **Standing Ovation-Outstanding Contribution to Public Education-** Tustin Unified School District 2021
- **One OC Spirit of Volunteerism Award-** Tustin Community Foundation 2021
- **Ambassador Award-**Orange County Department of Education HOPES Collaborative 2020
- **Unsung Hero of the Year**, United to End Homelessness-OCUW 2019
- **Exceptional Service to the Costa Mesa Homeless Community**, City of Costa Mesa 2016
- **Caught Making a Difference Award**, Tustin Unified School District, Special Education Department, 2013
- **Outstanding Community Service Award**, Tustin Unified School District, Coordinating Council, 2009
- **Unsung Hero Award**, California Employees Association, Chapter #450, 2007
- **Community Building Award**, City of Santa Ana, 2005
- **Women Who Make a Difference in Orange County**, Orange County Community Forum, 2001
- **Classified Employee of the Year**, Tustin Unified School District, Helen Estock Elementary School, 2000-2003

## PROFESSIONAL EXPERIENCE

### FAMILIES TOGETHER OF ORANGE COUNTY

**2020 -Present**

Director of Business Development

- Build solid relationships with businesses, community agencies and other non-profits
- Propose, manage and develop strategic partnerships to grow programs and services
- Assess community needs, to develop needed programs
- Identify grants and new business opportunities
- Expand the profile and reach of the agency and its brand
- Oversee marketing and media department
- Created and developed the Social Services Department
- Oversee ERA Programs

**FAMILY SOLUTIONS COLLABORATIVE****2018 - 2020****Family System Director**

- Serve as the official spokesperson for all FSC initiatives both with the County, press and funders.
- Oversee the direction and initiatives of the collaborative
- Work with membership and the executive committee
- Overseeing daily operations. Manage Family Coordinated Entry System and Housing Placement Match Meetings
- Monitor and report operational issues, opportunities, achievements, and outcomes.
- Serve as main family CES contact with CoC CES Steering Committee.
- Ensure family CES maintains high level of integration into CES countywide system.
- Identify performance goals for regional Family Access Points and work with FCES staff to assure performance goals are being met.
- Identify continuum wide training needs and ensure proper training is provided.
- Convene and lead regularly scheduled housing match and case conferencing meetings
- Work with 211OC staff to ensure FCES data follows System Wide Performance Measures.
- Monitor and analyze data and system trends to identify and inform ongoing system improvement
- Participates as a member of various committees and/or community groups that support families who are experiencing homelessness.

**Hub Manager****2018**

- Develop Family Coordinated Entry System
- Identify Access Points
- Develop Manuals, policies, and procedures for the FCES.
- Create partnerships
- Oversee contracts

**FAMILIES FORWARD, IRVINE, CA****2016 – 2018****Intake Manager**

- Build network of Service Providers (Giving Children Hope, City of Tustin, Families Together of Orange County, Orange County Department of Education, Tustin Community Foundation to name a few) to benefit families in need.
- Manage intake processes for housing services program
- Trained and supervise intake volunteer staff
- Collaborate with 211 & OCHA to improve Coordinated Entry system
- Created Coordinated Entry intake processes to ensure efficiency while maintaining consideration to the needs of each family.
- Responsible for keeping, maintaining, and entering client's information in a timely and confidential manner
- Organizing presentations and trainings
- Oversee Prevention & Diversion Department

**TUSTIN UNIFIED SCHOOL DISTRICT, TUSTIN HIGH SCHOOL****2013 – 2015****Community Liaison – Language Specialist I**

- Worked in partnership with Orangewood Foundation, Rapid Re-Housing Collaborative and TEAM (Tustin Effective Apartment Managers) to ensure stable residence for McKinney Vento students.
- Worked closely with counselors and psychologists to provide academic and emotional support to students including referrals: counseling, tutoring, college prep classes, scholarships, substance abuse rehabilitation.
- Interpreted for language minority and low-income students at Special Education meetings (SST, IEP, evaluations) and Truancy and Discipline meetings at the District level (SPRC, SARB, expulsion hearings).
- Ensured comprehensive services were provided to homeless students and their families: tutoring, transportation, school materials, clothes, shelter. Interfaced with McKinney Vento Coordinators (OCDE and Districts), non-profit agencies and other donors.

**TUSTIN UNIFIED SCHOOL DISTRICT, HELEN ESTOCK ELEMENTARY SCHOOL****2002 – 2013****Community Liaison – Language Specialist II**

- Made referrals for students and their families to community agencies for a variety of health and welfare services.
- Created collaboratives to assure services and secure grants.
- Established a Pantry (food, school supplies, clothes, etc.) to support families in need within TUSD.
- Connected services with Families and Communities Together (FaCT) and Family Resource Centers.
- Trained new Community Liaisons and CELDT Testers.
- Collaborated with many agencies to bring programs to TUSD students and their families: “We Got Your Back”- Giving Children Hope, “Dance Free Weeks”- The Wooden Floor, Back to School Backpacks- Families Forward, Camp 4 Kids.
- PIQUE (Parent Institute for Quality Education) Facilitator
- UCI TESOL Presenter.

**Healthy Start Coordinator****2000-2001**

- Managed 25 cases per month of families with children at various levels.
- Maintained and expanded existing collaborative and governmental agency relationships.
- Coordinated data collection, record keeping, and evaluation. Implemented computerized record keeping system.
- Recruited and trained parent volunteers to be mentors for other parents and students.
- Planned and implemented parent classes, after school activities and special events involving agencies and services.

**EDUCATION****Bachelor of Arts, Public Relations**, Escuela Nacional de Relaciones Públicas, Santiago, Chile**Professional Development:**

- **Domestic Violence Training**, Human Options (40 hours), 2018 & 2019
- **Safe from the Start** (Childhood Trauma) O.C. Department of Education, 2019

- **Human Trafficking 101**-Vanguard University, 2018
- **Critical Time Intervention & Trauma Informed Care**, CUCS Institute, 2016
- **Mental Health First Aid**, National Council for Behavioral Health, O.C. Health Dept., 2014
- **Community Emergency Response Team**, FEMA 2012
- **10 Educational Commandments & the 40 Development Assets**, Train the Trainer, Latino Attainment Educational Initiative, Juan Carlos Araque, 2006.
- **Six Keys to Parental Involvement**, Leadership Development, Johns Hopkins University (16 hours), 2004
- **TEAM Training**, Leadership Development, Johns Hopkins University (8 hours), 2004
- **California Parent Center Leadership Development**, CA Network of Partnership Schools, San Diego State University Foundation (16 hours), 2004
- **Multi- Ethnic Leadership Institute**, United Way and Santa Ana College (42 hours), 2003
- **Child and Elder Abuse Reporting**, O.C. Child Abuse Registry and O.C. Adult Protective Services, 2002
- **ELL Institute**, UCI Extension (40 hours)
- **Interpreter Training**, O.C. Department of Education (6 hours), 1999



## MEMORANDUM

To: Robin Stieler, Clerk of the Board

From: Chairman Donald P. Wagner, Third District

Date: January 30, 2023

**RE: Children & Families Commission of Orange County (First 5)**

Pursuant to Title 1, Division 2, Article 25, Section 1-2-335(2) of the County Ordinances, I hereby designate the following Supervisors to make nominations to the Board of Supervisors for the 2023 appointments to the Children & Families Commission of Orange County (First 5):

- Category 1 (Education): Vice Chairman Do
- Category 2 (Health): Supervisor Sarmiento

Also, pursuant to that section, Vice Chairman Do and Supervisor Sarmiento will be ineligible to make nominations to the Board of Supervisors for next year's appointments to the Commission.

Additionally, pursuant to Section 1-2-335 (4), as the member of the Board serving on the Commission, Supervisor Chaffee will also be making nominations to the Board for the additional rotating appointment, which is for Category 1 (Education) in 2023.

I also direct the Clerk of the Board to provide copies of the applications for the Children & Families Commission of Orange County (First 5) to Vice Chairman Do, Supervisor Sarmiento and Supervisor Chaffee for review to enable them to present their nominations for appointment at the April 11th Board meeting.

RECEIVED  
 2023 JAN 31 PM 2:51  
 BOARD OF SUPERVISORS



**BOARD OF SUPERVISORS**  
**MEMORANDUM**

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**TO:** Robin Stieler, Clerk of the Board  
**FROM:** Vicente Sarmiento, Supervisor Second District  
**DATE:** April 24, 2023  
**Subject:** **First 5 Orange County, Children & Families Commission – Nominations - Category 2 (Health)**

---

Please see below, my nomination for Board appointments to the First 5 Orange County, Children & Families Commission category 2 (health):

- Soledad Rivera
- Colleen K. Cunningham

Sincerely,

Vicente Sarmiento, Supervisor Second District

2023 APR 29 AM 7:42  
RECEIVED  
CLERK OF SUPERVISORS  
ROBIN STIELER



2023 APR 28 09:10:05  
BOARD OF SUPERVISORS

**MEMORANDUM**

To: Robin Stieler, Clerk of the Board  
From: Vice Chairman Andrew Do, 1<sup>st</sup> District  
Date: 04/27/23

*for VICE CHAIRMAN Do*

**RE: Children & Families Commission of Orange County (First 5)**

Pursuant to Title 1, Division 2, Article 25, Section 1-2-335(2) of the County Ordinances, I hereby nominate the following individuals to the Children & Families Commission of Orange County (First 5), Category 1 (Education):

- 1. Angela Rowe
- 2. Jackie Filbeck

cc: Chris Wangsaporn, Chief of Staff, BOS-1  
Valerie Sanchez, Chief Deputy Clerk, COB



# BOARD OF SUPERVISORS

## MEMORANDUM

04/27/2023

To: Jamie Ross, Assistant Clerk of the Board

From: Supervisor Doug Chaffee, Fourth District

Subject: First 5 Children & Families Commissioner Nominees (Education Category)

*Doug Chaffee*

Supervisor Doug Chaffee is nominating the following candidates for the First 5 Children & Families Commission to fill the Education Category; nominees are listed in alphabetical order:

- Dr. Frank Donovan, Superintendent, Magnolia School District
- Jackie Filbeck, Board President, Anaheim Elementary School District
- Jenifer Kinkel, Child Development & Educational Studies Faculty, Fullerton College



RECEIVED

2023 MAY -5 AM 9:56

CLEARANCE UNIT  
BOARD OF SUPERVISORS

## MEMORANDUM

**Date:** May 4, 2023  
**To:** Robin Stieler, Clerk of the Board  
**From:** Katrina Foley, Fifth District Supervisor  
**Re:** Supplemental Item for the May 9, 2023 Meeting of the Board of Supervisors

*K.F. RF*  
*In Agull for RW*

*S38D*

Please place a supplemental agenda item on the May 9, 2023 meeting of the Board of Supervisors to appoint Yvonne Rowden to the Audit Oversight Committee for the term concurrent with Supervisor Foley's term of office. Yvonne Wheeler will replace Robert Brown, who served honorably as an appointee of the prior Fifth District Supervisor.



APPLICATION FOR COUNTY OF ORANGE  
BOARD, COMMISSION OR COMMITTEE

(FOR COUNTY USE ONLY)

Return to:

Clerk of the Board of Supervisors  
400 W. Civic Center Dr., 6th Floor  
Santa Ana, California 92701  
Website: [www.ocgov.com/gov/cob/](http://www.ocgov.com/gov/cob/)

**Instructions:** Please complete each section below. Be sure to enter the title of the Board, Commission or Committee for which you desire consideration. For information or assistance, please contact the Clerk of the Board of Supervisor's Office at (714) 834-2206. Please print in ink or type.

**NAME OF BOARD, COMMISSION, OR COMMITTEE TO WHICH YOU ARE APPLYING FOR MEMBERSHIP  
(SEE LIST AT [HTTP://WWW.OCGOV.COM/GOV/COB/BCC/CONTACT](http://WWW.OCGOV.COM/GOV/COB/BCC/CONTACT)):**

**Audit Oversight Committee**

**SUPERVISORIAL DISTRICT IN WHICH YOU RESIDE:**  First  Second  Third  Fourth  Fifth

**APPLICANT NAME AND RESIDENCE ADDRESS:**

**Yvonne**

**Rowden**

First Name

Middle Name

Last Name

**Costa Mesa CA.**

Street Address

City

State

Zip Code

Home Phone Number

Cell Phone Number

Email Address

**CURRENT EMPLOYER:** Retired

**OCCUPATION/JOB TITLE:** \_\_\_\_\_

**BUSINESS ADDRESS:** \_\_\_\_\_

**BUSINESS PHONE NUMBER:** \_\_\_\_\_

**EMPLOYMENT HISTORY:** Please attach a resume to this application and provide any information that would be helpful in evaluating your application.

**ARE YOU A CITIZEN OF THE UNITED STATES:**  YES  NO

**IF NO, NAME OF COUNTRY OF CITIZENSHIP:** \_\_\_\_\_

**ARE YOU A REGISTERED VOTER?**  YES  NO

**IF YES, NAME COUNTY YOU ARE REGISTERED IN:** Orange

LIST ALL CURRENT PROFESSIONAL OR COMMUNITY ORGANIZATIONS AND SOCIETIES OF WHICH YOU ARE A MEMBER.

<u>ORGANIZATION/SOCIETY</u>	<u>FROM (MO./YR.)</u>	<u>TO (MO./YR.)</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

WITHIN THE LAST FIVE YEARS, HAVE YOU BEEN AFFILIATED WITH ANY BUSINESS OR NONPROFIT AGENCY(IES)?  YES  NO

DO YOU OWN REAL OR PERSONAL PROPERTY OR HAVE FINANCIAL HOLDING WHICH MIGHT PRESENT A POTENTIAL CONFLICT OF INTEREST?  YES  NO

HAVE YOU BEEN CONVICTED OF A FELONY OR MISDEMEANOR CRIME SINCE YOUR 18TH BIRTHDAY? YOU ARE NOT REQUIRED TO DISCLOSE ANY OF THE FOLLOWING: ARRESTS OR DETENTIONS THAT DID NOT RESULT IN A CONVICTION; CONVICTIONS THAT HAVE BEEN JUDICIALLY DISMISSED, EXPUNGED OR ORDERED SEALED; INFORMATION CONCERNING REFERRAL TO AND PARTICIPATION IN ANY PRETRIAL OR POSTTRIAL DIVERSION PROGRAM; AND CERTAIN DRUG RELATED CONVICTIONS THAT ARE OLDER THAN TWO YEARS, AS LISTED IN CALIFORNIA LABOR CODE § 432.8 (INCLUDING VIOLATIONS OF CALIFORNIA HEALTH AND SAFETY CODE SECTIONS 11357(B) AND (C), 11360(C) 11364, 11365 AND 11550 – AS THEY RELATE TO MARIJUANA)?

YES  NO

IF YES, PLEASE EXPLAIN AND ATTACH ADDITIONAL SHEETS, IF NECESSARY.

PLEASE BRIEFLY EXPLAIN WHY YOU WISH TO SERVE ON THIS BOARD, COMMITTEE, OR COMMISSION. ATTACH ADDITIONAL SHEETS, IF NECESSARY.

I will bring public agency audit and oversight knowledge/  
experience to this committee.

DATE: 2/10/2023

APPLICANTS SIGNATURE: \_\_\_\_\_

**CLERK OF THE BOARD OF SUPERVISORS USE ONLY – DO NOT WRITE BELOW THIS LINE**

Date Received: _____	Received by: _____
Date referred: _____	Deputy Clerk of the Board of Supervisors
To: <input type="checkbox"/> BOS District 1	<input type="checkbox"/> BOS District 2
<input type="checkbox"/> All BOS	<input type="checkbox"/> BOS District 3
	<input type="checkbox"/> BOS District 4
	<input type="checkbox"/> BOS District 5
	<input type="checkbox"/> BCC Contact Person Name _____

**February 10, 2023**

**Orange County Audit Oversight Committee**

**Having retired after more than 38 years (32 in management) of public service for the State of California Department of Motor Vehicles Field Office Division in the Career Executive Assignment (CEA-B) Region Administrator position, I will bring public agency audit and oversight knowledge and experience to the Audit Oversight Committee (AOC).**

**As the Regional Administrator, I directed diversified and geographically disbursed management teams in 21 Field Offices and two Business Service Centers (BSC). I was responsible for a personnel budget of over 25 million dollars, oversaw facilities valued in the 100's of million dollars and directed the appropriate allocation of those resources.**

**Like the County, the State mandates internal/external audits of Departments within the Agency as specified by policy/procedures. As the Regional Administrator, I was responsible for ensuring offices/BSC's within the region complied. Follow-up and accountability for audit exceptions were noted and addressed as appropriate.**

**By bringing excellent public relations skills, budgetary acumen, a clear and concise understanding of public program development and control, negotiation skills and techniques along with policy formulation, implementation and evaluation, I would be an asset to the AOC.**

**My desire to serve on the AOC allows me to continue volunteering my time and efforts to public service. I hope that my contribution to the AOC will help in boosting public confidence in County governance. Your consideration for re-appointment to this committee is appreciated.**

**Education:**

**MA Public Administration – California State University Long Beach**

**BA Political Science – San Jose State University**

**DMV Leadership Development Academy Executive Program – University of California Davis**

**DMV Leadership Development Academy Mid-Manager Program – University of California Davis**

**DMV Certificate of Completion – Leadership Tomorrow Program**

**Certification of Completion – Mediation/Dispute Resolution**

**Yvonne Rowden**



*Board of Supervisors*

**Memorandum**

*[Handwritten signature]*  
*[Handwritten signature]*  
May 4, 2023

**To:** Robin Stieler, Clerk of the Board  
**From:** Supervisor Vicente Sarmiento, 2<sup>nd</sup> District

*S38E*

**Subject:** Addition of Supplemental Item to the May 9, 2023 Board Meeting Agenda

Supervisor Vicente Sarmiento requests the Clerk of the Board to add a supplemental agenda item to the May 9, 2023 Board of Supervisors meeting.

1. Approve use of \$194,000 from the Second District's discretionary ARPA funding allocation towards the following uses:
  - Support an ongoing effort to identify the murderer(s) of Victoria Barrios through the non-profit Justice for Victoria Barrios
  - K-12 school and school district capital improvement projects and programs
  - Programs and institutions addressing food insecurity and homelessness
  - Senior center programs and equipment
  - Support the Dolores Huerta Foundation which is dedicated to social justice efforts that focus on civic engagement, equity education and LGBTQ + equality.
  - Fellowship program to support formerly incarcerated individuals who have a commitment to public service and community engagement
2. Find that under Government Code section 26227 these expenditures are necessary to meet the social needs of the population of the county, including but not limited to, the areas of health, law enforcement, public safety, rehabilitation, welfare, education, and legal services, and the needs of physically, mentally and financially handicapped persons and aged persons.
3. Authorize County Executive Officer, or designee, to negotiate and enter into agreements as necessary to effectuate the purposes of this allocation, including but not limited to, the execution of agreements with fund recipient, as identified by the Second District Supervisor.

RECEIVED  
MAY -5 AM 10:25  
COUNTY OF SUPERVISORS



Board of Supervisors

Memorandum

*[Handwritten signature]*

May 4, 2023

**To:** Robin Stieler, Clerk of the Board  
**From:** Supervisor Vicente Sarmiento, 2<sup>nd</sup> District

*[Handwritten signature]* for DW  
S38F

**Subject:** Add Supplemental Item to the May 9, 2023 Board of Supervisors Meeting Agenda

Supervisor Vicente Sarmiento requests the Clerk of the Board to add a supplemental agenda item to the May 9, 2023 Board of Supervisors meeting. The title shall read: Approve additions of May Day Reception and Youth Leadership Summit events to County Events Calendar and make related findings under Government Code Section 26227.

The supplemental agenda item should have the following recommended actions:

1. Approve the additions of May Day Reception and Youth Leadership Summit events to the County Events Calendar.
2. Find under Government Code section 26227 that the May Day Reception and Youth Leadership Summit events will serve a public purpose of the County of Orange and will meet the social needs of the population of the County, including but not limited to, the areas of health, law enforcement, public safety, rehabilitation, welfare, education and legal services, and the needs of physically, mentally and financially handicapped persons and aged persons; that County staff and resources may be used in furtherance of such events; and that County staff may solicit donations of funds and services for such events.



RECEIVED

2023 APR 27 PM 1:50

CLERK OF THE BOARD OF SUPERVISORS

BOARD OF SUPERVISORS

**OFFICE OF THE COUNTY COUNSEL  
COUNTY OF ORANGE**

400 West Civic Center Drive, Suite 202

Santa Ana, California 92701

Direct No.: (714) 834-3303

E-Mail: [leon.page@coco.ocgov.com](mailto:leon.page@coco.ocgov.com)

**LEON J. PAGE  
COUNTY COUNSEL**

**Agenda Item No. SCS- 2  
May 9, 2023**

**M E M O R A N D U M**

April 27, 2023

**TO:** Robin Stieler, Clerk of the Board of Supervisors  
**FROM:** Leon J. Page, County Counsel  
**SUBJECT:** Request for Supplemental Closed Session

I am requesting a supplemental closed session on Tuesday, May 9, 2023 to discuss with the Board the status of existing litigation, pursuant to Government Code section 54956.9(d)(1).

Accordingly, please prepare the Agenda Item to read:

“CONFERENCE WITH LEGAL COUNSEL --  
EXISTING LITIGATION Pursuant to Government Code Section  
54956.9(d)(1).  
Name of Case: *Latoya Reinhold et. al v. County of Orange*,  
USDC Case No. 8:20-cv-02369.

**RECOMMENDED ACTION: Conduct Closed Session.”**

Thank you.

LJP:vl

**cc:** Members of the Board of Supervisors  
Frank Kim, CEO



RECEIVED

2023 MAY -2 AM 7:16

CLERK OF THE BOARD  
ORANGE COUNTY  
BOARD OF SUPERVISORS

OFFICE OF THE COUNTY COUNSEL  
COUNTY OF ORANGE

400 West Civic Center Drive, Suite 202  
Santa Ana, California 92701  
Direct No.: (714) 834-3303  
E-Mail: [leon.page@coco.ocalifornia.gov](mailto:leon.page@coco.ocalifornia.gov)

LEON J. PAGE  
COUNTY COUNSEL

Agenda Item No. SCS-3  
May 9, 2023

**M E M O R A N D U M**

May 1, 2023

TO: Robin Stieler, Clerk of the Board of Supervisors  
FROM: Leon J. Page, County Counsel  
SUBJECT: Request for Supplemental Closed Session

I am requesting a supplemental closed session on Tuesday, May 9, 2023 to discuss with the Board the status of existing litigation, pursuant to Government Code section 54956.9(d)(1).

Accordingly, please prepare the Agenda Item to read:

“CONFERENCE WITH LEGAL COUNSEL --  
EXISTING LITIGATION Pursuant to Government Code Section  
54956.9(d)(1).  
Name of Case: *Orange County Flood Control District v. HRB  
Properties, LLC*,  
San Bernardino Superior Court Case No. CIVDS1406175.

RECOMMENDED ACTION: Conduct Closed Session.”

Thank you.

LJP:vl

cc: Members of the Board of Supervisors  
Frank Kim, CEO



RECEIVED

2023 MAY -2 AM 7:16

CLERK OF THE BOARD  
ORANGE COUNTY  
BOARD OF SUPERVISORS

OFFICE OF THE COUNTY COUNSEL  
COUNTY OF ORANGE

400 West Civic Center Drive, Suite 202  
Santa Ana, California 92701  
Direct No.: (714) 834-3303  
E-Mail: leon.page@coco.ocgov.com

LEON J. PAGE  
COUNTY COUNSEL

Agenda Item No. SCS- 4  
May 9, 2023

**M E M O R A N D U M**

May 1, 2023

TO: Robin Stieler, Clerk of the Board of Supervisors  
FROM: Leon J. Page, County Counsel  
SUBJECT: Request for Supplemental Closed Session

I am requesting a supplemental closed session to be held on Tuesday, May 9, 2023, for the Board to consider initiation of litigation, pursuant to Government Code section 54956.9(d)(4).

Accordingly, please prepare the Agenda Item to read:

“CONFERENCE WITH LEGAL COUNSEL –  
ANTICIPATED LITIGATION – Pursuant to Government Code  
Section 54956.9(d)(4).  
Number of Cases: One Case.

RECOMMENDED ACTION: Conduct Closed Session.”

Thank you.

LJP:vl

cc: Members of the Board of Supervisors  
Frank Kim, CEO



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2023 MAY -3 AM 10: 07

CLERK OF THE BOARD  
ORANGE COUNTY  
BOARD OF SUPERVISORS

OFFICE OF THE COUNTY COUNSEL  
COUNTY OF ORANGE

400 West Civic Center Drive, Suite 202  
Santa Ana, California 92701  
Direct No.: (714) 834-3303  
E-Mail: leon.page@coco.ocgov.com

LEON J. PAGE  
COUNTY COUNSEL

Agenda Item No. SCS-5  
May 9, 2023

**M E M O R A N D U M**

May 3, 2023

TO: Robin Stieler, Clerk of the Board of Supervisors  
FROM: Leon J. Page, County Counsel  
SUBJECT: Request for Supplemental Closed Session

I am requesting a supplemental closed session on Tuesday, May 9, 2023, to discuss with the Board the status of existing litigation, pursuant to Government Code section 54956.9(d)(1).

Accordingly, please prepare the Agenda Item to read:

“CONFERENCE WITH LEGAL COUNSEL –  
EXISTING LITIGATION Pursuant to Government Code Section  
54956.9(d)(1).  
Name of Case: *Nicholas Wray v. County of Orange*,  
WCAB Case: ADJ16135184.

RECOMMENDED ACTION: Conduct Closed Session.”

Thank you.

LJP:vl

cc: Members of the Board of Supervisors  
Frank Kim, CEO



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2023 MAY -3 PM 1:14  
CLERK OF THE BOARD OF SUPERVISORS  
ORANGE COUNTY

OFFICE OF THE COUNTY COUNSEL  
COUNTY OF ORANGE

400 West Civic Center Drive, Suite 202  
Santa Ana, California 92701  
Direct No.: (714) 834-5257  
E-Mail: james.harman@coco.ocgov.com

LEON J. PAGE  
COUNTY COUNSEL

Agenda Item No. SCS- 6  
May 9, 2023

MEMORANDUM

May 3, 2023

TO: Robin Stieler, Clerk of the Board of Supervisors  
FROM: James C. Harman, Chief Assistant County Counsel  
SUBJECT: Request for Supplemental Closed Session

I am requesting a supplemental closed session on Tuesday, May 9, 2023, to discuss with the Board the status of existing litigation, pursuant to Government Code section 54956.9(d)(1).

Accordingly, please prepare the Agenda Item to read:

“CONFERENCE WITH LEGAL COUNSEL –  
EXISTING LITIGATION Pursuant to Government Code Section  
54956.9(d)(1).  
Name of Case: *Talega Maintenance Corporation v. Standard  
Pacific Corporation, Talega Associates, LLC, and the County of  
Orange,*  
Orange County Superior Court Case No. 30-2012-00601360-CU-  
CD-CXC.

RECOMMENDED ACTION: Conduct Closed Session.”

Thank you.

Digitally signed by James C. Harman, Chief Assistant County Counsel  
DN: cn=James C. Harman, Chief Assistant County Counsel, o=Office  
of County Counsel, ou, email=james.harman@coco.ocgov.com, c=US  
Date: 2023.05.03 11:59:02 -0700

JCH:vl

cc: Members of the Board of Supervisors  
Frank Kim, CEO



RECEIVED

2023 MAY -3 PM 1:41

CLERK OF THE BOARD  
ORANGE COUNTY  
BOARD OF SUPERVISORS

OFFICE OF THE COUNTY COUNSEL  
COUNTY OF ORANGE

400 West Civic Center Drive, Suite 202  
Santa Ana, California 92701  
Direct No.: (714) 834-3303  
E-Mail: [leon.page@coco.ocgov.com](mailto:leon.page@coco.ocgov.com)

LEON J. PAGE  
COUNTY COUNSEL

Agenda Item No. SCS- 7  
May 9, 2023

**M E M O R A N D U M**

May 3, 2023

TO: Robin Stieler, Clerk of the Board of Supervisors  
FROM: Leon J. Page, County Counsel  
SUBJECT: Request for Supplemental Closed Session

I am requesting a supplemental closed session on Tuesday, May 9, 2023, to discuss with the Board the status of existing litigation, pursuant to Government Code section 54956.9(d)(1).

Accordingly, please prepare the Agenda Item to read:

“CONFERENCE WITH LEGAL COUNSEL –  
EXISTING LITIGATION Pursuant to Government Code Section  
54956.9(d)(1).  
Name of Case: *Clarisse Magtoto v. County of Orange*, Orange  
County Superior Court Case No. 30-2022-01241335, *Amy  
Tallakson v. County of Orange*, Orange County Superior Court  
Case No. 30-2022-01241346, *Shabnum Azizi v. County of Orange*,  
Orange County Superior Court Case No. 30-2022-01232544,  
*Rebecca Garcia v. County of Orange*, Orange County Superior  
Court Case No. 30-2022-01241348, *Mallory Miller v. County of  
Orange*, Orange County Superior Court Case No. 30-2022-  
01252436, *Barbara Nicholson v. County of Orange*, Orange  
County Superior Court Case No. 30-2022-01252445, *Bethel Cope-  
Vega v. County of Orange*, Orange County Superior Court Case  
No. 30-2022-01252452, *Mohammad Abuershaid v. County of  
Orange*, Orange County Superior Court Case No. 30-2022-  
01243989, and *Tracy Miller v. County of Orange et al.*, Orange  
County Superior Court Case No. 30-2022-01262015.

RECOMMENDED ACTION: Conduct Closed Session.”

Thank you.

LJP:vl

cc: Members of the Board of Supervisors  
Frank Kim, CEO